

KARUK TRIBE
COUNCIL MEETING AGENDA
Thursday, July 25, 2013, 3 PM, Yreka, CA

A) CALL MEETING TO ORDER – ROLL CALL

AA) PRAYER / KARUK TRIBE MISSION STATEMENT

The mission of the Karuk Tribe is to promote the general welfare of all Karuk People, to establish equality and justice for our Tribe, to restore and preserve Tribal traditions, customs, language and ancestral rights, and to secure to ourselves and our descendants the power to exercise the inherent rights of self-governance.

CH) APPROVAL OF THE AGENDA

EE) APPROVAL OF THE MINUTES *(June 27, 2013)*

H.) OLD BUSINESS *(Five Minutes Each)*

1.

F.) GUESTS: *(Ten Minutes Each)*

1.

2.

I.) DIRECTOR REPORTS *(Ten Minutes Each)*

1. Jaelyn Goodwin, Self-Governance Coordinator (written report)
2. Erin Hillman, Executive Director KTHA (written report)
3. Sandi Tripp, Director of Transportation (written report)
4. Scott Quinn, Director of Land Management (written report)
5. Leaf Hillman, DNR Director (written report)
6. Lisa Morehead, Grant Writer/Resource Developer (written report)
7. April Attebury, Tribal Court Administrator
8. Daniel Goodwin, Maintenance Supervisor
9. Leloni Colegrove, HR Manager
10. Dion Wood, TERO/Childcare Director
11. Tom Fielden, Emergency Preparedness Coordinator (written report)
12. Julie Burcell, People's Center Coordinator (written report)
13. Tiffany Ashworth, Dir. Admin Programs and Compliance
14. Laura Mayton, Chief Financial Officer (written report)
15. Bill Keenan, KCDC Executive Director (written report)

II.) REQUESTS *(Five Minutes Each)*

K) PHONE VOTES *(Five Minutes)*

1. Request approval to pay up to \$1,000 for emergency dental care for patient #SS from Third Party. 4 haa, 0 puuhara, 1 pupitihara (Dora Bernal). Absent for vote (Amos Tripp, Bud Johnson Elsa Goodwin)
2. Request approval to allow use of the Yreka TANF van by Head Start employees to attend a funeral service. 5 haa, 0 puuhara, 0 pupitihara. Absent for vote (Crispen McAllister, Dora Bernal, Elsa Goodwin).
3. Request approval to pay the balance of \$6,500 for a revised Innovation Study. 7 haa, 0 puuhara, 0 pupitihara. Absent for vote (Elsa Goodwin).
4. Request approval of resolution 13-R-089 authorizing submission of a grant proposal with KCDC identifying the shortfall and covering that amount. 4 haa, 1 puuhara (Jody Waddell), 0 pupitihara. Absent for vote (Amos Tripp, Bud Johnson, Elsa Goodwin).
5. Request approval of contract 13-C-054 between the Karuk Tribe and Lomakatsi Restoration Project. 5 haa, 0 puuhara, 0 pupitihara. Absent for vote (Amos Tripp, Bud Johnson, Elsa Goodwin).
6. Request approval of resolutions; 13-R-064, 13-R-065, 13-R-066, 13-R-067 authorizing ICW Intervention. 5 haa, 0 puuhara, 0 pupitihara. Absent for vote (Jody Waddell, Amos Tripp, Elsa Goodwin).
7. Request approval for out of state travel for Babbie Peterson to Washington DC., August 5-8, 2013. 5 haa, 0 puuhara, 0 pupitihara. Absent for vote (Crispen McAllister, Dora Bernal, Elsa Goodwin).

M) INFORMATIONAL *(Five Minutes Each)*

- 1.

N) COMMITTEE REPORTS *(Five Minutes Each)*

1. NCIDC Meeting Minutes

OO) CLOSED SESSION *(Five Minutes Each)*

1. Enrollment (dinner break)
2. Shannon Clymer
3. Barbara Snider
4. Tribal Council Members

P) SET DATE FOR NEXT MEETING *(August 22, 2013 at 3 PM in Happy Camp, CA.)*

R) ADJOURN

**Karuk Tribe – Council Meeting
June 27, 2013 – Meeting Minutes**

Meeting called to order at 3:05PM by Buster Attebery, Chairman

Present:

Russell “Buster” Attebery, Chairman
Michael Thom, Vice-Chairman
Joseph “Jody” Waddell, Secretary / Treasurer
Elsa Goodwin, Member at Large
Dora Bernal, Member at Large
Crispen McAllister, Member at Large (3:08PM)
Charron “Sonny” Davis, Member at Large
Alvis “Bud” Johnson, Member at Large
Amos Tripp, Member at Large

Absent:

None

Prayer was done by Sonny Davis and the Mission Statement was read aloud by Buster Attebery.

Agenda:

Michael Thom moved and Amos Tripp seconded to approve the agenda with changes and additions, 8 haa, 0 puuhara, 0 pupitihara.

Minutes of May 23, 2013:

Bud Johnson moved and Sonny Davis seconded to approve the minutes of May 23, 2013, 7 haa, 0 puuhara, 1 pupitihara (Michael Thom).

Guests:

1.) Eric Cutright, IT Director:

Eric is present to seek approval of contract amendment (1) to 12-C-101 with North State Resources, Inc. Eric noted that including in the contract was to suffice the USFS report and it was approved by the Council however North state determined that they wanted specific language but no change in the cost.

Dora Bernal moved and Crispen McAllister seconded to approve 12-C-101 (1) with specific language clarification, 8 haa, 0 puuhara, 0 pupitihara.

2.) Lester Alford, TANF Director:

Lester is present to seek approval of two MOA’s for working with MKWC to have workers onsite through MKWC.

Amos Tripp moved and Bud Johnson seconded to approve agreement 13-A-057, 8 haa, 0 puuhara, 0 pupitihara.

Elsa inquired about Lester finding a vehicle for the workers. Lester noted that there are 5 youth, which is in part or whole affiliation with TANF. They were previously trying for 12 youth but totaled having 8. MCWC is providing the hiring practices but Lester is providing the funding for the workers.

Amos Tripp moved and Michael Thom seconded to approve agreement 13-A-058, 7 haa, 0 puuhara, 1 pupitihara (Elsa Goodwin).

He then requested that MCWC be allowed to utilize a TANF van for the youth. MCWC employee, Tina Bennett would like to seek approval to operate the van as she is qualified and certified as a bus driver. This would allow the youth to be moved as one group instead of more vehicles.

Amos Tripp moved and Bud Johnson seconded to approve allowing Tina Bennett to be added to the Tribes insurance and utilize the TANF van, 8 haa, 0 puuhara, 0 pupitihara.

3.) David Arwood Jr., Tribal member

David is present to seek approval for a letter of support for a grant application to the Humboldt Area Foundation to make 7 dresses for a Flower Dance Dress Making Class, room use, and also stipends for the course. David noted that the deadlines are unknown currently, but he is compiling support letters for funders at this time. Amos asked what kind of dresses David proposed to make. David noted that they would be making shell dresses, and he will be identifying teachers for the class.

Amos Tripp moved and Sonny Davis seconded to provide a support letter for the Flower Dance Dress Making class, 8 haa, 0 puuhara, 0 pupitihara.

The classroom can be cleared by Julie Burcell. Amos congratulated David for his recent graduation from HSU.

4.) David Tripp, Tribal Member:

David is present to discuss the Mountain Dance. For at least 10 years Terry Supahan has been borrowing head dresses for dances. Ben Brown is the head curator who has been communicating with Terry for head rolls and it is determined that there must be a \$20,000 per item (totaling \$100,000) insurance policy on the items. David is going into isolation and someone is going to have to come forward with an emergency request to provide that insurance coverage. Terry would be the one actually borrowing them and responsible for their use at the dance.

They will dance on August 9-18, 2013. There is always a big mess over there. He would like more service for trash service. The Council advised David on how to set the schedule with the local dump service if that is needed.

Director Reports:

1.) Lisa Morehead, Grant Writer/Resource Developer:

Lisa is present to provide her report. She took a minute to thank the Council for providing a contribution to the Orleans 8th Grade class.

She sought approval of resolution 13-R-077 for a grant submission to the EPA. Amos asked about E coli being identified in the water. Lisa noted that yes, it has and the levels exceed any healthy levels.

Amos Tripp moved and Jody Waddell seconded to approve resolution 13-R-077, 8 haa, 0 puuhara, 0 pupitihara.

Lisa went on to seek approval of another grant proposal. It is under resolution 13-R-078 to the Indian health Services for the Special Diabetes Program.

Amos Tripp moved and Crispen McAllister seconded to approve resolution 13-R-078, 8 haa, 0 puuhara, 0 pupitihara.

Lisa sought approval of her third action item; resolution 13-R-079 to the National Endowment for learning and teaching of sweathouse construction, usage and Karuk songs, language and customs and film the documentation. TANF will be providing \$5,000 and another \$5,000 is coming from in-kind.

Elsa Goodwin moved and Jody Waddell seconded to approve 13-R-079, 8 haa, 0 puuhara, 0 pupitihara.

Elsa Goodwin moved and Dora Bernal seconded to approve Lisa's report, 8 haa, 0 puuhara, 0 pupitihara.

2.) Tanya Busby, Administrative Assistant/Pikyav Program Coordinator:

Tanya is present to seek approval of an un-itemized fuel receipt from Leslie Moore's travel.

Michael clarified the travel reimbursement from CRIHB.

Dora Bernal moved and Jody Waddell seconded to approve the \$46 un-itemized fuel purchase from discretionary, 8 haa, 0 puuhara, 0 pupitihara.

She also provided the report for the Karuk Judicial System and Programs.

Dora Bernal moved and Michael Thom seconded to approve April Attebury's report, 8 haa, 0 puuhara, 0 pupitihara.

3.) Daniel Goodwin, Maintenance Supervisor:

Daniel provided his written report for the Council to review. He asked Sonny about the East end of the ranch field to have the stick games tournament. Sonny noted to do what can be done, and if it is something that will continue it can be gradually worked on but for now, slightly moving the area will provide space for the games.

Dora Bernal moved and Elsa Goodwin seconded to approve Daniel's report, 8 haa, 0 puuhara, 0 pupitihara.

4.) Le Loni Colegrove, HR Manager:

Le Loni is present to provide her report. Le Loni provided staffing updates. She also provided in her report the evaluations that need to be completed. She was surprised yesterday for a monitoring and site visit from California Emergency Management Agency and they will return with a list of items that they would like to view.

She attended CRIHB training and she thanked Michael and Buster for recommending it to her because it was very well worth attending.

She would like to have approval for the reunion colors. Le Loni has requested to have the fish cooking and acorn soup to go out to bid and it was not done timely. There was confusion on this and the reason why; but Tiffany clarified that she will talk about this in closed session.

Michael Thom moved and Sonny Davis seconded to approve Le Loni's report, 8 haa, 0 puuhara, 0 pupitihara.

5.) Dion Wood, TERO Director / Childcare:

Dion has two action items in his report but he provided highlights of his report.

TERO will be doing a survey to the Membership that is interested in the casino and possibly working within some capacity. Dion will conduct the review as a follow up to last year's survey.

Dion did review the workforce protection directive from the Council. He did not receive any letters of intent for the TERO Commission and after the extension there still have not been any Orleans area representatives interesting in serving on the Commission. That was the second posting. Dora recommends running it again; until filled.

TERO has hired three youth workers to facilitate the Food Program. They are pending their drug screening. The skills bank intake is a part of the mass mailing that will go out in July.

The Tribal Member food contractor's crew that is going on fires has been moving along. There is a large group of attendees that work on obtaining their certifications and coming together to develop a good working team.

Dion then sought approval of resolution 13-R-059 for submission of the Childcare Block Grant for two years. It is a child count based funding so it varies year to year. This is an annual grant.

Crispen McAllister moved and Michael Thom seconded to approve resolution 13-R-059, 8 haa, 0 puuhara, 0 pupitihara.

As mentioned previously there is going to be a food program in Happy Camp and Yreka but it appears that now they can do lunch deliveries for the Orleans site. The Head Start staff will make extra lunches that will be delivered and distributed to each community. This is with the help of the TANF program and Head Start. Dion would like the Council to commend the staff for working together.

Dion and Patty attend the State Meetings when either is unavailable, he believes that this could possibly be a NCTCA issue to receive assistance in the collaboration between State and the Tribe as the State receives Federal funding, and they are required to work with the Tribes, but they do not. Michael suggests working with Selena Gomez of the Governor's Office. Jody will seek assistance through CRIHB but Dion noted they are actively involved. Buster will take the issue to the NCTCA and Dion will draft some information up for the Chairmen to read.

Consultations that are taking place on July 8th in DC. August 25-30, 2013 is an Annual Indian Childcare Conference and he is requesting out of state travel approval for this.

Jody Waddell moved and Amos Tripp seconded to approve out of state travel for Dion to CO NICCA Conference August 25-30, 2013, 8 haa, 0 puuhara, 0 pupitihara.

Elsa Goodwin moved and Crispin McAllister seconded to approve Dion's report, 8 haa, 0 puuhara, 0 pupitihara.

6.) Tom Fielden, Emergency Services Coordinator:

Tom is present and has four action items. Tom presented an MOA between KCDC and the Tribe for the use of Computer Centers during emergencies and disasters. Tom noted that if funds run out and if there isn't additional funding then they should be able to have continued use of the facility.

That MOU was tabled to have KCDC review it.

The second MOU 13-M-005 and 13-M-004 will be both MOU's for evacuations and use of the gym. Tom explained that he got a call from Mrs. Chambers regarding the Tribe being an evacuation center. Tom, Jaclyn and community members sat down at the meeting and drafted the needed language in the MOU's.

Michael Thom moved and Elsa Goodwin seconded to approve MOU's 13-R-004 and 13-R-005 between the Karuk Tribe and the Happy Camp High School and the Happy Camp Elementary School, 8 haa, 0 puuhara, 0 pupitihara.

He then sought E580 emergency management for Tribal Governments. It is suggested for other tribal staff and Council Members. FEMA pays for the costs of the room and training. It is August 18-23, 2013.

Elsa Goodwin moved and Crispin McAllister seconded to approve Jill to travel to Emmetsburg MA, 8 haa, 0 puuhara, 0 pupitihara.

Tom then reviewed his report including training on a "C faller" course. He conducted some IS100 and IS700 courses in Happy Camp.

He continues to have training and ensure the emergency response team receives training.

Elsa Goodwin moved and Crispin McAllister seconded to approve Tom's report, 7 haa, 0 puuhara, 0 pupitihara (Michael absent for vote).

7.) Julie Burcell, People's Center Coordinator:

Julie noted that she was disappointed to not receive the wolf skin from Berkeley however it was approved just not in time.

Julie then sought approval to purchase a few art pieces from the Clarke Museum and the map that was provided which was controversial but it could and should be purchased. Julie would like to purchase two pieces in the amount of \$1,000.

Amos Tripp moved and Jody Waddell seconded to approve the purchase of 2 contemporary art pieces for \$1,000, 8 haa, 0 puuhara, 0 pupitihara.

Julie noted that she would like to have Megan Rocha complete the People's Center Advisory Board's strategic planning needs. Julie is unsure of what Megan's scope of work is and would like to seek Council approval for her to complete it.

Julie will provide additional funding toward the wages. Consensus, to allow the grant writer/planner to conduct the planning session in accordance with her scope of work.

Julie then noted that a few authors have offered to come to Happy Camp and Orleans that will provide some reading and/or information to the community.

Dora Bernal moved and Crispen McAllister seconded to approve Julie's report, 8 haa, 0 puuhara, 0 pupitihara.

8.) Tiffany Ashworth, Dir. Of Admin Programs & Compliance:

Tiffany is present to provide her report. She noted that the Orleans Wellness Center is basically done and Fred completed the walk-thru and the punch list. The staff is able to begin working on the move of office spaces. She has several items for approval.

Her first action item is with ALSCO under agreement 13-A-054 with various departments in the amount of \$53,694.

Michael Thom moved and Crispen McAllister seconded to approve agreement 13-A-054 with ALSCO, 8 haa, 0 puuhara, 0 pupitihara.

Her next item is to receive formal approval for the Orleans Health and Wellness Center for Campora Propane under 13-A-056 for \$65.

Dora Bernal moved and Michael Thom seconded to approve 13-A-056, 8 haa, 0 puuhara, 0 pupitihara.

She then provided a resolution for the sanitation deficiency list. She provided it to the Council via email and it is an annual requirement done every year.

Tiffany noted that the due date is tomorrow and the list has been identified by Fred and Barry Jarvis. This will be tabled to closed session.

Tiffany then went on to seek approval of 13-R-083 to accept Indian Health Services funding in the amount of \$114,000 and it will be to provide funding for several different projects and upgrades to health facilities. All the projects are complete and they can be reimbursed by Indian Health Services.

Amos Tripp moved and Bud Johnson seconded to approve resolution 13-R-083, 8 haa, 0 puuhara, 0 pupitihara.

Tiffany sought approval of 13-R-084 for submission of the Community Action Plan.

Amos Tripp moved and Elsa Goodwin seconded to approve resolution 13-R-084, 8 haa, 0 puuhara, 0 pupitihara.

She then provided the Council with a Solicitation Procedures document which provides information to the staff on some internal processes. She would like Council approval to distribute to the staff. Michael asked if she believes that this will help the staff be more streamlined. The Council would like to have the document reviewed by the Management Team to ensure there is communication on changes or reminder of the processes.

Elsa Goodwin moved and Amos Tripp seconded to approve Tiffany's report, 8 haa, 0 puuhara, 0 pupitihara.

9.) Erin Hillman, KTHA Executive Director:

Erin is present to provide her report. She noted that during the audit time there was one finding which was non fiscal. This audit report must be sent to HUD and this week HUD responded about that not being a requirement. The Tribe must follow their policy and they have addressed that and will also follow policy.

Erin thanked Jody for offering to attend the interviews tomorrow. Erin provided draft drawings of the Kahtishraam Wellness Center and she noted that they are hoping to have a name contest.

Erin then asked for Buster to attend the Second Annual Native American Housing Conference September 9-10, 2013 in Morongo Casino Resort to set up a meeting to seek Morongo funding that they have left over.

Amos Tripp moved and Crispen McAllister seconded to approve Buster to travel to Morongo, 8 haa, 0 puuhara, 0 pupitihara.

Erin has one last action item which is approval to submit the Indian Housing Plan for 2015 which were reviewed in the March Planning Session and identified by the KTHA Board and the Tribal Council. There was more funding received this year than what was anticipated. She is requesting approval of resolution 13-R-054.

Amos Tripp moved and Sonny Davis seconded to approve resolution 13-R-054, 8 haa, 0 puuhara, 0 pupitihara.

She highlighted her report. The Formula Negotiating Rule Making Committee has seated Michael Thom, Vice-Chairman. He is the only representative for California.

The House Appropriations Committee has released their budget. They were tough on funding this year. They advertised for a childcare feasibility study. The customer service training was well attended and received.

She attached a report from each department head, because the different managers at KTHA provide a lot of work and she wanted the Council to be aware of that ongoing work by those staff members.

Amos commented that the tree removal was not done very safely in the Orleans area. Erin will report that information to the staff and safety reminders to staff and contractors for future projects will be done. Amos also noted that Caltrans is doing some beautiful work lately as well.

Crispen McAllister moved and Amos Tripp seconded to approve Erin's report, 8 haa, 0 puuhara, 0 pupitihara.

10.) Laura Mayton, CFO:

No report provided, on vacation.

11.) Jaclyn Goodwin, Self-Governance Coordinator:

Jaclyn is present to review her report with the Council. She has no action items but provided an update on her discussion with Olin Jones regarding the threatening email sent to the Tribe. Sheriff Lopey was going to be contacted. Officer Tygart did phone the office and was trying to determine the situation regarding the threatening email. Jaclyn inquired about the previous service under agreement with Humboldt County again. Jaclyn would like to have a multi-agency agreement with the BIA, Attorney General's Office and Humboldt County Sheriff's office. Jaclyn will review options for this.

Consensus: to contact DOJ in Sacramento CA and other agencies regarding on going law enforcement needs.

The Tribal Self-Governance group went to DC to advocate for funding issues in the self-governance fire issues. She realizes that there are separate issues for the Karuk Tribe but she anticipates that the Tribes voice should be heard on their part.

Jaclyn notified that there was an address from the White House establishing an executive order to establish a new Council which will analyze policies and regulations for Tribes with Self-Governance and self-determination.

Amos asked that next month Jaclyn provide an overview of Obamas Executive Order. Jaclyn noted that this would be good, and they will meet three times a year. She would like to see that Tribes be eligible to move more toward compacting with different agencies not just Indian Health Services and the BIA.

Crispen McAllister moved and Jody Waddell seconded to approve Jaclyn's report, 8 haa, 0 puuhara, 0 pupitihara.

12.) Bill Kennen, KCDC Executive Director:

Bill is not present but Darlene is present for KCDC. She provided an overview of the head start budget with Patty Brown. She is preparing for the cuts from sequestration. She noted that they are working on cutting costs and sharing services with the other departments.

The Naa Vura Yee Shiip program had an onsite visit. Some issues that arose were documentation for advertisement on how hard it is to recruit for an LCSW. Training wasn't provided to the staff in a timely manner. There will be a time study for three months to justify her position. She found that she will be liable for grant paperwork that is retained after three years, so she will be disposing of the old grant information. Volunteer training is needed to be documented as well. Head Start and Fire Crew will be discussed to fine tune an organizational chart. Bill is at a tobacco convention. The State of California is suing for taxes that offset healthcare costs and taxes in general which Bill will be receiving some information on hopefully at the meeting he is attending.

Amkuuf point of sale has been installed. Inventory is still a little rocky but they are working on it.

Buster inquired about the average profit at Amkuuf. Darlene noted that there has been scheduling conflicts and that left the store closed. The discussion will be moved to closed session.

Dora Bernal moved and Elsa Goodwin seconded to approve KCDC's report, 8 haa, 0 puuhara, 0 pupitihara.

13.) Sandi Tripp, Director of Transportation:

Sandi is present to seek approval of her action items. She has been attending a lot of FEMA trainings. FEMA offers specialized training and she would like to attend the Emmetsburg MA training as well. August 4-9, 2013.

Michael Thom moved and Jody Waddell seconded to approve out of state travel for Sandi, 8 haa, 0 puuhara, 0 pupitihara.

She then went on to seek approval of two procurements. One, for crack seal which will include shipping with freight. They were provided signatory review. It's a brand of crack seal which has been used for several years and is reliable. This will go for the new crack seal machine that they have ordered.

Jody Waddell moved and Crispin McAllister seconded to approve procurement and the purchase of crack sealer in the amount of \$6,520 from Paving Maintenance Supply Inc., 8 haa, 0 puuhara, 0 pupitihara.

She then presented her second procurement for Stidham Trucking for the purchase of a conex storage container. This is needed to store all the Transportation department equipment. It costs \$5,455 including shipping. It will be put at the Ranch and centralized storage for equipment.

Jody Waddell moved and Bud Johnson seconded to approve the purchase of a storage container from Stidham Trucking, 8 haa, puuhara, 0 pupitihara.

Sandi went on to provide the highlights of her report. She has a schedule for the parking facility at KCDC.

Transit funding was not sought, based on the complexity and time frames to get it out the door. For all of the stage costs that have been incurred, they can seek funding from the Federal transit authority.

Elsa Goodwin moved and Michael Thom seconded to approve Sandi's report, 8 haa, 0 puuhara, 0 pupitihara.

14.) Scott Quinn, Director of Land Management:

Scott is present to review his report. He has received a lot of calls regarding the Cobell Settlement and how several Members have been denied. The Enrollment Department has been working on the needed documents. He reviewed the Pacific Power agreement and tracking the revenue generated from that land.

He is working with KTHA on purchasing the yellow house at the Rustic Inn property to put that funding toward the Tishnak land purchase. He processed the monthly rental statements for the Yreka trailer park.

Scott provided the Preliminary Constraints Assessment from AES which provides that as far as the casino project that there are no red flags and they can go ahead with what has been anticipated. He pointed out that the subject property is prepared for what the project description is.

Scott provided the Council with a letter that was sent to the City of Yreka regarding water and the wastewater requirements. Scott provided the Council with phase I and phase II of the grading report.

Consensus: for Scott to forward the needed documents to the engineers.

Elsa Goodwin moved and Amos Tripp seconded to approve Scott's report, 8 haa, 0 puuhara, 0 pupitihara.

15.) Leaf Hillman, DNR Director:

Toz first sought approval of a resolution. It is a proposal to the USFW 13-R-075 for fall Chinook salmon surveys.

Amos Tripp moved and Bud Johnson seconded to approve the resolution 13-R-075, 8 haa, 0 puuhara, 0 pupitihara.

Craig then sought approval of a contract with the Klamath Salmon Media Collaborative. They have made films on the Tribes stuff previously; they were named in the proposal BOR Modification. Craig provided an example of other media documenting that was done.

Amos Tripp moved and Sonny Davis seconded to approve contract 13-C-051, 8 haa, 0 puuhara, 0 pupitihara.

Leaf then reported back to the Council on the DC trip. It was a whirlwind trip but Leaf felt that it went well. A link was emailed around that includes watching and reading the testimony that was provided for the Tribe. Publicly Mr. Wyden has supported this and soon there will be a convening of all the parties in signatory to the KBRA and others that have not yet determined if they can reconcile the issues between water rights officials and off project irrigators. Water masters are going around shutting off water to ranchers and it is a very serious issue. He suspects that prior to the 2001 issue those people were resistant to coming to the table and it was when their water was shut off; that there were reasonable discussions held. He hopes that this will be an open discussion that can take place.

Craig noted that yesterday's meeting led him to believe that the Council would be interested in developing a sub-committee for the Montague water issue. That discussion will be moved to closed session.

Elsa Goodwin moved and Michael Thom seconded to approve Leaf's report, 8 haa, 0 puuhara, 0 pupitihara.

Phone Votes:

1. Request approval for out of state travel for Leaf Hillman to Washington DC and to make statements on behalf of the Tribe in testimony. Passed.
2. Request approval to allow 2 days of travel for Craig Tucker to Washington DC. Passed.
3. Request approval to waive hiring policy including interview process to select applicant that is best suited for position. Did not pass.
4. Request approval of resolution 13-R-069 authorizing the submission of Blue Shield Foundation Grant. Passed.
5. Request approval of contract 13-C-052. Passed.

6. Request approval to provide porta-potties and dumpster service for the Orleans Dances (Rislings). Passed.
7. Request approval to enter into an agreement with employee for training Director June 10– July 19, 2013. Passed.
8. Request approval of resolution 13-R-061. Passed.
9. Request approval to provide per diem to guardian to take minor to specialist appointment in Sacramento, CA. \$152.50 from Third Party. Passed.
10. Request approval for the design team to attend onsite meeting in Orlando FL, June 10, 2013. Passed.

Elsa is opposed to some of the phone votes as drafted. She provided her votes and they are not reflected in the vote counts. Barbara clarified that Council Members that do not respond in a timely manner and the vote will not be changed with their response, then they are tallied and submitted. Some pass, some don't. She reviewed each one with the Council. Dora agrees that it is not noted to the Membership how the Council Members vote. Barbara commented that they are in the packets and the agendas reflect if they pass or don't. Moving forward the vote count will be attached to the agendas to provide information on what the Council Member votes are.

Dora and Elsa both also want agenda request forms filled out for people requesting to be on the agendas. The Tribal Council is unprepared for what requests they will receive and if another Board is supposed to be approached prior to seeing them, so that needs to be done and included in the packets for the Council prior to putting them on the agenda.

Committee Reports:

NCIDC Meeting Minutes.

Closed Session:

Consensus: to refer Tribal Member #3571 to Robert Super and Michael Thom will take information to the KTHA Board.

Crispen McAllister moved and Michael Thom seconded to approve resolutions 13-R-080 and 13-R081, 8 haa, 0 puuhara, 0 pupitihara.

Consensus: to refer Tribal Member #BM to complete a complain form on the issues she is experiencing with customer service.

Consensus: to refer Tribal Member #4767 to TANF and to apply for open positions when he can.

Amos Tripp moved and Bud Johnson seconded to approve burial assistance in the amount of \$1,000 from discretionary for Tribal Member #739, 8 haa, 0 puuhara, 0 pupitihara.

Consensus: for Council Member's Elsa Goodwin and Sonny Davis to follow the Montague Water issue and to have Amos Tripp as an alternate. Also, information shall be distributed to Jaclyn Goodwin as the Self-Governance Coordinator.

Dora Bernal moved and Crispen McAllister seconded to approve to the listing of the Indian Health Services deficiencies, 8 haa, 0 puuhara, 0 pupitihara.

Consensus: Elders eat free, everyone else \$5 a plate to the Booster Club. Pull the RFP for fish cooking and acorn soup, food cook will remain posted.

Informational: Council will not make a determination on the name the casino contest at this time.

Sonny Davis moved and Crispen McAllister seconded to provide dumpster, porta-potties and potable water for the Katimiin Ceremony, 8 haa, 0 puuhara, 0 pupitihara.

Bud Johnson moved and Sonny Davis seconded to pay for receipt hosting NCTCA meeting with the Karuk Tribe in the amount of \$185.02, 8 haa, 0 puuhara, 0 pupitihara.

Elsa Goodwin moved and Dora Bernal seconded to approve Michael to attend trainings July 16-17, 2013, 8 haa, 0 puuhara, 0 pupitihara.

Dora Bernal moved and Elsa Goodwin seconded to approve insurance with Tribal First, 8 haa, 0 puuhara, 0 pupitihara.

Consensus: to assign Elsa Goodwin and Sonny Davis with Amos Tripp as an alternate to follow the Montague water issues and attend meetings.

Informational: Council Member announced absence and advised of Crispen: advised of severe unidentified health concerns.

Consensus: for Council Member to receive bids for repairing her vandalized vehicle.

Informational: Council Member would like a meeting set between Laura Mayton, Tribal Council and employee #PB to review status of the program.

Informational: Council and employee #3413 need to have a meeting about the program organizational chart.

Next Meeting Date: July 25, 2013 at 3pm in Yreka, CA.

Bud Johnson moved and Crispen McAllister seconded to adjourn at 9:58pm, 8 haa, 0 puuhara, 0 pupitihara.

Respectfully Submitted,

Russell "Buster" Attebery, Chairman
Recording Secretary, Barbara Snider

Action Item:

Approval of letter formally requesting waiver of 90-day award for the Bureau of Reclamation Annual Funding Agreement.

Monthly Updates:

U.S. Forest Service

On July 17th we had our monthly project coordination meeting with the Forest Service. One of the biggest issue we are having is getting FIRE MOU in place. I have received two drafts from Mike Mitten on the Six Rivers and both versions fail to address our concerns. Bill and myself tried to make this clear at the meeting and hopefully we will get some “top down” action on this agreement. We just need them to sign the current agreement. On July 18th I sent Mike Mitten the changes that needed to be made to revert back to the old version of the fire MOU and he said he would be working with Janet Boomgarden to make the changes.

There were several projects updates. We understand that the Forest Service is waiting on direction from their legal counsel regarding the implementation of the mining actions. Although it is clear to us that they have the authority to stop miners from dredging, and other highly intrusive mining efforts, they are still hesitant to take any action without full support from their Office of General Counsel (OGC).

We are awaiting updates on the Siskiyou Telephone/Henkels McCoy Project up the Scott River. It will be good to find out what type of action/remedial efforts they will be implementing. On this project Siskiyou Telephone went outside of the project design and scope and did some diggings and backfill without a Cultural Monitor and dumping in an unapproved area.

Julie and Leaf raised the issue of having the Tribe involved in the early phases of re-site survey/visits. There was a recent survey on a project for Siskiyou Telephone that has been completed without contacting Julie Burcell, our THPO. Julie has requested the site survey, and had requested that in the future we be involved early on, especially when dealing with groups such as Siskiyou Telephone and PacifiCorp as they know the process—they just need to be reminded sometimes apparently.

Due to other priorities I have not been able to contribute a significant amount of time to researching options for our Government to Government Protocol Agreement with the Forest Service. We have rescheduled our next working session for August 15th. I will be spending some time in the next two weeks trying to add language into the agreement to address our concerns. We need to move this forward as an Intergovernmental or Memorandum of Agreement and get an opinion back from the Forest Service Legal Counsel.

Now that we have the pro-bono attorney signed on we will be able to have his assistance on the agreement as well.

DNR

I have attached a letter formally requesting a waiver of the 90-day award requirement for the Bureau of Reclamation AFA. Toz has been working very hard on getting this in place. They need the waiver, as the funds will not be awarded until the end of the calendar year instead of 90 days after we submit the resolution.

Dwinnell Dam—We had a sub-group meeting on July 8th. One of our attorneys was able to attend this meeting and it was very helpful. The communications between the groups have been skewed and things were made a lot clearer. Due to family matters I was unable to attend the meeting in Sacramento on the 11th, so I do not have an update.

Our CA Fish and Wildlife Consultation on June 24th went well. I have e-mailed the meeting minutes to you all, please let me know if you need a hard copy and I can provide that to you as well. We will be following up with Sonke Mastrup to see how we can meet our short term and long term goals. He had mentioned a Tribal Commission to help get some good groundwork done on these issues—lamprey regulations and elk tags—these are top priority and further overall management strategies will be the long term goal. Hopefully we can get this set up in the next few months.

I spoke with Heather Hostler regarding the River Closure at the state level. She said they do have an update, I am writing for a call from Liane Randolph at the Department of Natural Resources for the full “legal” update.

BIA

On July 18th I received our Reprogramming Request from Gordon Smith—Office of Self Governance. I will be going through it and working with Laura Mayton to make sure everything looks good and we can get this processed. Gordon mentioned coming to Happy Camp at the end of the year or early next year to do our compact negotiations. Our current Multi-Year Funding Agreement ends in 2014.

I contacted Yvonne Jones, our BIA Fire Management Officer to discuss reimbursement for responding to non-fire incidences such as natural disasters (hurricanes, floods, tornados) or other man-caused disasters such as bombing recovery, etc. She indicated that they do not have the funds to reimburse for anything beyond wild land fire. I believe the authority is there, but it is just finding out how to get it in place, if it isn't already in place. I will be researching this issue more to figure out how to get this in place.

KRAB Meeting

On July 2nd we held our KRAB Meeting. Topics that I am working on include a letter to the Six Rivers Forest Supervisor with our recommendation on closing the Flint Valley Road, as

well as a request for mineral withdrawal for the Inaam and Katimiin Cultural Management Areas.

Legislative Updates

In late June the House of Representatives rejected H.R. 1947—Federal Agriculture Reform and Risk Management Act of 2013 was rejected. Now efforts are focused on another continuation of the 2008 Farm Bill. The Farm Bill has been useful for closure of river access points for Tribal Ceremonies. Additionally, there is an attempt to get more language in the Farm Bill regarding Traditional Foods and incorporating them into food programs such as Head Start, Child Care Facilities, and Senior Nutrition Program.

On July 24th I will be meeting with Assemblyman Brian Dahle’s Legislative Director to get some information regarding the legislative process.

Housing

I will be attending the O-Link Agreement Meeting with KTHA Executive Director Erin Hillman on July 26th. This agreement is between 11 tribes and it lays out the process for funding in areas of overlap for the 11 tribes in northern California and Oregon. Hopefully the meeting is productive and the group can come to a consensus on the distribution of funds. We end up paying some money to another Tribe through this process, but in the end it works out that we get more than we would if we used “HUDs Numbers” to develop the allocation amount.

Law Enforcement

I was able to speak with Sheriff Down of Humboldt County Sherriff. He is going to follow up with the Sergeant in charge of the Orleans area as he was not aware of the recent issues that we have been hearing about. I also discussed some of the specifics of issues and incidences with Erin Hillman. She indicated there is a lack of hope among the community members and they have given up on law enforcement. She recommended a multi-faceted approach to the problem including attending meetings in Humboldt County raising the issue, researching and planning for our own Tribal Law Enforcement, contacting State and Federal Law Enforcement agencies to get their support on the issue. The fact is that there have already been multiple tragedies and incidences that could have been prevented if people believed they would be prosecuted or punished for their actions, but a precedent has been set that nothing will be done to the criminals. With this in mind, we must understand that this is a multi-year project that will need ongoing attention. It would really help me if people can send me information and contacts regarding incidents as well as recommendations on options for us to pursue.

Karuk Community Health Clinic

64236 Second Avenue
Post Office Box 316
Happy Camp, CA 96039
Phone: (530) 493-5257
Fax: (530) 493-5270

Karuk Tribe

**Administrative Office**

Phone: (530) 493-1600 • Fax: (530) 493-5322
64236 Second Avenue • Post Office Box 1016 • Happy Camp, CA 96039

Karuk Dental Clinic

64236 Second Avenue
Post Office Box 1016
Happy Camp, CA 96039
Phone: (530) 493-2201
Fax: (530) 493-5364

Jason Phillips
Klamath Basin Area Office Manager
6600 Washburn Way,
Klamath Falls, Oregon 97603

July 25, 2013

Dear Mr. Phillips,

The Karuk Tribe is submitting our request for the Fiscal Year 2014 Annual Funding Agreement, with the Bureau of Reclamation. Mr. Toz Soto has been working on the documents. This agreement is made under the Indian Self-Determination and Education Assistance Act (P.L. 93-638), and we understand that an award is supposed to be made 90 days after the receipt of our Tribal Resolution and associated documents.

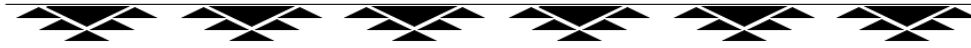
The Karuk Tribal Council formally requests to waive this requirement of awarding funds within 90 days of receipt of the Tribal Resolution, with the understanding that the award will be made at the end of the calendar year 2013.

Please contact Jaclyn Goodwin, (530) 493-1600 ext. 2041 or Toz Soto, (530) 627-3446 if you have any questions. Thank you.

Sincerely,

Russell "Buster" Attebery
Karuk Tribal Chairman

Erin Hillman
KTHA Council Report
July 25, 2013



I was out of the office on vacation for two weeks; during that time the KTHA staff did an excellent job holding down the fort. Many thanks go to my staff and the Board Members who assisted with day to day business in my absence. Yootva!!!

Indian Housing Plan 2014-

The KTHA Indian Housing Plan was submitted on July 3rd, two weeks ahead of the deadline. Our Plan received complements from the HUD Grants Management Staff, stating it was “very well done”. The next step is to submit the request for approval on the Model Activity, the green building project. That will include

Formula Negotiated Rulemaking:

The comment period for the Notice of Proposed Negotiated Rulemaking Committee Membership will closed. Four comments were received for this round of committee selection. The only relevant comments were in regards to having representation for small California Tribes, whose memberships are less than 500. It was recommended that larger Tribes in other give up one representative to make room to satisfy this request.

The first meeting of this committee is rumored to be scheduled for the later part of August.

NAHASDA Reauthorization:

Please see the attached notice from NAIHC that focuses on the House and Senate THUD Spending Proposals. The expectation is that there will be much debate over spending. I also attached the actual pages of the Senate and House Proposals.

For more THUD proposed budget information, go to:

Senate: <http://origin.library.constantcontact.com/download/get/file/1102839656375-913/Senate+THUD+062713.pdf>

House: <http://origin.library.constantcontact.com/download/get/file/1102839656375-914/House+THUD+062013.pdf>

2014 O-Link meeting:

Jaclyn Goodwin is joining us for the O’Link Negotiations Meeting to be held in Roseburg OR on July 26th. We are leaving right after the Council meeting.

Child Care Feasibility Study-

The Child Care Feasibility Study pre-bid conference was held on July 18th, and there were no attendees. This was not a mandatory pre proposal conference. I did not get many people inquiring on the RFP by phone or email. We sent it out to several places in addition to having it posted on the Tribe’s website. The proposals are due on July 31st. We’ll have to see what kind of interest is generated and go from there.

Training-

We had great success with the on-site trainings provided to Housing Staff, with the remaining seats going to Tribal staff members from multiple departments. I am starting to plan for the next round of trainings for KTHA so I have proposed several options to the Board. They include:

- Workplace Safety
- Leadership Skills, Teambuilding
- Boosting Morale and Motivational Exercises
- Healthy Work Environment/ Practices
- Organizational Skills
- Sexual Harassment/Harassment/ Bullying (dealing with)
- Indian Housing Law

Personnel-

Due to the growing need for security in both Orleans and Happy Camp communities, and the declining presence or complete lack of presence of Law Enforcement Officers in each place, the Board approved the advertisement for a second Security and Emergency Services Officer. This position will float between Happy Camp and Orleans. We are also down one man on the Force Account Crew due to a non work related injury, rehiring for the Orleans Landscaper and will be advertising for the shared Tribe/Housing Maintenance Engineer position, vacated by Brian Gonzales.

Property Purchase:

The Yellow House appraisal has been completed; we are waiting on the report.

Fiscal-

Carter and I have sketched out the basic construction of a chart of accounts for the new Accounting Software, Accufund. This is to be installed in August. It will mimic the Tribe's chart of accounts.

Comstock House/ Louden House Plans-

The Transportation Department declined to provide a quote to fill in the pool. The Scope of Work should be out to bid by Monday the 22nd.

The Domestic Violence Program (April Attebury) stated on June 26th they would be looking into meeting with the County to discuss options with the Comstock unit. The County also requested a description of the project the Tribe was intending to implement.

Morongo Tribe-

Buster, Charlene, Kristen and I are registered for the Housing Conference sponsored by the Morongo Tribe. It is my intent to develop two separate proposals for the Morongo Tribe. In order to start the proposal process, I sought feedback from the Board. Each proposal would be for approximately \$450,000 (the amount quoted to me by the Morongo Realty/Housing Director). These options are based on where we are the most ready to construct, and projects staff is recommending. Option One: Additional funding to build the Residents Center in Happy Camp- next to Blue House. Option Two: 2 new homes in Yreka.

Katishraam Wellness Center-

The Mechanical plans are completed. The electrical and plumbing are almost completed. We are looking at bidding out the project in early August.

Housing Improvement Program (HIP)-

The Indian Creek project plans are completed. We are going out for bid the week of July 22nd. Steve is coordinating with Robert Attebery and the Applicant on specific materials styles, and color choices. This will include paint, fixtures, cabinet and flooring choices.

KTHA Staff Reports:

Scott Nelson, Director -Yreka Education Center

Summer vacation is in effect. I've set some policies for kids under the age of 14 that I hope will encourage them to learn while accessing the Center's computers. There are quite a few kids who access the center's computers and they love to play games or do social networking for hours at a time. I am asking that kids complete at least two hours of computer instruction with me on an individualized basis if they want to have unlimited time on the computers. If they fail to meet these requirements, they will be limited to 30 minutes a day.

We now have classes on Monday and Tuesday evenings; Karuk Language class on Monday and a Karuk Culture class on Tuesday. Both classes are under the supervision of Florraine Super. I have been collaborating with Florraine on obtaining beading supplies for the Tuesday class.

I continue to collaborate with Charles Sarmento, Security on various classes on drug/alcohol education and related topics. We held a Fire Safety presentation on June 27th. We provided a BBQ and feel this is timely due to the recent fire.

I thank the Board of Commissioners for allowing us to continue to use Jeff McAllister for the summer when I am on vacation, so that residents will have access to the Computer/Education Center.

Sara Spence, Executive Assistant

Environmental: The environmental review for the Blue House purchase, resident center/park/playground development, replacement of the maintenance shop, and construction of retaining walls along Jacobs Way, Shan D, and Itroop is nearly completed. Pending the outcome of the Yellow House property negotiations, an environmental review will be started for that project.

Construction: Many projects that have been in the planning stages and environmental review process are getting underway making for a busy summer including the Hoopa home replacement, Orleans single family home, Kahtishraam Wellness Center, Happy Camp duplexes, Happy Camp emergency housing development (RV Park development), two home rehabilitation projects, Yreka monument construction, Happy Camp HIP home, and Camp Creek apron paving.

Administrative: One contract was assigned for the construction of a single family home in Orleans.

Human Resources: The insurance coverage for Housing was successfully transitioned for all employees. The workers' compensation policy renews August 1 with a minimal increase considering that the loss ratio was very high last year. We lost the recently hired Orleans Landscaper so that position will be re-advertised with a driver's license preferred rather than required since the applicant pool was very limited in that community and the staff are stretched very thin. Brian Gonzalez accepted the Maintenance Supervisor position in Yreka and the Shared Maintenance position will be advertised in the very near future. Ashley Thom and Armando Guevara were hired for the Yreka summer youth positions and Ryan Mitchell was hired for Happy Camp, the remaining Happy Camp applicants were unable to accept the position and there were no applications from Orleans youth

Richard Black- Construction Manager/ Force Account Crew Supervisor-

Note: Richard's last day as Maintenance Supervisor in Yreka was July 16th. He is now training Brian Gonzales, and transferring his duties related to maintenance department oversight.

We have been doing the work orders on Mondays to get caught up and stay on top of older work orders. There has been one maintenance engineer who has been responsible for new incoming weekly work orders that are being called in daily. On July 3rd, 4th, 9th, 10th, 16th and the 17th the maintenance crew has been doing trash runs, with the exception of the 3rd and 4th it was only using two maintenance workers each. Thursdays and Fridays have been unit prep days, but with constant work coming in a lot of half days have been seen. We will improve on unit prep next month when we are not so busy with annual work. We as a team have completed filter changes in the entire housing department in the month of July. All KTHA maintenance trucks have had oil changes and general maintenance done this month. Terminix contracts for KTHA property have been set up and sprayed this month and are now on an annual contract. 1501 Comstock was broken into over a weekend and maintenance was required to board up the home for safety. The Force Account Crew has been working on the prepping, priming and painting the homes on the hill. I have been working on Scopes of Work for a lot of different construction projects, which include sprinkler systems in the Yreka area, home rehab contracts, FEMA Trailer Ramada's and the Yreka Monument. Brian and I are working together on the good change to maintenance, and (we) have checked the FEMA homes. They seem to be holding up alright, only requiring the same stuff that needed to be completed and was seen on the first walk through.

Ann Escobar, Assistant Director/Operations Manager-

The Operations Manager continues to work on TRO/ Admissions documents and statistical information, preparing for the year end and the Annual Performance Report activity. Security travels to HC and Orleans weekly. A fire occurred in Yreka and children were involved. The children are being required to attend a fire safety activity with the local fire department. There was a community wide effort to deal with garbage and trash; several trash days were coordinated with the Maintenance Department. A fire in the Orleans community was reported and was investigated. I have completed and received Board approval for the Emergency Housing Policy and the Temporary Voucher Program Policy, both are new policies. I have been working with the TRO's and Maintenance on the new electronic Work Order system. Notices and Evictions are still being processed in accordance with KTHA Policy. I will do my best to follow up on concerns or requests as they are presented to me.

Steve Mitchell, Inspector, HC and OR Maintenance Director

The HC/Orleans Inspector/Maintenance Director has been working on debugging the electronic work order system implementation. Our delay is due to technology at this point. Annual repairs continue, work orders are addressed as submitted. The hazard tree removal is completed. The Fourth Avenue Home solicitation for ramp, ramada and utility connections is to be bid next week. The Hoopa Home replacement contract was awarded. The plans for the HC Duplexes are substantially complete. The Lower Tishawnik home construction contract is to be presented to the Board for review and approval on July 22nd. The Happy Camp HIP home plans are complete; we are expecting to be out for bid the week of July 22nd. Landscaper Smokey Titus is assisting in some Unit Prep, making minor repairs to sprinkler systems, and traveling to Orleans to work while we seek a replacement for that position.

Carter Bickford, Chief Financial Officer:

We have Mooch back to work, so now we are finally fully staffed. We have a signed contract with Accufund, and have scheduled the installation of the financial software and the training to begin August 19th. The quarterly payroll reports have been completed and submitted to the IRS and the California Employment Development Department.

COMMITTEE RECOMMENDATION

The Committee recommends an appropriation of \$75,000,000 for the Family Self-Sufficiency program in fiscal year 2014, an amount equal to the President's request. While no funding was provided under this heading in fiscal year 2013, \$59,880,000 was provided under the Tenant-Based Rental Assistance account for FSS coordinators serving voucher holders and \$15,000,000 was provided out of the Resident Opportunity and Self Sufficient set-aside in the Public Housing Capital Fund for coordinators assisting public housing residents. The two programs have been consolidated to increase efficiency since many PHAs serve both section 8 and public housing residents.

NATIVE AMERICAN HOUSING BLOCK GRANT

Appropriations, 2013 ¹	\$648,700,000
Budget estimate, 2014	650,000,000
Committee recommendation	675,000,000

¹ Does not reflect the March 1, 2013, sequester of funds under Public Law 112-25.

PROGRAM DESCRIPTION

This account funds the Native American Housing Block Grant Program, as authorized under title I of the Native American Housing Assistance and Self-Determination Act of 1996 [NAHASDA]. This program provides a funding allocation on a formula basis to Indian tribes and their tribally designated housing entities to help address the housing needs within their communities. Under this block grant, Indian tribes use performance measures and benchmarks that are consistent with the national goals of the program, but can base these measures on the needs and priorities established in their own Indian housing plan.

COMMITTEE RECOMMENDATION

The Committee recommends an appropriation of \$675,000,000 for the Native American Housing Block Grant Program, of which \$2,000,000 is set aside for a credit subsidy to support a loan level not to exceed \$16,530,000 for the Title VI Loan Guarantee Program. The recommended level of funding is \$26,300,000 more than the amount provided in fiscal year 2013, and \$25,000,000 above the budget request.

The Native American Housing Block Grant Program is a vital resource for tribal governments to address the dire housing conditions in Indian Country. Access to affordable housing has reached a critical state for many tribes across the country. Native Americans are twice as likely to live in poverty compared to the rest of the Nation. As a result, the housing challenges on tribal lands are daunting. According to the U.S. Census American Community Survey for 2006-2010, 8.5 percent of homes on American Indian reservations and off-reservation trust land are overcrowded, compared to 3.4 percent of households nationwide. The number of households on reservation lands that spend more than 50 percent of their income on housing has risen 47 percent over the past decade.

To better understand housing conditions in Indian Country, in 2010, the Committee directed HUD to conduct a tribal housing

needs assessment. The most recent data is from 1996, and clearly the housing conditions in Indian Country have only gotten worse. The Committee directs HUD to complete work on the new assessment by September 30, 2014. The Committee believes this will provide Congress with valuable information of the full scope of the tribal housing crisis.

The subcommittee staff conducted site visits to several tribes over the course of the past year to better understand the challenges to developing and maintaining affordable housing in Indian country. The conditions found there were disturbing and the magnitude of the need overwhelming. Many Tribally Designated Housing Entities [TDHE] lack access to financing and credit to develop new housing due to the difficulty of financing when trust lands are involved. Most development projects take 3 years or longer to complete due to issues related to Bureau of Indian Affairs [BIA] land approvals, permitting approvals by both the Federal Government and tribal government, and the lack of infrastructure in many of these sparse, remote locations. In 2012, the Committee directed GAO to conduct an analysis of these and other challenges associated with the development of affordable housing in Indian Country. The Committee believes this evaluation should highlight best practices to assist TDHEs with addressing the significant housing needs they face, and provide recommendations on ways to streamline conveyance and permitting requirements.

Technical Assistance.—The Committee recommends \$4,000,000 for technical assistance through a national organization representing Native American housing interests as authorized under NAHASDA (25 U.S.C. 4212), and \$2,000,000 for inspections of Indian housing units, contract expertise, training, technical assistance, oversight, and management.

The Committee has noted GAO's assessment that limited capacity hinders the ability of many tribes to effectively address their housing needs. The Committee expects HUD to use the technical assistance funding provided to aid tribes with capacity challenges, especially tribes receiving small grant awards. The funding should be used for training, contract expertise, and other services necessary to improve data collection, increase leveraging, and address other needs identified by tribes. The Committee expects that any assistance provided by HUD will reflect the unique needs and culture of Native Americans.

As HUD works to address the needs of tribes, and especially smaller tribes, the Committee hopes that HUD will look to identify opportunities to coordinate with other agencies, including the Department of Agriculture and the Indian Health Service.

NATIVE HAWAIIAN HOUSING BLOCK GRANT

Appropriations, 2013 ¹	\$12,974,000
Budget estimate, 2014	13,000,000
Committee recommendation	13,000,000

¹ Does not reflect the March 1, 2013, sequester of funds under Public Law 112-25.

PROGRAM DESCRIPTION

The Hawaiian Homelands Homeownership Act of 2000 created the Native Hawaiian Housing Block Grant program to provide

grants to the State of Hawaii Department of Hawaiian Home Lands for housing and housing-related assistance, in order to develop, maintain, and operate affordable housing for eligible low-income Native Hawaiian families.

COMMITTEE RECOMMENDATION

The Committee recommends an appropriation of \$13,000,000 for the Native Hawaiian Housing Block Grant Program, which is \$26,000 more than the fiscal year 2013 enacted level and equal to the budget request. Of the amount provided, \$300,000 may be for training and technical assistance activities, including up to \$100,000 for related travel for Hawaii-based HUD employees.

INDIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT

	Program account	Limitation on guaranteed loans
Appropriations, 2013 ¹	\$12,176,000	\$976,000,000
Budget estimate, 2014	6,000,000	1,818,000,000
Committee recommendation	6,000,000	1,818,000,000

¹ Does not reflect the March 1, 2013, sequester of funds under Public Law 112-25.

PROGRAM DESCRIPTION

This program provides access to private financing for Indian families, Indian tribes, and their tribally designated housing entities that otherwise could not acquire housing financing because of the unique status of Indian trust land. HUD continues to be the largest single source of financing for housing in tribal communities. This program makes it possible to promote sustainable reservation communities by providing access to financing for higher income Native Americans to achieve homeownership in Native communities. As required by the Federal Credit Reform Act of 1990, this account includes the subsidy costs associated with the loan guarantees authorized under this program.

COMMITTEE RECOMMENDATION

The Committee recommends an appropriation of \$6,000,000 in program subsidies to support a loan level of \$1,818,000,000. This subsidy amount is \$6,176,000 less than the fiscal year 2013 enacted subsidy level and equal to the budget request.

NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

	Program account	Limitation on guaranteed loans
Appropriations, 2013 ¹	\$385,000	\$41,504,000
Budget estimate, 2014	385,000	41,504,000
Committee recommendation	385,000	41,504,000

¹ Does not reflect the March 1, 2013, sequester of funds.

PROGRAM DESCRIPTION

This program provides access to private financing for Native Hawaiians who otherwise could not acquire housing finance because of the unique status of the Hawaiian Home Lands as trust land. As required by the Federal Credit Reform Act of 1990, this account includes the subsidy costs associated with the loan guarantees authorized under this program.

COMMITTEE RECOMMENDATION

The Committee recommends an appropriation of \$385,000 in program subsidies to support a loan level of \$41,504,000, which is equal to the subsidy and loan levels provided in fiscal year 2013.

COMMUNITY PLANNING AND DEVELOPMENT

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS [HOPWA]

Appropriations, 2013 ¹	\$331,336,000
Budget estimate, 2014	332,000,000
Committee recommendation	332,000,000

¹ Does not reflect the March 1, 2013, sequester of funds under Public Law 112-25.

PROGRAM DESCRIPTION

The Housing Opportunities for Persons with AIDS [HOPWA] program provides States and localities with resources and incentives to devise long-term, comprehensive strategies for meeting the housing and supportive service needs of persons living with HIV/AIDS and their families.

Since 1990, by statute, 90 percent of formula-appropriated funds are distributed to qualifying States and metropolitan areas on the basis of the number of AIDS cases and incidence of AIDS reported to the Centers for Disease Control and Prevention by March 31 of the year preceding the fiscal year. The remaining 10 percent of funds are awarded through a national competition, with priority given to the renewal of funding for expiring agreements consistent with appropriations act requirements.

COMMITTEE RECOMMENDATION

The Committee recommends an appropriation of \$332,000,000 for the Housing Opportunities for Persons with AIDS [HOPWA] program. This level of funding is equal to the President's budget request and is \$664,000 more than the fiscal year 2013 enacted level. The Committee continues to include language requiring HUD to allocate these funds in a manner that preserves existing HOPWA programs, to the extent that those programs are determined to be meeting the needs of persons with HIV/AIDS.

The HOPWA program currently provides short-term and permanent housing assistance and stabilizing supportive services to more than 56,000 households in 134 eligible areas nationwide. Of the households receiving assistance, 94 percent have extremely low or very low incomes. According to grantee annual reports from 2012, 15 percent of new clients, representing 4,632 households, were homeless at program entry. Of these, 1,147 were identified as veterans.

1 NATIVE AMERICAN HOUSING BLOCK GRANTS

2 For the Native American Housing Block Grants pro-
3 gram, as authorized under title I of the Native American
4 Housing Assistance and Self-Determination Act of 1996
5 (NAHASDA) (25 U.S.C. 4111 et seq.), \$600,000,000, to
6 remain available until September 30, 2018: *Provided*,
7 That, notwithstanding the Native American Housing As-
8 sistance and Self-Determination Act of 1996, to determine
9 the amount of the allocation under title I of such Act for
10 each Indian tribe, the Secretary shall apply the formula
11 under section 302 of such Act with the need component
12 based on single-race census data and with the need compo-
13 nent based on multi-race census data, and the amount of
14 the allocation for each Indian tribe shall be the greater
15 of the two resulting allocation amounts: *Provided further*,
16 That of the amounts made available under this heading,
17 \$2,000,000 shall be contracted for assistance for national
18 or regional organizations representing Native American
19 housing interests for providing training and technical as-
20 sistance to Indian housing authorities and tribally des-
21 igned housing entities as authorized under NAHASDA;
22 and \$2,000,000 shall be to support the inspection of In-
23 dian housing units, contract expertise, training, and tech-
24 nical assistance in the training, oversight, and manage-
25 ment of such Indian housing and tenant-based assistance,



The Federal Monitor

Current Affairs Affecting Tribes & Tribal Housing Programs

Volume 13, Issue 8
July 11, 2013

The National American Indian Housing Council (NAIHC), a 501(c)(3) non-profit organization, assists tribes and tribally designated housing entities (TDHEs) in reaching their goal of providing culturally relevant, decent, safe, sanitary, quality, and affordable housing for native people in Indian communities, Alaska Native villages, and on native Hawaiian Home Lands.

NAIHC Office of Governmental Affairs (OGA)

900 2nd Street, NE
Suite 107
Washington DC
20002

Phone: (202)789-1754
Facsimile: (202)789-1758

Shawn D. Pensoneau
Director
spensoneau@naihc.net
www.naihc.net

Wide Gap Between House and Senate THUD Spending Proposals

House and Senate Appropriators released their FY 2014 funding proposals for Transportation, Housing and Urban Development (THUD) that include stark differences. The Senate THUD Subcommittee approved a \$54 billion draft bill highlighting significant contrast to the leaner House THUD counterpart at \$44 billion. The \$9 billion difference is expected to cause long drawn out debates throughout this summer.

Indian housing program funding and policy differs significantly in the respective House and Senate proposals. Indian Housing Block Grant details can be found beginning on page 115 of 197 in the [Senate proposal](#) and beginning on page 86 of 156 in the [House proposal](#).

The information below is extracted bill text.

Senate FY 2014 THUD Proposal

- Indian Housing Block Grant - \$675 million
- Training and Technical Assistance - \$4million to be provided by a national organization, as authorized under NAHASDA (25 U.S.C. 4212)
- Indian Community Development Block Grant - \$70 million including a \$10 million set aside for mold remediation
- 184 Loan Program - \$6 million
- Title VI Loan - \$2 million
- Native Hawaiian Housing Block Grant - \$13 million

House FY 2014 THUD Proposal

- Indian Housing Block Grant - \$600 million
- Training and Technical Assistance - \$2 million for national and regional organization. The House had specific instructions to ensure any national or regional organization chosen must have proven experience providing such assistance to tribal entities.
- Indian Community Development Block Grant - \$60 million
- 184 Loan Program - \$6 million
- Title VI Loan - \$2 million
- Native Hawaiian Housing Block Grant - \$0

We need your help

NAIHC seeks your support by emailing or faxing letters to Members of Congress asking that they strongly support the Senate version of FY 2014 THUD spending bills. The Senate THUD proposal provides well-reasoned arguments for higher allocations due to overwhelming need, lack of access to capital and other issues related to housing development.

A sample letter to Congress is in the development stage and will be available in the coming weeks.

NAHASDA reauthorization update

The Native American Housing Assistance and Self-Determination Act (NAHASDA) is set to expire September 30, 2013. Throughout 2012, the National American Indian Housing Council carefully gathered input from NAIHC membership on what has worked and what changes should be considered to enhance the delivery of NAHASDA programs. Those recommendations were finalized in December 2012.

In early March 2013, NAIHC finalized a “discussion draft” bill that included concepts approved throughout this process. That discussion draft has been shared with NAIHC membership, Members of Congress and Indian housing advocates. We expect a NAHASDA reauthorization bill to be released by Representative Steve Pearce (R-NM) and Representative Don Young (R-AK) and others in the next few days.

NAIHC’s comprehensive process to solicit significant input from tribal leaders and housing professionals resulted in a solid legislative proposal supported on a consensus basis. Copies of the NAHASDA reauthorization discussion draft and a section by section summary can be viewed at www.naihc.net.

Congress returns from July 4th recess

Congress returned this week to potentially long drawn out debates over nominations, unresolved disputes over student loans and the farm bill, and the uncertainty of whether lawmakers have the political will to rewrite the nation’s immigration laws. DC-insiders believe the 113th Congress could set a new record for least number of bills enacted--another reason to remain unified in our efforts to reauthorize NAHASDA.

Save the date – 2013 Legal Symposium

NAIHC is hosting its 2013 Legal Symposium December 10 -12 at The Venetian Resort Hotel Casino in Las Vegas. Details will be available soon.

Tribal Council Report
Karuk Tribe Department of Transportation
Council Meeting: July 25, 2013

Submitted by: Sandi Tripp, Director of Transportation
Date: July 18, 2013

Red Cap Bikeway

As noted in last month's report this project is partially funded through a grant from Humboldt County and the State of California Dept of Transportation in the amount of \$420,000. This funding is available to us through a phased drawdown process that ensures milestones and project schedules are met. I am currently researching to determine a solid funding stream to ensure project delivery is as scheduled.

Project Update:

As noted last month the Cultural Resources Inventory Report (CRIR) that was developed through a subcontractor, LACO Associates, did not meet the requirements for approval by the Karuk Tribal Historic Preservation Officer (THPO). Due to the complications with the CRIR our THPO a consultant for the bridge project on Red Cap will be working together to update and revise the CRIR.

On July 18, 2013 I met with Humboldt County and discussed the Red Cap Road speed study and final survey conducted on July 9, 2013. These two studies were a necessary element in the design phase of this project. I do expect to have a 75% plan set for your review by September 2013.

We are currently meeting all project timelines and task milestones for this project. I will share all relevant project information with Tribal Council as it becomes available.

Happy Camp Streetscapes (Complete Streets Project)

This is complex ongoing project and I anticipate several years of coordination and collaboration with multiple agencies. I will continue to provide Council a Project overview with status updates as relevant information becomes available.

Project Overview: As you are aware, we have been developing a complete streets project in Happy Camp to improve safety and mobility for residents and visitors to the area. This project was first identified in our Middle Klamath River Community Transportation Plan. Over the last several months I have met with Caltrans District 2 Planners and Engineers and we have agreed that the appropriate next step in the planning process is a Project Study Report (PSR). This month I met with Caltrans District 2 Senior staff; and, although Caltrans had previously offered the services of their in-house Engineer for the PSR development, they now identify budget constraints due to issue of Federal Sequester.

Project Update:

The next steps to move this project forward are the development of a Safety Plan and PSR.

*I expect to receive Notice of Availability for Tribal Transportation Safety Funding very soon and I plan to submit a proposal to develop of a Safety Plan for this project.

*The PSR will enhance our abilities to elevate this project to priority status with Caltrans. If no other funding is available by October 2013, I can allocate the necessary TTP funds for this phase.

I will share all relevant project information with Tribal Council as it becomes available.

KCDC/KTHA Parking Facility

After two years of planning, design, utility relocation, environmental approvals we were able to advertise this project for bid on July 18, 2013. I conducted a Pre-Bid meeting with interested contractors on July 17, 2013. We will accept bids through July 24, 2013.

In order to complete this Project within the seasonal timeframe allotted, I have developed a clearly defined project schedule (previously emailed to Council). I look forward to attending the next Council Planning Mtg to request approval for the select contractor for this project. I will share all relevant project information with Tribal Council as it becomes available.

Orleans Wellness Cnt – Asip Road Parking Facility Project

I have been working with FHWA to review the Environmental Analysis (EA) that was previously completed for the Orleans Wellness Center. FHWA has made a preliminary determination of “no historic properties affected”. Although, the final step in the compliance process is to ensure concurrence is met with the Karuk Tribe THPO.

Last month I met with the Karuk Resources Advisory Board (KRAB) to review the HUD EA that was completed for the new Wellness Center Project. Although the EA clearly focused on the actual building and its possible impacts to the site; we may in fact, be able to utilize the documents findings to ensure site mitigations are developed and Section 106 compliance is met. I have sent a copy of the EA to Julie Burcell, Karuk THPO. To date I have not had further correspondence with our THPO regarding this project. I do look forward to coordination with the THPO and moving this project forward.

Additionally, during the consultation with the KRAB I discussed the hazard trees located at this site and plan to develop an RFP to these remove trees before we begin construction. This tree removal is a necessary part of project development at this site and will ensure visitor and patient safety. I have completed the Request for Bids to accomplish all tree removal tasks at this Project site. I plan to advertise the RFB next week.

I continue to work with our Engineering Firm, GHD Inc., to develop a PS&E package for this project. I will share all relevant project information with Tribal Council as it becomes available.

Head Start ADA Bus Drop Off Project

As noted last month, This project will ensure safe access to the Karuk Tribe Head Start Building in Happy Camp for students and other visitors with mobility limitations, as per the Americans with Disabilities Act (ADA).

In June, project proposals were ranked and a contractor was selected for this Project.

Project Update: Project Complete!! The contractor, Advantage Paving and Excavating, Inc. did a quality job; as did, Bucky Lantz, DOT Lead Maintenance Worker. Bucky preformed all construction management tasks on this project and ensured this project was completed as per specification and within our designated timeframe. Good job Bucky!

Tribal Transit Funding

As noted last month, I have been working with the Federal Transit Administration (FTA) – National Transit Database (NTD), to obtain formula funds for the costs associated with the agreement that we currently have with Siskiyou County and the STAGE public transit program. I am glad to report that I have completed the necessary steps with the NTD and we are now eligible to receive Federal Transit Administration funding for transit cost incurred beginning October 1, 2013. (See attached: Federal Transit Administration, letter of acceptance into the Section 5311(j) Tier 3 of the TTP apportionment funding for FY 2014) **This is new annual formula funding apportionment allocation.** To ensure continued annual funding we will be required to submit our transit data into the NTD annual reporting system.

I met briefly with the Yurok Tribe on July 18, 2013 and discussed opportunities to enhance the current transit services between Orleans and Weitchpec. The conversation though brief was really positive and I will be meeting at the Weitchpec offices during the week of August 8, 2013 to begin coordination to expand services with Klamath Trinity Non-Emergency Transit and the Yurok Tribe.

Transportation Maintenance

Bucky Lantz, Lead Roads Maintenance Worker and our new employee Pim Cename, has been hard at work this month ensuring safe ingress/egress on Tribal roads and facilities.

DOT Maintenance Projects include; but, are not limited to the following:

- Construction Management for Karuk Tribe Head Start ADA Bus Drop-off Project in Happy Camp.
- Working complete procurement documentation for equipment and supply purchases.
- Took possession of our new CRAFCO Crack Seal Machine.
- Performed site development and placement of our new conex storage container at the Ranch in Happy Camp.
- Ongoing removal of roadside vegetation in Orleans, Happy Camp and the Yreka area routes within KTHA housing complexes.
- Ongoing Gutter and DI maintenance on all Tribal routes including Yreka, Happy Camp and Orleans
- Ongoing equipment maintenance and repair
- Ongoing route review to identify maintenance and project needs

Action Items:

No Action items at this time.



U.S. Department
Of Transportation
**Federal Transit
Administration**

Headquarters

East Building
1200 New Jersey Avenue, SE
Washington, DC 20590

July 19, 2013

SENT VIA EMAIL

Ms. Sandi R. Tripp
Director of Transportation
Karuk Tribe
64236 Second Avenue
Happy Camp, CA 96039

Subject: Tribal Transit Apportionment – Tier 3 Funding for Tribal Lands

Dear Ms. Tripp:

I want to thank you for your cooperation in assisting the Federal Transit Administration (FTA) in gathering additional data for 2014 Tribal Transit apportionment. Under MAP-21, the Tribal Transit Program (TTP) distributes \$25 million by a formula apportionment to eligible Indian tribes providing public transportation on tribal lands.

FTA will use vehicle revenue miles data from your 2012 report submitted to the National Transit Database (NTD) for Tier 1 and Tier 2 of the TTP formula. The following excerpt from MAP-21 Section 5311(j) subparagraph (A)(iii) defines Tier 3 of the TTP apportionment:

“25 percent of the total amount shall be apportioned among each Indian tribe providing public transportation on tribal lands (as defined by the Bureau of the Census) on which more than 1,000 low-income individuals reside (as determined by the Bureau of the Census) so that each Indian tribe shall receive an amount equal to the total amount apportioned under this clause multiplied by the ratio of the number of low-income individuals residing on an Indian tribe’s lands divided by the total number of low-income individuals on tribal lands on which more than 1,000 low-income individuals reside.”

FTA will use the number of low-income individuals residing on tribal lands as designated by the U.S. Census Bureau for tribal lands in which you provide tribal transit service. Our current records indicate that service reported on your NTD report was provided on the following census-designated tribal land(s):

Karuk Reservation and Off-Reservation Trust Land, CA

Please contact your NTD analyst, Joseph Eldredge, at 434-993-8690 if you have any questions regarding this information, or if you wish to make a modification to the designated tribal lands. I thank you for your continued commitment to the NTD Program.

Respectfully,

A handwritten signature in black ink, appearing to read 'A. Lofton', with a long horizontal stroke extending to the right.

Andrew Lofton
National Transit Database
Validation Project Manager

Karuk Department of Tribal Lands Management

July 25, 2013 Council Report

Scott Quinn – Director
June 27, 2013 to July 18, 2013

1. Land –

On 6/28, at Jaclyn Goodwins request, I reviewed a letter from CATG regarding the state of California Attorney General's Office regarding their opposition to certain BIA Tribal Fee to Trust Application.

We received a letter from the City of Yreka regarding tall, dry weeds on our Tynes Property. In the letter they are requesting that we cut a 40' line around the perimeter of our property. After speaking with the City Building Official, we can just cut a line on the South and West. Daniel Goodwin, one of his crew, and I will go out there 7/25/2013 to cut it.

I've been working with Caltrans to try and remedy the legal description discrepancies for the Bunker Hill Property. Adjacent landowners are not willing to do a Quit Claim. I spoke to Caltrans today and they would like to not include 2.81 acres of the property in the deed. I requested Leaf Hillmans comments to see if it would impact cultural use of the property.

I processed the WME MH Park rentals.

2. GIS – I made map of the Sartin Yellow House and calculated the acreage to retained by the Tribe for the potential sale of the property to KTHA.

1. CASINO – We received the preliminary Geotech Report. I reviewed and commented on this and sent it to the development team.

I cut the weeds to the well on the Tynes property so the well tester can drive to the well.

I reviewed and commented on Dennis Letter to the City of Yreka.

Reviewin the City of Yreka proposed Inter-Governmental Agreement.

Department of Natural Resources

39051 Highway 96
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Phone: (530) 627-3446
Fax: (530) 627-3448

Karuk Tribe

**Administrative Office**

Phone: (530) 493-1600 • Fax: (530) 493-5322
64236 Second Avenue • Post Office Box 1016 • Happy Camp, CA 96039

Orleans Medical Clinic

39051 Highway 96
Post Office Box 249
Orleans, CA 95556
Phone: (530) 627-3452
Fax: (530) 627-3445

DEPARTMENT OF NATURAL RESOURCES TRIBAL COUNCIL REPORT

July 2013

Please accept the following information as the Department of Natural Resources written report for the upcoming Tribal Council Meeting.

Action Items

WATER RESOURCES COORDINATOR/ Crystal Bowman

Action Items

Attached

Action Items

Pacificorp Amendment to KHSA Funding Agreement (11k) for the purpose of a special study this summer 2013.

Current Sampling and WQ Reports**WQ Staff:**

1. Sampling for nutrients on the Klamath mainstem from Iron Gate to Orleans and including Scott, Shasta and Salmon River mouth began to be bi-monthly in May and will continue through October to be collected at this frequency.
2. WQ Coordinator and WQ Field Staff set up the Rock Lake and Knownothing Watershed monitoring project, it began in late June and will be collected bi-monthly through October at this frequency. Collection includes: nutrients, bacteria, sediment, stream flow and water chemistry.
3. Fish Disease sampling continues to be collected every week, a project in cooperation with the Yurok Tribe and Oregon State University.
4. Sampling for bacteria in the mainstem Klamath and tributaries will be weekly through the summer months.
5. Datasondes deployed and calibrated every two weeks at all locations: Klamath - below Iron Gate, Seiad Valley, Orleans, Tributaries – Shasta, Scott and Salmon. Real-time internet access equipment was installed at below Shasta River, Iron Gate dam, Seiad and Orleans locations. Consultants are working on transmission with field staff programming them.

Water Quality Meetings and Trainings

Water Resources Coordinator:

1. Attended the following Teleconferences and/or Webinars
 - a. Executive Committee for the KBCC, covering for Craig. Updates were presented for the various KBRA/KHSA projects/programs.
 - b. Interim Measure 10 – Discussion of the drafted Water Quality Report for the Upper Basin that was developed last fall at the Water Quality Improvement Project Workshop.
 - c. KHSA Dispute Resolution discussing with Pacificorp, me, Craig, Leaf and Jake on the opposition to the use of algaecide in 2013. Discussion focused around Ceremonial dates and how Pacificorp can work with those dates.
2. Attended the Scott and Shasta Working Group strategy teleconference
3. Coordinator trained field staff on procedures for collecting stream discharge.

State and Federal Processes

KHSA – Reviewed Interim Measure 11 reports and provided comments to Pacificorp on the 2013 projects and 2012 results.

Administrative

Water Resources Coordinator:

Grants

Final edits submitted to Lisa (for submission) on the Drinking Water Assessment Project for the EPA grant we applied for.

Reports

- a. Drafting the 2012 Periphyton Report for KHSA IM 15, a project in collaboration with Yurok Tribe.
- b. Set up Data Entry for the Rock and Knownothing Study, Tami will be entering data.

Field

- a. Hiked to Rock Lake to collect the first samples and train crew, Chook-Chook, Tami and Two-Sticks on the project.
- b. Deployed, with Chook-Chook, temperature probes for water and air at continuous stations in the Knownothing Drainage.

Miscellaneous Tasks

- a. Organized all office files, monthly Council report, paid all invoices to date, procurements and submitted mileage logs and travel requests and/or receipts.
- b. Staff meetings (1-2/month) to update accomplishments and prioritize tasks.

Karuk Community Health Clinic
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Fax: (530) 493-5270

Karuk Tribe



Karuk Der
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Administrative Office
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REQUEST FOR RESOLUTION

Check One:

Resolution

Karuk Tribe Number Assigned:

13-R-095

Prior Amendment:

to Agreement - Needs Resolution because of funds

4

Requestor:

Crystal Bowman

Date: July 17, 2013

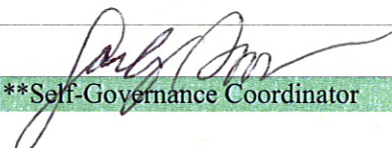
Department/Program:

Department of Natural Resources

Brief Description of Purpose:

Amendment #5 in the amount of \$1,115.00 to the existing agreement between Karuk Tribe and PacifiCorp.

** REQUIRED SIGNATURES **


**Self-Governance Coordinator

w/changes (Res # & date)


Date

Other

Date



RESOLUTION OF THE KARUK TRIBE

Resolution No: 13-R-095
Date Approved: July 25, 2013

RESOLUTION AUTHORIZING AMENDMENT #5 IN THE AMOUNT OF \$11,115.00 TO THE EXISTING AGREEMENT BETWEEN THE KARUK TRIBE AND PACIFICORP TO PROVIDE FUNDING FOR THE TRIBE'S SAMPLING ACTIVITIES PURSUANT TO THE 2010 WATER QUALITY MONITORING PLAN CONDUCTED UNDER INTERIM MEASURE 15 OF THE KLAMATH HYDROELECTRIC SETTLEMENT AGREEMENT ("KHSA").

WHEREAS; the Karuk Tribe is a Sovereign Aboriginal People, that have lived on their own land since long before the European influx of white men came to this continent; and

WHEREAS; the members of the Karuk Tribe have approved Article VI of the Constitution delegating to the Tribal Council the authority and responsibility to exercise by resolution or enactment of Tribal laws all the inherent sovereign powers vested in the Tribe as a Sovereign Aboriginal People, including negotiating and contracting with federal, state, Tribal and local governments, private agencies and consultants; and

WHEREAS; the members of the Karuk Tribe have approved Article VIII of the Constitution assigning duties to the Chair, Vice Chair, and Secretary/Treasurer including signing and executing all contracts and official documents pertaining to the Karuk Tribe; and

WHEREAS; the Karuk Tribe is a federally recognized Tribe and its Tribal Council is eligible to and is designated as an organization authorized to Contract pursuant to P.L. 93-638, as amended, on behalf of the Karuk Tribe; and

WHEREAS; the Karuk Natural Resources Department is committed to protect, promote and preserve the cultural natural resources and ecological processes upon which the Karuk people depend; and

WHEREAS; the Karuk Tribe continues to seek funding for water quality monitoring activities to ensure the health and wellness of our rivers and tributaries; and

WHEREAS; the Karuk Tribe seeks to amend the existing contract with PacifiCorp with Exhibit F Scope of Work, a special study collecting periphyton samples; and

THEREFORE BE IT RESOLVED; that the Karuk Tribe and PacifiCorp have an existing Agreement; now

THEREFORE BE IT FINALLY RESOLVED; that the Karuk Tribal Council authorizes Amendment #5 in the amount of \$11,115.00 to the existing Agreement between the Karuk Tribe and PacifiCorp to provide funding for the Tribe's sampling activities pursuant to the 2010 Water Quality Monitoring Plan conducting under Interim Measure 15 of the Klamath Hydroelectric Settlement Agreement ("KHSA").

CERTIFICATION

I, the Chairman, hereby certify the foregoing resolution 13-R-095 which was approved at a Council Meeting on July 25, 2013, was duly adopted by a vote of _____ AYES, _____ NOES, _____ ABSTAIN, and said resolution has not been rescinded or amended in any way. The Tribal Council is comprised of 9 members of which _____ voted.

Russell Attebery, Chairman

Date

FISHERIES PROGRAM/Toz Soto

Action Items

13-R-086 budget modification 001 Bureau of Reclamation AFA 14 new task in the amount \$120,663.

13-R-087 proposal to Bureau of Reclamation FY14 in the amount of \$449,956.

Attached

The fisheries program is currently focused on monitoring fish health along the Klamath River. Crews are collecting weekly samples of juvenile Chinook salmon and testing for infections and disease. Crews are finding a few dead fish in the Klamath and Salmon River. We have received other reports from the public seeing dead fish in the river. Due to the hot weather and low water conditions the river is warmer than normal. Fish are forced to pack into creek mouths to seek cold water refuge from the hot water in the rivers. Program biologists are participating in calls concerning river conditions and fish health. Discussions are taking place about how to avoid another large fish kill on the Klamath. The Fisheries Program is part of a large network of agencies and tribes that make up the Klamath Fish Health Assessment Team or also called the KFHAT group. The KFHAT team is responsible for monitoring and reporting fish health in the Klamath Basin.

The current fish health concern is the very large run of fall Chinook is predicted this season. River flows are extremely low and weather conditions have been extremely hot. Some actions are being considered. The BOR is proposing to release Trinity River flows to reduce the risk of another fish kill in the Lower Klamath. The flow release objective calls for using cool water from Trinity Reservoir to cool the river enough to allow fish to migrate up stream. Program biologist will be participating in discussions with BOR regarding plans to release water from reservoirs for fish health purposes.

The Fisheries Program is also working on monitoring stream flows and water temperatures, operating out migrant rotary screw traps, lamprey studies and in stream restoration planning. The Program will host youth worker with the Klamath Basin Tribal Youth Initiative (KBTYI) for one week this summer. The KBTYI workers will be working on in stream restoration projects with the Fisheries Crew.

Contact Toz Soto at tsoto@karuk.su or 627-3116 for more information regarding the program.

Karuk Tribe-Scope of Work FY 2014 AFA

Task 1. Assistance with Klamath River Fish Disease Studies

The Karuk Tribe requests **\$61,994** in FY 2014 to participate in long-term monitoring of fish disease in the Klamath River as described below in subtasks 1A and 1B.

Subtask 1A. Mid-Klamath River Water Sampling for Fish Disease Monitoring

Since 2005, water samples have been collected in the Klamath River during spring and summer to monitor *Ceratomyxa shasta* and *Parvicapsula minibicornis* spore levels. Dr. J. Bartholomew at Oregon State University (OSU) has established long-term monitoring sites for water sample collection and parasite density determination. Year round sampling began in 2009 to provide better resolution of the problem regarding disease prevalence in out-migrating juvenile salmonids. The fall and winter sampling will occur in the vicinity of Orleans. The Tribe and collaborators will continue collecting bi-weekly water samples with more extensive coverage, beginning in April 2014. Sample sites will be selected by Dr. Bartholomew and study collaborators to provide continuity with previous years' data to assess seasonal and inter annual variability.

An infectious zone exists in the Klamath River between Iron Gate Dam and Seiad Valley. Spatial distribution of the long-term monitoring sites does not provide enough resolution to specify where within this 61-mile river section that infection rates are greatest among out-migrating juvenile salmonids. The Karuk Tribe and OSU will continue to sample multiple locations simultaneously along the Klamath River within the infectious zone on four dates during May and June 2014. Each crew will collect water samples to be filtered and analyzed for parasite density in order to provide more resolution to this river section.

Water sampling is the fastest and most sensitive measure of parasite abundance. In 2008, OSU installed a programmable, automated water sampler at Orleans. The sampler is commonly called an ISCO sampler. The water sampler or ISCO composites water samples every 2 hours over a 24 hour period. The Orleans ISCO will be used to collect biweekly water samples during autumn, winter and spring. OSU will install an additional 2-4 ISCO's between Seiad Valley and Iron Gate Dam. Sampling will occur twice a week beginning in April 2014 and then will be reduced to once a week by mid-summer. Samples will be collected by the Karuk Tribe, filtered and sent to OSU for quantitative polymerase chain reaction (QPCR) analysis to determine the presence of parasite genetic material.

The Karuk Tribe will collect water grab samples from four tributaries along the Klamath River. Samples will be collected by the Karuk Tribe weekly beginning mid-April, filtered, and sent to OSU for QPCR analysis. Karuk Tribe Water Quality staff will be responsible for sending all filtered samples and data sheets to OSU.

The Karuk Tribe will also coordinate with OSU to collect water samples on four dates from May-June 2014. Sampling locations will be distributed throughout the infectious zone (approximately Iron Gate Dam at river mile 90.5 to the mouth of the Trinity River at river mile 43.3). The Karuk Tribe will provide 4 1-person/1 vehicle crews and collect water grab samples with 1 liter bottles. Sampling will be coordinated by OSU who will filter and process the samples.

Objective: Assess local, seasonal and interannual variability of disease infection zones along the mainstem Klamath River.

Deliverable: Karuk Tribe will deliver all samples and data sheets to researchers at OSU. OSU will process the samples and analyze the data. The Tribe will provide notification to fishery biologists at Klamath Basin Area Office (KBAO) Bureau of Reclamation (BOR) that data has been provided to study collaborators at the end of the sampling period, approximately August 30, 2014. Researchers at OSU will produce a summary report of the results to share amongst project cooperators. OSU will present results during the annual Fish Disease Workshop where Klamath River fisheries managers evaluate and prioritize future plans and actions.

The Karuk Tribe requests **\$37,011** in FY 2014 to collect water samples (subtask 1A) as part of a long-term fish disease monitoring effort in the Klamath River.

Subtask 1B. Fish Sample Collection for Long Term Fish Disease Monitoring Program in the Mainstem Klamath River

The Karuk Tribal Fisheries Program (KTFP) assisted the U.S. Fish and Wildlife Service (USFWS), California-Nevada Fish Health Lab in monitoring *Ceratomyxa shasta* and *Parvicapsula minibicornis* prevalence of infection in juvenile fall Chinook salmon and other salmonids in the mainstem Klamath River since 2003. The Tribe's past work included juvenile fish collection through beach seining and fish trapping, collecting tissue samples, and health analysis of adult Chinook salmon during tribal harvest efforts. This effort was coordinated with the Fish Health Lab on a week by week basis between all project cooperators.

A long term comprehensive study plan coupled with an action plan is annually updated after planned workshops where new results are presented by the study cooperators.

In FY 2014, Karuk Tribal Fisheries Program (KTFP) will again assist the Fish Health Lab with fish disease studies in the Klamath River. Field staff will collect fish samples within the reaches designated for the mainstem Klamath River. The work will be coordinated with researchers at the Fish Health Lab and other project cooperators. Karuk Fisheries will assist USFWS with data preparation and reporting.

Objective: Support mainstem Klamath fish disease monitoring program through fish sample collections during the spring and summer period.

Deliverable: The KTFP will conduct sampling in designated mainstem reaches during May through July 2014. Fish collections will be passed on to USFWS biologists each week during the entire study period. The Tribe will provide notification to fishery biologists at KBAO that data has been provided to study collaborators at the end of the sampling period, approximately August 30, 2014. Researchers at USFWS, California-Nevada Fish Health Lab are responsible for delivery of all project summaries and final reports to Reclamation fisheries biologists at KBAO. Additionally, the USFWS in cooperation with the KTFP will present findings during the annual Fish Disease Workshop.

The Karuk Tribe requests **\$24,983** in FY 2014 to collect fish samples (subtask 1B) as part of a long-term fish disease monitoring effort in the Klamath River.

Task 2. Mainstem Klamath River Corridor Coho Ecology Studies

The purpose of this project is threefold and includes three subtasks 2A, 2B, and 2C. The first part is to assess how juvenile Coho seasonally utilize the range of habitats that exist within the mainstem Klamath River corridor prior to seaward smolt migration. The second part is to assess the significance of the fish that use corridor habitats to the overall performance of Klamath River Coho populations. The third part is to assess the effectiveness of ongoing habitat restoration projects and flow management actions designed to benefit Coho salmon. The term “mainstem Klamath River corridor” is meant to encompass the main river channel and its side channels, off-channel habitats (alcoves, ponds, and groundwater channels associated with the floodplain), lower reaches of small tributaries—including their confluences with the mainstem, and the estuarine zone from the head of tidal influence to the river mouth.

Knowledge gained through this study is deemed critical in understanding the role of mainstem corridor habitats to the overall performance of wild Klamath River Coho. Such understanding is needed to evaluate the implications of flow regulation to the performance of juvenile Coho that use the mainstem river for some portion of their life history. In addition, the study will provide needed information to guide the development of potential habitat enhancement and restoration projects to improve the survival of juvenile Coho that use mainstem corridor habitats. Moreover, project results will provide valuable information in recovery planning for Klamath Coho, which is a listed species under the Endangered Species Act (ESA) within the Klamath watershed.

The project will also assess the overall significance of juvenile Coho life history tactics that rely on the mainstem corridor to complete their life cycle other than just using it for a smolt migration corridor.

The Karuk Tribe requests **\$281,256** for assistance in FY 2014 to study Coho salmon ecology and provide a comprehensive report of all findings. The Tribe plans to use a Subject Matter Expert (SME) fisheries consultant from Biostream Environmental as a technical advisor for the project, therefore \$40,000 of the total will be used for that purpose. A highly qualified Subject Matter Expert (SME) Larry Lestelle of Biostream Environmental has provided technical support for project development, planning and reporting since the project began in 2006. Project technical support is shared equally among project cooperators. The Tribe will utilize the Klamath Falls USGS Field Office for technical support to install and maintain remote Passive Integrated Transponder (PIT) tag detection systems.

Subtask 2A. Mainstem Klamath River Corridor Coho Utilization Study

General Approach

The study is designed with a life history perspective to assess the importance of the mainstem river corridor to the performance of Coho in the Klamath Basin. Different Coho populations (or subpopulations) appear to rely on Klamath mainstem corridor habitats to varying degrees depending on the characteristics of the natal sub-basins in the different regions of the basin. It is apparent that there is a very diverse set of life history tactics that juvenile Coho use to complete their life histories in the Klamath Basin. Understanding the role of these life history tactics to overall population performance is critical to habitat restoration programs, including flow management. The study is designed to assess these tactics and their importance to the various populations.

The study also has a basin wide perspective because of the need to understand how the mainstem corridor is used in the context of Coho production in the overall basin. While the study focuses on the mainstem corridor, the basin perspective is needed to assess the role of corridor habitats.

Intensive sampling will continue in Seiad Creek as a representative natal Coho tributary where production is likely higher than most small Klamath River tributaries due to low channel slope and wide valley bottom. Our goal is to estimate juvenile Coho production from Seiad Creek. Specifically, we want to know what percentage of all Coho juveniles entering the mainstem from Seiad Creek are fry or sub-yearling or yearling smolts.

It is expected that sampling will be expanded to include the Shasta River and Scott River and their tributaries during FY 2014. The Tribe has already begun to form partnerships with biologists from California Department of Fish and Wildlife (changed from CA Fish and Game as of January 1, 2013) and plans to work closely with them during 2013 and 2014. During 2011, the KTFP began working with private land owners in the Shasta River to assess habitat conditions on their lands. Landowners include the Nature Conservancy on Big Springs Creek and the Emerson property located on lower Parks Creek and upper Shasta River.

2A. Project Objectives

Objective 2A.1 Identify and describe habitats used by juvenile Coho seasonally within the mainstem Klamath River corridor.

- 2A.1.1 Inventory the key habitats within the corridor and assess their distribution.
- 2A.1.2 Identify those key habitats that would be improved by restoration actions.

Status of Objective 2A.1: Objective 2A.1 is largely completed and with results described in technical reports dated December 2008 titled “The Role Of The Klamath River Mainstem Corridor In The Life History And Performance Of Juvenile Coho Salmon (*Oncorhynchus kisutch*) Phase 1 Report” and December 2009 report titled “The Role Of The Klamath River Mainstem Corridor In The Life History And Performance Of Juvenile Coho Salmon (*Oncorhynchus kisutch*) Phase 2 Report”. More observations across a greater range of flows are needed to fully understand how habitat changes with flows.

Objective 2A.2 Assess seasonal movement patterns of juvenile Coho into and out of habitats being used within the mainstem corridor.

- 2A.2.1 Assess diurnal movement pattern between the mainstem river and a thermal refuge tributary during summer to determine how the mainstem river might offer feeding opportunities during the cool period of the 24-hour cycle.
- 2A.2.2 Assess the role of cold fronts in summer to facilitate movement between habitats within the corridor.
- 2A.2.3 Assess interannual changes in movement patterns related to variation between years in runoff patterns.
- 2A.2.4 Assess interannual changes in movement patterns related to annual variation in spawner abundance and corresponding fry abundances.
- 2A.2.5 Assess interannual movement patterns of young of the year Coho from the Scott and Shasta subbasins into the mainstem Klamath and its small tributaries during summer.

Status of Objective 2A.2: During FY 2014, the Tribe will continue monitoring movement patterns using remote PIT tag detection systems with focus on determining movements in response to mainstem flow management actions. In addition, with the use of PIT tag detection systems the Tribe will monitor movement of Scott and Shasta River natal Coho into small cold water tributaries located along the mainstem Klamath River. Preliminary results from 2011 and 2012 suggest that some portion of Klamath corridor non-natal rearing Coho salmon are coming from the Scott and Shasta Rivers.

Objective 2A.3 Assess relative rates of seasonal utilization by juvenile Coho within the range of habitats in the mainstem corridor.

- 2A.3.1 Assess interannual changes in utilization rates related to variation between years in runoff patterns.
- 2A.3.2 Assess interannual changes in utilization rates related to annual variation in spawner abundance and corresponding fry abundances.
- 2A.3.3 Assess utilization rates in newly created off-channel ponds located along the mainstem corridor (i.e. constructed off channel ponds with the Klamath River corridor)

Status of Objective 2A.3: To date, five off-channel ponds have been constructed in Seiad Creek and Grider Creek. Additional off-channel enhancement projects are planned along the mainstem Klamath River near the mouth of Tom Martin Creek and O'Neil Creek. Both sites were identified through initial work on objective 2A.1 and many more projects are expected to begin during 2013 and continue during 2014.

During the summer of 2012 and winter of 2013 the KTFP teamed up with a Humboldt State (HSU) graduate student Shari Anderson and HSU Fisheries Program professor Darren Ward in an effort to evaluate and assess the newly constructed off- channel ponds near Seiad Valley with naturally occurring off channel ponds, beaver influence habitats and thermal refugia tributaries.

A master's thesis will be completed during the upcoming year that presents the final results. Data collected during these efforts has already been entered into the USGS managed PIT tagging database.

During FY 2014 the Karuk Tribal Fisheries Program will team up with HSU Fisheries Program graduate student Michelle Krall and continue efforts to study Coho using PIT tagging methods. The team will attempt to estimate survival rates within various habitats and survival during seasonal movements between habitat types. HSU professor Darren Ward will provide technical support for developing methods and modeling techniques necessary for determining survival rates, more specifically statistical methods for determining PIT tag detection probabilities at various remote monitoring locations. Graduate student, Michelle Krall will assist through field data gathering in cooperation with the Karuk Fisheries crews and be responsible for data entry into the USGS comprehensive database.

Objective 2A.4 Assess measures of seasonal performance of juvenile Coho to the extent feasible (growth, survival, length of residency in different habitats).

- 2A.4.1 Assess interannual changes in performance of juvenile Coho in key habitats related to variation between years in runoff patterns.
- 2A.4.2 Assess interannual changes in performance of juvenile Coho in key habitats related to annual variation in spawner abundance and corresponding fry abundances.
- 2A.4.3 Determine seasonal PIT tag detection efficiencies among different remote detection systems; include new detection systems where data gaps exist.

2A.4.4 Evaluate apparent survival and movement via a Multi-State Survival Model or similar model that is capable of assessing survival when assuming the fish are constantly moving between various habitats.

2A.4.5 Assess Coho production in selected representative subbasins at different life stages to determine relative use by spawners, summer fingerlings, overwintering fingerlings, and smolt migrants.

Status of Objective 2A.4: Preliminary results suggest differences in Coho performance between years of high and low fry abundances, particularly within winter rearing habitats. This suggests that winter rearing habitat is a major limiting factor for Coho salmon within the Middle Klamath subbasin where stream gradients are usually higher, stream channels are more confined and floodplains are inaccessible. Focus is placed on sub-objective 2A.4.2 during FY 2013 and will continue during FY 2014 when fry abundances are expected to be high following the expected high spawning returns in fall of 2013.

Based on past work, we know that Coho juveniles are constantly moving between habitats over the course of their freshwater residency and therefore judging survival is more difficult under these circumstances.

During FY 2014 focus will shift toward modeling survival of Coho salmon that utilize the mainstem corridor. Biologists with the California Department of Fish and Wildlife (CDFW) are currently modeling survival of Shasta River Coho salmon with the use of a Multi State Survival Model utilizing PIT tag detection technology. The model is based detection probability analysis of PIT tagged juvenile Coho during their movements and residency. The “Multi State” model already being used in the Shasta River could be adapted for use within the mainstem Klamath River corridor. A key component of this effort (sub-objective 2A.4.3) would be determining the seasonal detection efficiencies at each of the existing remote PIT tag detection systems operated by the tribes. Additional PIT tag detection systems are likely needed for the modeling efforts and new locations will be determined as needed.

Objective 2A.5 Assess the significance of life history tactics that use the corridor to the overall Klamath Coho populations.

2A.5.1 Synthesize findings into a set of conclusions about the role and importance of the mainstem corridor in the life cycle of the major population groups in the Klamath Basin.

2A.5.2 Monitor for PIT tagged adult Coho salmon during their spawning migration. This involves operating remote PIT tag detection systems within the spawning migration pathways during the migration. Currently detection systems are located along the mainstem near Happy Camp (RM 100) and Sandy Bar Creek (RM 70) and Seiad Creek (RM 120) and near Klamath River (RM 150).

2A.5.3 Compare distribution, redistribution, survival and performance patterns among representative or key subbasins (including mainstem corridor areas) to draw

conclusions (refined operating hypotheses) about the role of the mainstem corridor to basin-wide population performance.

Status of Objective 2A.5: This objective was added to the project in 2009 to address what Reclamation (BOR) considered to be the most important uncertainty. This objective ask, what is the overall importance to the performance of Klamath Coho populations of life history tactics that use the mainstem corridor to complete their life cycle prior to smolt emigration? Work was performed beginning in FY 2011 and expected to continue through FY 2014. Work has begun to partially address this issue, but multiple years of sampling will be needed to address the question properly. Additionally, a complex statistical modeling effort is needed and must be coupled with PIT tagging efforts to evaluate survival and productions from mainstem corridor habitats.

The two general goals of objective 2A.5 are listed below:

- Comparison of patterns of use and survival of fish within the key tributary subbasins to fish that use the mainstem corridor to complete their lives.
- Enable conclusions to be drawn about how the mainstem corridor aids the overall performance of population aggregates from the various subbasins.

Objective 2A.6 Formulate a set of guidelines to identify and prioritize habitat restoration and enhancement projects in the mainstem river corridor.

2A.6.1 Prepare an overall diagnosis of key habitats in the mainstem corridor that would include consideration of historic condition, current condition, and factors affecting their current use by juvenile Coho.

2A.6.2 Prepare a set of guidelines (criteria) for identifying and prioritizing restoration/enhancement habitat projects in the mainstem corridor. Consider using a modeling approach such as the Ecosystems Diagnostic Treatment Model or EDT.

2A.6.3 Prepare recommendations for restoration/enhancement habitat projects in the mainstem corridor.

2A.6.4 Make recommendations to the Middle Klamath River Restoration Prioritization Planning Group and actively support Coho recovery planning.

Status of Objective 2A.6: This objective started during FY 2012 and is currently ongoing during 2013 and will continue to be a focus during FY 2014. The Middle Klamath River Restoration Prioritization Planning Group adopted, as a guiding template for restoration, the Coho habitat utilization conceptual framework developed by the collaborative Coho ecology study team composed of biologists from Karuk and Yurok and a Subject Matter Expert (SME).

Deliverable: The Tribe will provide a comprehensive technical report of findings and status of the various objectives listed in the scope of work. The report will include findings from 2011 through 2014 and is expected to be completed in May of 2015.

The Karuk Tribe requests **\$232,529** in FY 2014 to conduct this study.

Subtask 2B. Middle Klamath River Coho Spawning Surveys

The KTFP initiated adult Coho spawning surveys in the middle Klamath River tributaries (for the purpose of this study the middle Klamath is the area between the Scott River and Trinity River) in 2003 and now conducts surveys annually. Data regarding Coho salmon spawning and distribution is limited and has not been well documented in tributaries of the middle Klamath River. A long term goal of this work is to develop accurate estimates for Coho spawning escapement in the middle Klamath River. An increased understanding of current Coho distribution and spawning will support management actions and restoration efforts.

Annual reports with results of the spawning surveys are available. Due to the small population size and difficulty of the surveys the most informative metric of Coho spawning is the total redds counted or redds located per mile surveyed. Locating live adults and recovering carcasses has proved to be extremely difficult therefore we have determined that using the Coho redd counts and relative redd density per stream mile is the best means of estimating the adult spawning population.

The Tribe has established working relationships and cooperation with private landowners that are willing to grant access to primary Coho spawning and rearing tributaries. The Tribe has also established a working relationship with the Siskiyou Resource Conservation District (RCD) in the Scott River which shares the common objective with the Karuk Tribe to increase our understanding of Coho research and recovery actions within the Scott River watershed.

The Tribe is planning to continue to survey extensively to identify additional unknown spawning areas while surveying more intensively in known spawning areas to estimate spawning escapement. Intensive efforts will include weekly surveys on streams where spawning has been previously documented. Extensive efforts will include at least two surveys on streams where spawning is suspected and not well documented. Surveys will occur on the mainstem Klamath River between Happy Camp and Iron Gate Dam. Based on previous surveys priority reaches have been identified along the mainstem, including Iron Gate Dam to the Shasta River, Beaver Creek to the Scott River and China Point to Happy Camp.

Based on survey data from past efforts, intensive surveys will occur on priority reaches within tributaries including; Elk Creek, Seiad Creek, Slate Creek, Grider Creek, Aikens Creek, West Fork Beaver Creek, South Fork of Clear Creek, China Creek, Horse Creek. The Tribe has gained additional access to reaches of privately owned land in Seiad Creek, China and the south fork of Clear Creek thus allowing better coverage in those streams.

Tributaries where spawning is suspected and surveys will occur include: Kings Creek, Independence Creek, Titus Creek, Cade Creek, Little Horse Creek, Little Grider Creek, Irving Creek, Aikens Creek, Boise Creek, Swillup Creek, Ti Creek, Rock Creek and Fort

Goff Creek, Indian Creek, Camp Creek, Dillon Creek and Red Cap Creek, Humbug Creek, South Fork Salmon River and Mainstem Salmon River.

Mainstem reaches will be surveyed once a week during the peak spawning time from the first week in December 2013 through the third week of December 2013.

The Tribe will perform surveys from early December 2013 through mid-January 2014.

2B. Objectives

- 2B.1 Document tributaries where Coho spawn or do not spawn in order to further clarify origin of rearing juveniles as natal, non-natal or combination of both.
- 2B.2 Determine relative abundance of spawners in known spawning streams and further map spawning locations.
- 2B.3 Develop and refine methods for an escapement estimate.

Deliverable: The Tribe will conduct Coho spawning surveys from mid November 2013 through mid January 2014. Spawning locations will be mapped and biological data, such as number and approximate size of Coho observed, will be collected. Furthermore, tissue samples from Coho carcasses will be collected for future genetic analyses. Tissue samples will be collected using a protocol which is compatible for genetic analysis. All pertinent data will be summarized in a brief data summary report and submitted to Reclamation fishery biologists at KBAO on or before April 30, 2014.

The Karuk Tribe requests **\$27,697** in FY 2014 to conduct intensive and extensive Coho spawning surveys in the middle Klamath River and associated tributaries.

Subtask 2C. Redistribution Monitoring on the Mainstem Klamath at Big Bar

Outmigrant juvenile fish trapping operations on the mainstem Klamath River primarily occur during the spring outmigration period leaving critical uncertainty and data gaps during the fall outmigration period. Since 2004, the Karuk Fisheries Program has collected summer and fall outmigrant data in the mainstem Klamath River at Big Bar. Data summaries from these trapping efforts report significant migration and movement of juvenile Coho salmon, juvenile (spring run type) Chinook salmon and juvenile lamprey. Summer and fall time trapping efforts will continue to better our understanding of the juvenile Coho life cycle and movement patterns specific to the Klamath River mainstem.

Objective: Determine patterns of movement of summer and fall juvenile salmonid outmigration in mainstem Klamath River and further assess movements in relation to the Fall Flow Variability Program.

Deliverable: Fall time trapping operations at the Big Bar site typically start in late summer after peak water temperatures have moderated in the mainstem Klamath River. Operations continue until no or very few juvenile salmonids are captured or river flow conditions are no longer suitable for trap operations. The Karuk Tribal Fisheries Program will enter trap data into a comprehensive trapping database, developed and managed by

the USFWS, where it can be shared and summary catch reports can be generated. The Tribe will provide an updated copy of the trapping database upon request and short summary report of methods to Reclamation fishery biologists at KBAO on or before September 30, 2014.

The Karuk Fisheries Program is requesting **\$21,030** during FY 2014 for completion of Task 2C (monitoring movements) of the summer-fall-winter project.

Task 3. Assistance with Karuk Participation in Klamath Basin Collaborative Meetings

The Karuk Tribe requests assistance with travel and participation costs to attend collaborative meetings, workshops and symposia related to management of Klamath River resources. Collaborative management meetings include all government to government meetings between tribal representatives and Department of Interior representatives. Meetings also include science symposiums and collaborative workshops. Funding would support the Tribe in developing meaningful comments and input into current management forums. Additionally, the Tribe proposes to use some of the funding request to host or co-host technical meetings, workshops, field tours and other local science events.

The Karuk Tribe requests **\$77,001** during FY 2014 for participation in collaborative meetings.

Subtask 3A. Assistance to Participate in Klamath Basin Collaborative Management, Workshops, Science Symposia and Government to Government Meetings

Objective: Increase participation, communication and collaboration between resource managers from the Karuk Tribe and other entities, such as Reclamation, National Oceanic and Atmospheric Administration (NOAA) Fisheries, U.S. Fish and Wildlife, U.S. Forest Service, and California agencies.

Deliverables: The Tribe will participate in at least 12 collaborative meetings between October 1, 2013 and September 30, 2014. The Tribe will provide Reclamation with a summary report of activities including collaborative meetings and events in which the Tribe participated by September 30, 2014.

The Karuk Tribe requests **\$54,722** assistance in FY 2014 to participate at Klamath Basin collaborative meetings.

Subtask 3B. Karuk Tribal Participation in the implementation of programs described by the Klamath Basin Restoration Agreement (KBRA) and Klamath Hydroelectric Settlement Agreement (KHSa)

3B. Objectives:

3B.1 The Karuk Tribal participation in KBRA and the KHSA processes in order to protect its interests.

3B.2 Karuk Tribal Participation in ESA related consultations

Summary of Objective 3B.1

Competing demands for water in the Klamath Basin have led to years of bitter debate, litigation, and legislative measures pitting agricultural communities against tribal and fishing communities. However, over the past 5 years historically opposed communities have opted to negotiate a mutually beneficial alternative to conflict. The products of these negotiations are two companion agreements: KBRA and the KHSA.

These ambitious agreements seek to restore anadromous fisheries in the Klamath River watershed in a manner that allows for commercially viable agriculture. Before these agreements can be fully implemented, an act of congress and a public interest determination by the Secretary of Interior must be made. In the meantime, several programs described by the agreements that do not require congressional authorizations are being fully or partially implemented. This includes efforts to plan for drought, fisheries monitoring and reintroduction to the Upper Basin, and interim water quality improvements.

Summary of Objective 3B.2

Participation in government to government consultations related to the development of a safe harbor agreement pursuant to section 10 of the Endangered Species Act for land owners in the Shasta River Valley. Participation will enable us to provide technical as well as ethnographic information relevant to the process and ensure any agreements protect Karuk interests.

Recent court decisions clarify that applications for mining camps on federal lands designated as critical habitat for Coho salmon require the land managing agency to consult with fisheries managers under the Endangered Species Act. Given that much of this mining activity is on Karuk aboriginal land and affects trust resources, the Tribe needs resources to enable participation in these consultations through government to government meetings in an effort to protect Karuk interests.

Deliverables:

The Karuk Tribe plans to participate in at least 20 meetings, by teleconference or in person, related to KBRA implementation in order to advocate for Karuk interests. The Karuk Tribe will provide a brief summary report of activities.

The Karuk Tribe requests **\$22,279** assistance in FY 2014 for Tribal involvement with development of the EIS for KBRA and KHSA.

Task 4. Klamath River Pacific Lamprey Spawning Assessment and Development of Population Estimation Methods.

Karuk Tribal members annually harvest Pacific Lamprey during the spring and early summer when adults are returning from the ocean on their spawning migration. Anecdotal accounts by Tribal fishermen suggest the population is declining therefore concerns of the resource by Tribal members highlight the need for this project. Currently little is known about Pacific lamprey population status, but it's assumed to be in decline. Without efforts to assess basic population status indicators such as spawning distribution and abundance fisheries managers and the Karuk Tribe will not have the information needed to determine a course of action for lamprey conservation and management. The Karuk Fisheries Program proposes to initiate on the ground spawning surveys in Klamath River and major tributaries including the Scott River.

Background and Proposed Scope of Work

The Karuk Tribal Fisheries Program developed a lamprey identification key in 2005 to assist with discriminating Pacific Lamprey from the other four lamprey species found in the Klamath Basin. During FY 2012 the Tribe initiated this study and plans to continue the current scope of work based on findings from the first and second year study and incorporate findings into the FY 2014 study.

Tribal biologists have performed snorkel surveys in the Scott River each summer since 2005 with the goal of determining summer steelhead holding populations, but in doing that have documented lamprey spawning areas, live lamprey and carcasses. These findings suggest the Scott River could be a key spawning location for Pacific lamprey and a good starting point for evaluating the Klamath River population size. The primary study goal is to develop a repeatable population size estimation method. At this point we propose using snorkel surveys to identify spawning sites, live adult lampreys and post spawning carcasses. We propose intensive snorkel surveys through the lower 26 miles of the Scott River Canyon. We propose to test a mark and recapture survey method using spawned out carcasses found in the lower Scott River. In addition we propose an extensive survey of spawning presence or absence in the Mainstem Klamath River between Iron Gate Dam and Scott River and small tributaries entering the Klamath River.

Objective: Continue to develop and test estimation methods for evaluating the Pacific lamprey spawning population size and status in the Klamath River. Further evaluate spawning habitat suitability within reaches of the mainstem affected by Iron Gate Dam.

- 4.1 Determine effectiveness of enumeration methods and necessary changes in survey protocols.
- 4.2 Measure habitat suitability parameters for spawning sites including substrate class, water velocity, depth and water temperatures.
- 4.3 Determine spawning gravel suitability between Iron Gate Dam and the Shasta River.

- 4.4 Determine affects of planned gravel augmentation below Iron Gate Dam within the context of lamprey spawning suitability parameters.
- 4.5 Provide lamprey conservation recommendations for the mainstem Klamath and its major tributaries.
- 4.6 Determine lamprey presence or absence and relative distribution (either juveniles or adults) within tributaries of the Klamath River.

Deliverable: The Karuk Tribe will provide a report of findings that includes methods, discussion and evaluation of methods and recommendations. The report will be provided to Reclamation before September 30, 2014.

The funding request of the KTFP for this project is **\$29,705**.

Cost Summary for FY 2014 AFA Activities

Task Description	Funding Request
1. Assistance with Klamath River Fish Disease Studies 1A- \$37,011 1B- \$24,983	\$61,994
2. Mainstem Klamath River Corridor Coho Ecology Studies 2A – \$232,529 2B – \$27,697 2C – \$21,030	\$281,256
3. Assistance with Karuk Participation in Klamath Basin Collaborative Meetings 3A – \$54,722 3B - \$22,279	\$77,001
4. Assistance with Studies of Non-Salmonid Tribal Fisheries Resources-Pacific Lamprey	\$29,705
Total	\$449,956

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Karuk Tribe



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Administrative Office

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RESOLUTION OF THE KARUK TRIBE

Resolution No: 13-R-087
Date Approved: July 25, 2013

RESOLUTION AUTHORIZING SUBMISSION OF A SCOPE OF WORK AND FUNDING REQUEST TO THE BUREAU OF RECLAMATION FOR THE FISCAL YEAR 2014 ANNUAL FUNDING AGREEMENT IN THE AMOUNT OF \$449,956.

WHEREAS; the Karuk Tribe is a Sovereign Aboriginal People, that have lived on their own land since long before the European influx of white men came to this continent; and

WHEREAS; the members of the Karuk Tribe have approved Article VI of the Constitution delegating to the Tribal Council the authority and responsibility to exercise by resolution or enactment of Tribal laws all the inherent sovereign powers vested in the Tribe as a Sovereign Aboriginal People, including negotiating and contracting with federal, state, Tribal and local governments, private agencies and consultants; and

WHEREAS; the members of the Karuk Tribe have approved Article VIII of the Constitution assigning duties to the Chair, Vice Chair, and Secretary/Treasurer including signing and executing all contracts and official documents pertaining to the Karuk Tribe; and

WHEREAS; the Karuk Tribe is a federally recognized Tribe and its Tribal Council is eligible to and is designated as an organization authorized to Contract pursuant to P.L. 93-638, as amended, on behalf of the Karuk Tribe; and

WHEREAS; the Karuk Tribal Fisheries Program is activity working on research and restoration of Klamath Basin fisheries; now

THEREFORE BE IT RESOLVED; that the Karuk Tribal Fisheries Program submit a final scope of work for research and restoration projects pertaining to the Klamath River fisheries resources; now

THEREFORE BE IT FINALLY RESOLVED; that the Karuk Tribal Council allows the submission of a scope of work and funding request to the Bureau of Reclamation for the Fiscal Year 2014 annual funding agreement in the amount of \$449,956.

CERTIFICATION

I, the Chairman, hereby certify the foregoing resolution 13-R-087 which was approved at a special meeting on July 25, 2013, was duly adopted by a vote of _____ AYES, _____ NOES, _____ ABSTAIN, and said resolution has not been rescinded or amended in any way. The Tribal Council is comprised of 9 members of which _____ voted.

Russell Attebery, Chairman

Date

Task Description	Funding Request
1. Assistance with Klamath River Fish Disease Studies	\$61,994
2. Mainstem Klamath River Corridor Coho Ecology Studies	\$281,256
3. Assistance with Karuk Participation in Klamath Basin Collaborative Meetings	\$77,001
4. Assistance with Studies of Non-Salmonid Tribal Fisheries Resources-Pacific Lamprey	\$29,705
Total	\$449,956

Karuk Department of Natural Resources
 *Please submit the following information:

PROJECT TITLE:	1A. Mid-Klamath River Water Sampling for Fish Disease Monitoring
FUNDER:	BOR-FY 2014 AFA
DATES OF WORK:	Oct 2013-2014
TODAYS DATE:	
YOUR NAME:	Toz Soto

Position Title	Pay Rate	Hours to Work	WORKERS COMP RATE	# of months worked	Annual Leave Accrual	Base Hours	TOTAL Wages	FRINGE BENEFITS						Total Salaries & Fringe	Indirect % of wages	TOTAL	hourly rate	
								Social Security (FICA) 6.2%	Medicare (MEDI) 1.45%	State Unemployment (SUTA)	Workers Comp	HEALTH	RETIREMENT					Total Fringe Benefits
ADD	ADD	ADD	1. EMPLOYEE SPECIFIC: YOU MUST ENTER	(used to calculate health insurance costs)	2. see below				3. CAN NOT EXCEED \$434		4. EMPLOYEE SPECIFIC: YOU MUST CHECK	5. EMPLOYEE SPECIFIC: YOU MUST CHECK		50.40%		includes all costs		
Lead Biologist	23.50	250	1.260%	2	0	250	5,875.00	364.25	85.19	364.25	74.03	1,008.01	293.75	2,189.47	8,064.47	2,961.00	11,025.47	44.10189
Field Biologist	18.50	250	6.120%	2	0	250	4,625.00	286.75	67.06	286.75	283.05	1,045.51	231.25	2,200.37	6,825.37	2,331.00	9,156.37	36.62549
Fied Tech	13.50	250	6.120%	2	0	250	3,375.00	209.25	48.94	209.25	206.55	0.00	0.00	673.99	4,048.99	1,701.00	5,749.99	22.99995
Field Tech			6.120%	-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
Position 6	13.50	250	6.120%	2	0	250	3,375.00	209.25	48.94	209.25	206.55	0.00	0.00	673.99	4,048.99	1,701.00	5,749.99	22.99995
Position 7				-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
							\$17,250.00	\$1,069.50	\$250.13	\$1,069.50	\$770.18	\$2,053.52	\$525.00	\$5,737.82	\$22,987.82	8,694.00	31,681.82	

Cells that may need manual adjustment.

- 1 Position specific, ask Tamara for current rate.
- 2 Only for permanent staff that DO NOT take any annual leave (ie. they cashout annual leave hours)
- 3 SUTA column can not exceed \$434. If the cell turns blue, change by hand to \$434.
- 4 Employees must work more than 6 months. \$592.13 less 3% of wages. Includes employee costs. Automatically adjusts based on # of months
- 5 Employees must work more than 6 months. Seasonal/temp not eligible

wages	17250
fringe benefits	5738
supplies	511
equipment	1910
travel	2908
contractual*	0
subtotal	28317
idc or admin*	8694
total	37011

*IF YOU HAVE AN ADMIN CAP, ENTER % IN BOX:

idc	8694
admin	8694
	0

Lab supplies for water sampling and filter system and shipping costs to OSU for analysis.
 Filter pump and waders, boots and other river gear.
 Includes mileage for travel between Iron Gate Dam and Trinity River, a total distance of 180 miles each way. Fuel costs in Orleans a

Karuk Department of Natural Resources
 *Please submit the following information:

PROJECT TITLE: **1B. Fish Sample Collection for Long-Term Fish Disease Monitoring Program in the Mainstem Klamath River**
 FUNDER: BOR-FY 2014 AFA
 DATES OF WORK: Oct 2013-2014
 TODAYS DATE:
 YOUR NAME: Toz Soto

Position Title	Pay Rate	Hours to Work	WORKERS COMP RATE	# of months worked	Annual Leave Accrual	Base Hours	TOTAL Wages	FRINGE BENEFITS					Total Fringe Benefits	Total Salaries & Fringe	Indirect % of wages	TOTAL	hourly rate		
								Social Security (FICA) 6.2%	Medicare (MEDI) 1.45%	State Unemployment (SUTA)	Workers	Comp						HEALTH	RETIREMENT
ADD	ADD	ADD	1. EMPLOYEE SPECIFIC: YOU MUST ENTER	<i>(used to calculate health insurance costs)</i>	2. see below					3. CAN NOT EXCEED \$434		4. EMPLOYEE SPECIFIC: YOU MUST CHECK	5. EMPLOYEE SPECIFIC: YOU MUST CHECK		50.40%		<i>includes all costs</i>		
Biologist	19.50	60	1.260%	1	0	60	1,170.00	72.54	16.97	72.54	14.74	557.03	58.50	792.32	1,962.32	589.68	2,552.00	42.53328	
Tech 2	13.50	250	6.120%	2	0	250	3,375.00	209.25	48.94	209.25	206.55	1,083.01	168.75	1,925.75	5,300.75	1,701.00	7,001.75	28.00699	
Tech 2	13.50	250	6.120%	2	0	250	3,375.00	209.25	48.94	209.25	206.55	0.00	0.00	673.99	4,048.99	1,701.00	5,749.99	22.99995	
Tech	12.50	250	6.120%	2	0	250	3,125.00	193.75	45.31	193.75	191.25	0.00	0.00	624.06	3,749.06	1,575.00	5,324.06	21.29625	
Position 5				-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!	
Position 6				-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!	
Position 7				-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!	
								\$11,045.00	\$684.79	\$160.15	\$684.79		\$619.09	\$1,640.04	\$227.25	\$4,016.11	\$15,061.11	5,566.68	20,627.79

Cells that may need manual adjustment.

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- 3 SUTA column can not exceed \$434. If the cell turns blue, change by hand to \$434.
- 4 Employees must work more than 6 months. \$592.13 less 3% of wages. Includes employee costs. Automatically adjusts based on # of months
- 5 Employees must work more than 6 months. Seasonal/temp not eligible

wages	11045	
fringe benefits	4016	
supplies	493	dry ice, freezer bags, small dip nets and other misc. supplies for fish collection.
equipment	2100	100ft seine nets, waders, wading bc
travel	1762	Mileage between Scott River and Trii
contractual*	0	

subtotal	19416	*IF YOU HAVE AN ADMIN CAP, ENTER % IN BOX: <input type="text"/>
idc or admin*	5567	idc 5567
total	24983	admin 5567
		0

24983

Karuk Department of Natural Resources
 *Please submit the following information:

PROJECT TITLE:	2A. Mainstem Klamath River Corridor Coho Utilization Study
FUNDER:	BOR-FY 2014 AFA
DATES OF WORK:	Oct 2013-2014
TODAYS DATE:	
YOUR NAME:	Toz Soto

Position Title	Pay Rate	Hours to Work	WORKERS COMP RATE	# of months worked	Annual Leave Accrual	Base Hours	TOTAL Wages	FRINGE BENEFITS					Total Fringe Benefits	Total Salaries & Fringe	Indirect % of wages	TOTAL	hourly rate	
								Social Security (FICA) 6.2%	Medicare (MED) 1.45%	State Unemployment (SUTA)	Workers Comp	HEALTH						RETIREMENT
ADD	ADD	ADD	1. EMPLOYEE SPECIFIC: YOU MUST ENTER	<i>(used to calculate health insurance costs)</i>	2. see below				3. CAN NOT EXCEED \$434		4. EMPLOYEE SPECIFIC: YOU MUST CHECK	5. EMPLOYEE SPECIFIC: YOU MUST CHECK			50.40%		<i>includes all costs</i>	
Lead Biologist	23.50	430	1.260%	3	0	430	10,105.00	626.51	146.62	434.00	127.32	1,473.24	505.25	3,312.85	13,417.85	5,092.92	18,510.77	43,048.292
Field Sup	16.50	520	6.120%	3	0	520	8,580.00	531.96	124.41	434.00	525.10	1,518.99	429.00	3,563.46	12,143.46	4,324.32	16,467.78	31,668.8
Biologist	19.50	140	6.120%	1	0	140	2,730.00	169.26	39.59	169.26	167.08	510.23	136.50	1,191.91	3,921.91	1,375.92	5,297.83	37,841.65
Field Tech	12.50	1,200	6.120%	7	0	1,200	15,000.00	930.00	217.50	434.00	918.00	0.00	0.00	2,499.50	17,499.50	7,560.00	25,059.50	20,882.917
Field Tech	12.50	1,200	6.120%	7	0	1,200	15,000.00	930.00	217.50	434.00	918.00	0.00	0.00	2,499.50	17,499.50	7,560.00	25,059.50	20,882.917
							\$66,415.00	\$4,117.73	\$963.02	\$2,339.26	\$3,573.50	\$3,502.46	\$1,070.75	\$15,566.71	\$81,981.71	33,473.16	115,454.87	

Cells that may need manual adjustment.

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- 5 Employees must work more than 6 months. Seasonal/temp not eligible

wages	66415	
fringe benefits	15567	
supplies	1134	Includes net repair supplies, paper, PIT tagging supplies and other marking supplies, notebooks, small dip nets and other misc. supplies.
equipment	34950	PIT tag dection system (7 anntennas and one Multiplexer (data logger)), fyke nets and small seine nets (20-30ft)
travel	5990	Includes mileage for travel between Iron Gate Dam and Trinity River, a total distance of 180 miles each way. Fuel costs in Orleans as of A
contractual*	75000	
subtotal	199056	
idc or admin*	33473	
total	232529	

idc	33473
admin	33473
	0

*Contractual is for technical support from Larry Lestelle of Biostream Environmental (\$40K) and HSU graduate student Michelle Krall for assistance evaluating coho salmon performance metrics at constructed off channel ponds (\$15K) and USGS for installation and maintenance of remote PIT tag detection systems (\$20K).

Karuk Department of Natural Resources
 *Please submit the following information:

PROJECT TITLE:	2B. Coho Spawning Survey
FUNDER:	BOR-FY 2013 AFA
DATES OF WORK:	Oct 2012-2013
TODAYS DATE:	
YOUR NAME:	Toz Soto

Position Title	Pay Rate	Hours to Work	WORKERS COMP RATE	# of months worked	Annual Leave Accrual	Base Hours	TOTAL Wages	FRINGE BENEFITS						Total Salaries & Fringe	Indirect % of wages	TOTAL	hourly rate	
								Social Security (FICA) 6.2%	Medicare (MED) 1.45%	State Unemployment (SUTA)	Workers Comp	HEALTH	RETIREMENT					Total Fringe Benefits
ADD	ADD	ADD	1. EMPLOYEE SPECIFIC: YOU MUST ENTER	(used to calculate health insurance costs)	2. see below				3. CAN NOT EXCEED \$434		4. EMPLOYEE SPECIFIC: YOU MUST CHECK	5. EMPLOYEE SPECIFIC: YOU MUST CHECK		50.40%		includes all costs		
Lead Biologist	23.50	40	1.260%	1	0	40	940.00	58.28	13.63	58.28	11.84	563.93	47.00	752.96	1,692.96	473.76	2,166.72	54,1681
Field Sup	16.50	40	6.120%	1	0	40	660.00	40.92	9.57	40.92	40.39	572.33	33.00	737.13	1,397.13	332.64	1,729.77	43,2443
Biologist	18.50	80	1.260%	1	0	80	1,560.00	96.72	22.62	96.72	19.66	545.33	78.00	859.05	2,419.05	786.24	3,205.29	40,066075
Field Tech 2	13.50	250	6.120%	2	0	250	3,375.00	209.25	48.94	209.25	206.55	0.00	0.00	673.99	4,048.99	1,701.00	5,749.99	22,99995
Field Tech	12.50	250	6.120%	2	0	250	3,125.00	193.75	45.31	193.75	191.25	0.00	0.00	624.06	3,749.06	1,575.00	5,324.06	21,29625
Field Tech	12.50	250	6.120%	2	0	250	3,125.00	193.75	45.31	193.75	191.25	0.00	0.00	624.06	3,749.06	1,575.00	5,324.06	21,29625
Position 7				-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
							\$12,785.00	\$792.67	\$185.38	\$792.67	\$660.94	\$1,681.59	\$158.00	\$4,271.25	\$17,056.25	6,443.64	23,499.89	

Cells that may need manual adjustment.

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- 5 Employees must work more than 6 months. Seasonal/temp not eligible

wages	12785	
fringe benefits	4271	
supplies	523	miscellaneous supplies including (tissue collection, measuring, scale collection, flagging, paper)
equipment	1914	Winter survey gear (dry suits and rope)
travel	1760	Mileage for survey between mid Klama
contractual*	0	
subtotal	21253	*IF YOU HAVE AN ADMIN CAP, ENTER % IN BOX: <input type="text"/>
idc or admin*	6444	
total	27697	

Karuk Department of Natural Resources
 *Please submit the following information:

PROJECT TITLE:	2C. Redistribution Monitoring on the Mainstem Klamath at Big Bar
FUNDER:	BOR-FY 2014 AFA
DATES OF WORK:	Oct 2013-2014
TODAYS DATE:	
YOUR NAME:	Toz Soto

Position Title	Pay Rate	Hours to Work	WORKERS COMP RATE	# of months worked	Annual Leave Accrual	Base Hours	TOTAL Wages	FRINGE BENEFITS					Total Fringe Benefits	Total Salaries & Fringe	Indirect % of wages	TOTAL	hourly rate	
								Social Security (FICA) 6.2%	Medicare (MED) 1.45%	State Unemployment (SUTA)	Workers Comp	HEALTH						RETIREMENT
ADD	ADD	ADD	1. EMPLOYEE SPECIFIC: YOU MUST ENTER	(used to calculate health insurance costs)	2. see below				3. CAN NOT EXCEED \$434		4. EMPLOYEE SPECIFIC: YOU MUST CHECK	5. EMPLOYEE SPECIFIC: YOU MUST CHECK		50.40%		includes all costs		
Biologist	19.50	180	1.260%	2	0	180	3,510.00	217.62	50.90	217.62	44.23	1,078.96	175.50	1,784.82	5,294.82	1,769.04	7,063.86	39.24367
Tech 2	13.50	250	6.120%	2	0	250	3,375.00	209.25	48.94	209.25	206.55	0.00	0.00	673.99	4,048.99	1,701.00	5,749.99	22.99995
Tech 2	12.50	250	6.120%	2	0	250	3,125.00	193.75	45.31	193.75	191.25	0.00	0.00	624.06	3,749.06	1,575.00	5,324.06	21.29625
Position 5				-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
Position 6				-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
Position 7				-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
							\$10,010.00	\$620.62	\$145.15	\$620.62	\$442.03	\$1,078.96	\$175.50	\$3,082.87	\$13,092.87	5,045.04	18,137.91	

Cells that may need manual adjustment.

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wages	10010	
fringe benefits	3083	
supplies	292	Rotary screw trap supplies.
equipment	1200	Flow meter, measuring board, scales
travel	1400	Covers daily travel to trap site
contractual*		
subtotal	15985	
idc or admin*	5045	
total	21030	

*IF YOU HAVE AN ADMIN CAP, ENTER % IN BOX:

idc	5045
admin	5045
	0

Karuk Department of Natural Resources
 *Please submit the following information:

PROJECT TITLE: 3A. Assistance to Participate in Klamath Basin Collaborative Management, Workshops, Science Symposia and Government to Government Meetings
 FUNDER: BOR-FY 2014 AFA
 DATES OF WORK: Oct 2013-2014
 TODAYS DATE:
 YOUR NAME: Toz Soto

Position Title	Pay Rate	Hours to Work	WORKERS COMP RATE	# of months worked	Annual Leave Accrual	Base Hours	TOTAL Wages	FRINGE BENEFITS					Total Fringe Benefits	Total Salaries & Fringe	Indirect % of wages	TOTAL	hourly rate		
								Social Security (FICA) 6.2%	Medicare (MEDI) 1.45%	State Unemployment (SUTA)	Workers Comp	HEALTH						RETIREMENT	
ADD	ADD	ADD	1. EMPLOYEE SPECIFIC: YOU MUST ENTER	<i>(used to calculate health insurance costs)</i>	2. see below				3. CAN NOT EXCEED \$434		4. EMPLOYEE SPECIFIC: YOU MUST CHECK	5. EMPLOYEE SPECIFIC: YOU MUST CHECK		50.40%		<i>includes all costs</i>			
Lead Fisheries Biologist	23.50	340	1.260%	2	0	340	7,990.00	495.38	115.86	434.00	100.67	944.56	399.50	2,489.97	10,479.97	4,026.96	14,506.93	42,667.44	
Klamath Coordinator	25.00	320	1.260%	2	0	320	8,000.00	496.00	116.00	434.00	100.80	944.28	400.00	2,491.08	10,491.08	4,032.00	14,523.08	45,384.56	
Water Resource Coordinator	23.50	320	1.260%	2	0	320	7,520.00	466.24	109.04	434.00	94.75	958.65	376.00	2,436.69	9,956.69	3,790.08	13,746.77	42,964.91	
Position 5				-	-	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
Position 6				-	-	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
Position 7				-	-	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
							\$23,510.00	\$1,457.62	\$340.90	\$1,302.00	\$296.23	\$2,847.48	\$1,175.50	\$7,419.72	\$30,929.72	11,849.04	42,778.76		

Cells that may need manual adjustment.

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- 5] Employees must work more than 6 months. Seasonal/temp not eligible

wages	23510	
fringe benefits	7420	
supplies	587	soft ware, cell phone and other communication supplies
equipment	2410	laptop computer for travel
travel	8946	Covers 12 three day meetings for three staff, roughly one meeting per month for a year for each staff member, also includes travel to workshops, science symposium both local and regional.
contractual*		
subtotal	42873	
idc or admin*	11849	
total	54722	

*IF YOU HAVE AN ADMIN CAP, ENTER % IN BOX:

idc	11849
admin	11849
	0

Karuk Department of Natural Resources
 *Please submit the following information:

PROJECT TITLE: 3B. Karuk Tribal Participation in the concurrent NEPA/CEQA process and related Secretarial Determination regarding implementation of the KBRA and KHSA
 FUNDER: BOR-FY 2014 AFA
 DATES OF WORK: Oct 2013-2014
 TODAYS DATE:
 YOUR NAME: Toz Soto

Position Title	Pay Rate	Hours to Work	WORKERS COMP RATE	# of months worked	Annual Leave Accrual	Base Hours	TOTAL Wages	FRINGE BENEFITS					Total Fringe Benefits	Total Salaries & Fringe	Indirect % of wages	TOTAL	hourly rate		
								Social Security (FICA) 6.2%	Medicare (MED) 1.45%	State Unemployment (SUTA)	Workers Comp	HEALTH						RETIREMENT	
ADD	ADD	ADD	1. EMPLOYEE SPECIFIC: YOU MUST ENTER	(used to calculate health insurance costs)	2. see below				3. CAN NOT EXCEED \$434		4. EMPLOYEE SPECIFIC: YOU MUST CHECK	5. EMPLOYEE SPECIFIC: YOU MUST CHECK		50.40%		includes all costs			
Lead Biologist	23.50	200	1.260%	2	0	200	4,700.00	291.40	68.15	434.00	59.22	1,043.26	235.00	2,131.03	6,831.03	2,368.80	9,199.83	45.99915	
Klamath Coordinator	25.00	240	1.260%	2	0	240	6,000.00	372.00	87.00	434.00	75.60	1,004.26	300.00	2,272.86	8,272.86	3,024.00	11,296.86	47.07025	
Position 5				-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
Position 6				-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
Position 7				-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
							\$10,700.00	\$663.40	\$155.15	\$868.00	\$134.82	\$2,047.52	\$535.00	\$4,403.89	\$15,103.89	5,392.80	20,496.69		

Cells that may need manual adjustment.

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- 5 Employees must work more than 6 months. Seasonal/temp not eligible

wages	10700	
fringe benefits	4404	
supplies	0	
equipment	0	
travel	1782	Travel to Secretarial Determination events including air travel (meetings, presentations, workshops, consultation)
contractual*	0	
subtotal	16886	
dice or admin*	5393	
total	22279	

*IF YOU HAVE AN ADMIN CAP, ENTER % IN BOX:

idc	5393
admin	5393
	0

Karuk Department of Natural Resources
 *Please submit the following information:

PROJECT TITLE: 4A. Klamath River Pacific Lamprey Spawning Assessment and Development of Population Estimation Methods
 FUNDER: BOR-FY 2014 AFA
 DATES OF WORK: Oct 2013-2014
 TODAYS DATE:
 YOUR NAME: Toz Soto

Position Title	Pay Rate	Hours to Work	WORKERS COMP RATE	# of months worked	Annual Leave Accrual	Base Hours	TOTAL Wages	FRINGE BENEFITS					Total Fringe Benefits	Total Salaries & Fringe	Indirect % of wages	TOTAL	hourly rate	
								Social Security (FICA) 6.2%	Medicare (MED) 1.45%	State Unemployment (SUTA)	Workers Comp	HEALTH						RETIREMENT
ADD	ADD	ADD	1. EMPLOYEE SPECIFIC: YOU MUST ENTER	(used to calculate health insurance costs)	2. see below				3. CAN NOT EXCEED \$434		4. EMPLOYEE SPECIFIC: YOU MUST CHECK	5. EMPLOYEE SPECIFIC: YOU MUST CHECK		50.40%		includes all costs		
Lead Biologist	23.50	160	1.260%	1	0	160	3,760.00	233.12	54.52	233.12	47.38	479.33	188.00	1,235.47	4,995.47	1,895.04	6,890.51	43.06566
Field Biologist	19.50	160	6.120%	1	0	160	3,120.00	193.44	45.24	193.44	190.94	498.53	156.00	1,277.59	4,397.59	1,572.48	5,970.07	37.31296
Tech	13.50	160	6.120%	1	0	160	2,160.00	133.92	31.32	133.92	132.18	0.00	0.00	431.36	2,591.36	1,088.64	3,679.99	22.99995
Tech	12.50	160	6.120%	1	0	160	2,000.00	124.00	29.00	124.00	122.40	0.00	0.00	399.40	2,399.40	1,008.00	3,407.40	21.29625
Tech	12.50	160	6.120%	1	0	160	2,000.00	124.00	29.00	124.00	122.40	0.00	0.00	399.40	2,399.40	1,008.00	3,407.40	21.29625
Position 6				-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
Position 7				-	0	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
							\$13,040.00	\$808.48	\$189.08	\$808.48	\$615.31	\$977.86	\$344.00	\$3,743.21	\$16,783.21	6,572.16	23,355.37	

Cells that may need manual adjustment.

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- 5 Employees must work more than 6 months. Seasonal/temp not eligible

wages	13040	
fringe benefits	3743	
supplies	541	Misc. field sampling and mapping supplies
equipment	3200	Sampling equipment (stream snorkeling gear, measuring equipment, gps)
travel	2609	Travel between Mid Klamath Tributaries and the Scott River, roughly 200-400 miles per week for seven weeks of field work.
contractual*		
subtotal	23133	
idc or admin*	6572	
total	29705	

*IF YOU HAVE AN ADMIN CAP, ENTER % IN BOX:

idc	6572
admin	6572
	0

Scope of Work

Project No. 4/Seiad Creek Coho Habitat Restoration/Karuk Tribe

Seiad Creek Coho Habitat Enhancement Project

Background

Seiad Creek has been identified by fisheries restoration planners as important habitat for spawning and rearing of the Endangered Species Act listed Southern Oregon Northern California Coast Ecologically Significant Unit of Coho salmon. Past land management practices have degraded habitat for Coho salmon and other anadromous fish. Seiad Creek is considered a high priority stream based on recent instream restoration planning efforts. This project aims to restore much of the historic nature of the stream in the treatment reach, add connectivity between habitats and should restore approximately ¾ mile of quality spawning and rearing for Coho salmon.

The project is currently in the planning and design stages. A preliminary design was completed in March 2012 with the final design in progress. Cost estimates for Tasks 1, 2 and 3 are based on calculations included in the preliminary design.

Task 1

Large Wood Procurement for Instream Habitat Structures Placement - \$90,000

The current project design requires the procurement and storage of large woody debris needed to build “In-stream Habitat Structures” and “Bank Stabilization Structures”. We estimate 200 pieces of large wood with root wads attached are needed to build the structure. Tree tops and tree branches will be used as smaller “rack wood” pieces are also included in this estimate. We estimate the average cost of excavating trees with root wads attached and the cost of hauling to the project site will cost roughly \$450 per large wood piece. For 200 large wood pieces the total estimated cost would be \$90,000 for the wood to be purchased and transported to the project storage sites. This does not include the actual cost of building the wood structures.

The wood procurement task will require a significant amount of time to achieve and should start as soon as possible. We propose starting this in August 2013, at least one year before actual construction of the habitat and bank stabilization wood structures. We plan to advertise through a competitive solicitation process and utilize a local contractor or logging company to assist with the wood procurement, hauling and storage area preparation. We propose excavating a log pond to utilize as a wood storage area in order to keep wood wet and preserved from insects, prevent rot and prevent drying. The product of this task would be 200 pieces of large wood stored at the project site in preparation for construction of instream structures. Should bids be significantly different from the estimate this task will be renegotiated with the Bureau of Reclamation to adjust the project size to meet the available funding.

Task 2

Preparation for Riparian Planting and Tree Propagation - \$23,163

The project requires a large amount of riparian plants including trees and shrubs. Our current design includes the use of onsite cuttings and bare root trees. Species include black oak, big leaf maple, Jeffery pine, Douglas Fir, Oregon Ash and black cottonwood. All of these species are available within the project area with some species, such as cottonwood, available to use in the propagation of cuttings in advance of actual planting.

We propose working with a local plant nursery to collect cuttings one year in advance of actual planting to establish the necessary nursery stock for the project. This work involves taking cutting from local native tree stock and rooting trees in pots. The potted trees would be used for later onsite plantings. We estimate that 300 rooted trees will be needed for the project. Of the total rooted trees roughly 180 of them are species such as cottonwoods which can be rooted from cuttings taken from the actual project site. Live rooted trees would also be salvaged from excavation zones where they could be re-planted post project. We propose taking cutting during the fall of 2013 (September thru December) and rooting them in preparation for planting in the fall of 2014. Additionally we would purchase the remaining trees from local sources. Most of the upland species (black oak, ash, pine, fir and cedars) will need to be started from seeds or grafts.

We estimate the total cost of purchasing rooted trees and propagating cuttings will be roughly \$23,163. This does not include the cost of native grass seed or time included for actual planting. This work would begin in September 2013 and continue in spring of 2014. The product of this task would include the procurement of 300 potted trees in preparation for riparian planting.

Task 3

Installation of groundwater monitoring wells - \$7,500

We propose excavating at least five ground water level testing wells throughout the project area with one well installed at each of the five off channel habitat improvement sites. The wells will be excavated and fitted to measure the yearly variability of ground water levels. This will assist with all aspects of project design including riparian planting and off channel habitat improvements and other channel modification elements. We estimate that each well will cost roughly \$1,500 each for a total of \$7,500.

We estimate the time frame for installation of the monitoring wells would be between August 2013 and September 2013. Monitoring water levels at the well sites will continue during the following year leading up to the channel modification work with findings incorporated into the ongoing final design.

Budget Total: \$120,663.00 (see budget detail sheet)

Karuk Community Health Clinic

64236 Second Avenue
Post Office Box 316
Happy Camp, CA 96039
Phone: (530) 493-5257
Fax: (530) 493-5270

Karuk Tribe



Administrative Office

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64236 Second Avenue • Post Office Box 1016 • Happy Camp, CA 96039

Karuk Dental Clinic

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**RESOLUTION OF THE
KARUK TRIBE**

Resolution No: 13-R-086
Date Approved: July 25, 2013

RESOLUTION AUTHORIZING BUDGET MODIFICATION 001 OF THE FISCAL YEAR 2014 ANNUAL FUNDING AGREEMENT WITH THE BUREAU OF RECLAMATION TO ADD A NEW TASK FOR THE SEIAD CREEK HABITAT IMPROVEMENT PROJECT IN THE AMOUNT OF \$120,663.

WHEREAS; the Karuk Tribe is a Sovereign Aboriginal People, that have lived on their own land since long before the European influx of white men came to this continent; and

WHEREAS; the members of the Karuk Tribe have approved Article VI of the Constitution delegating to the Tribal Council the authority and responsibility to exercise by resolution or enactment of Tribal laws all the inherent sovereign powers vested in the Tribe as a Sovereign Aboriginal People, including negotiating and contracting with federal, state, Tribal and local governments, private agencies and consultants; and

WHEREAS; the members of the Karuk Tribe have approved Article VIII of the Constitution assigning duties to the Chair, Vice Chair, and Secretary/Treasurer including signing and executing all contracts and official documents pertaining to the Karuk Tribe; and

WHEREAS; the Karuk Tribe is a federally recognized Tribe and its Tribal Council is eligible to and is designated as an organization authorized to Contract pursuant to P.L. 93-638, as amended, on behalf of the Karuk Tribe; and

WHEREAS; THE Karuk Tribe's Department of Natural Resources fully supports the Fisheries Program in the restoration of Klamath Basin fisheries; now

WHEREAS; The Karuk Tribal Fisheries Program is actively working on research and restoration of Klamath Basin fisheries; now

THEREFORE BE IT RESOLVED; that the Karuk Tribal Fisheries Program submit a final scope of work for restoration pertaining to the Klamath Basin fisheries resources; now

THEREFORE BE IT FINALLY RESOLVED; that the Karuk Tribal Council authorizing budget modification 001 of the Fiscal Year 2014 Annual Funding Agreement with the Bureau of Reclamation to add a new task for the Seiad Creek Habitat Improvement Project in the amount of \$120,663.

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CERTIFICATION

I, the Chairman, hereby certify the foregoing resolution 13-R-086 which was approved at a council meeting on July 25, 2013, was duly adopted by a vote of _____ AYES, _____ NOES, _____ ABSTAIN, and said resolution has not been rescinded or amended in any way. The Tribal Council is comprised of 9 members of which _____ voted.

Russell Attebery, Chairman

Date

Karruk Department of Natural Resources
 *Please submit the following information:

PROJECT TITLE: Seiad Creek Coho Habitat Enhancement Project
 FUNDER: BOR-FY 2014 AFA MOD
 DATES OF WORK: 2013-14
 TODAY'S DATE:
 YOUR NAME: Toz Solo

Position Title	Pay Rate	Hours to Work	WORKERS COMP RATE	# of months worked	Annual Leave Accrual	Base Hours	TOTAL Wages	FRINGE BENEFITS					Total Fringe Benefits	Total Salaries & Fringe	Indirect % of wages	TOTAL	hourly rate <i>(includes all costs)</i>	
								Social Security (FICA) 6.2%	Medicare (MED) 1.45%	State Unemployment (SUTA)	Workers Comp	HEALTH						RETIREMENT
ADD	ADD	ADD	1. EMPLOYEE SPECIFIC; YOU MUST ENTER	(used to calculate health insurance costs)	2. (used to calculate leave before)			3. CANNOT EXCEED \$500	4. EMPLOYEE SPECIFIC; YOU MUST CHECK	5. EMPLOYEE SPECIFIC; YOU MUST CHECK								
Project Coordinator	25.00	500	1.250%	3	0	500	12,500.00	775.00	181.25	434.00	157.50	1,401.39	625.00	3,574.14	16,074.14	6,327.50	22,401.64	44.80328
Position 5							0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
Position 6							0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
Position 7							0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
							\$12,500.00	\$775.00	\$181.25	\$434.00	\$157.50	\$1,401.39	\$625.00	\$3,574.14	\$16,074.14	\$6,327.50	\$22,401.64	

Cells that may need manual adjustment.

- 1 Position specific, ask Tamara for current rate.
- 2 Only for permanent staff that DO NOT take any annual leave (ie. they cashout annual leave hours)
- 3 SUTA column can not exceed \$434. If the cell turns blue, change by hand to \$434.
- 4 Employees must work more than 6 months. \$592.13 less 3% of wages. Includes employee costs. Automatically adjusts based on # of months
- 5 Employees must work more than 6 months. Seasonal/Temp not eligible

wages 12500
 fringe benefits 3574
 supplies 4500
 equipment 2761
 travel 2000
 contractual* 92000

soft water, cell phone and other communicator supplies
 Riparian planting equipment, irrigation

subtotal 114335
 ldc or admin* 6328
 total 120663

*IF YOU HAVE AN ADMIN CAP, ENTER % IN BOX:

ldc 6328
 admin 6328
 0

ENVIRONMENTAL EDUCATION PROGRAM/ Jeanette Quinn

Action Items

NONE

Reporting. I completed and submitted my 3rd quarterly GAP report to Carley Whitecrane on June 30.

Coordination/Planning: Food Securities Project. I received e-mails and phone calls from Ron Reed in the first 2 weeks of July, requesting assistance with the Traditional Foods Workshop evaluation form. I met with Grant Gilkison and 3 members of the Tribal Youth Council at the Native Plant Garden on July 12 to learn about the iPod Adventure Project, also part of the Food Securities Project. The man who will be setting up the iPod application was at the Garden to look it over in preparation for setting up the application. Ron Reed, Bob Rohde and Two Sticks Arwood were also there, so I met with them afterward to discuss the evaluation for the Traditional Foods Workshop to be held August 1-3. Based on their input and using a template from Jennifer Sowerwine, I then drafted an evaluation form to be used before and after the workshop to assess participants' knowledge.

ENVIRONMENTAL COORDINATOR/ Carlotta Whitecrane

EPA PPG

- Meeting the PPG Grant Program Objectives
- Meeting the GAP Grant Program Objectives
- Process invoices from consultants
- Prepare contracts/documents for Council review/approval
- Review monthly fiscal documentation, prepare and distribute budget summary reports for Natural Resources staff
- Develop, modify and process contracts
- Continuous updating and modification of project status spreadsheet
- Develop, modify and process agreements
- PAN (Personnel Action Notice) wage and billing code adjustments
- Prepare resolutions and process proposals for Council approval
- Assist all coordinators with budget management activities
- Meet with coordinators for project/budget review
- Took 3 contracts to Council

Energy Program

- Grant is closed, working with Laura Olivas to finalize last drawdown.

WATERSHED RESTORATION PROGRAM/ Earl Crosby

Action Items

NONE

Watershed Program Activities

Through the latter portion of June through mid-July we have or will provide input and assistance towards various projects within DNR;

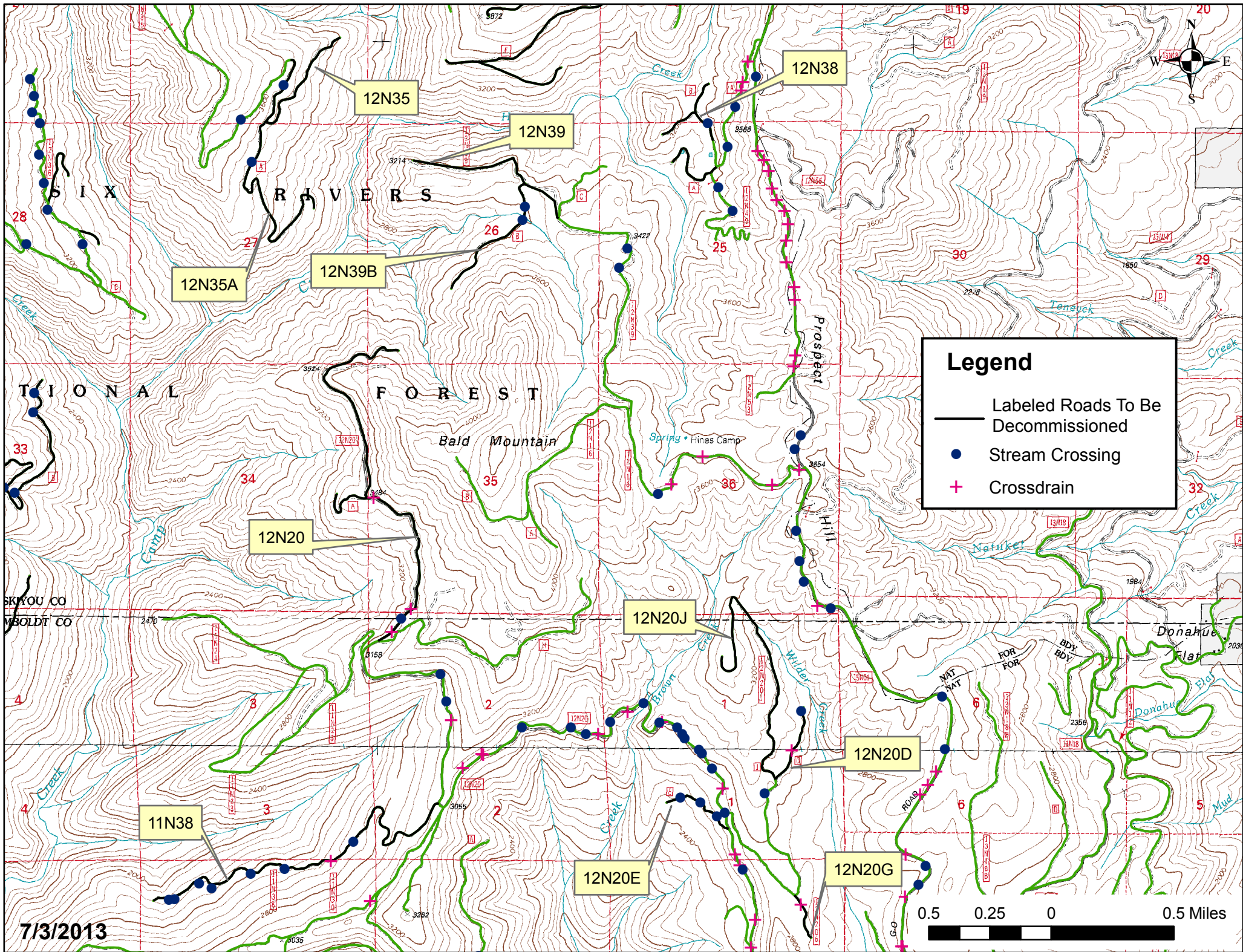
- 1) The decommissioning of 11N38 should be completed by the middle of next week. Then we will mobilize to the end of 12N20 which is also in the Camp Creek watershed. I've attached a map which depicts where we are working this season. For clarification, the roads which are labeled are to be decommissioned this field season.
- 2) Attended June 26th Project Coordination Meeting with USFS via phone.
- 3) Attended the KRAB Meeting held on July 2nd.
- 4) Assisted internally and externally with our allies regarding the suction dredge and other mining issues.

Funding Update

- 1) Continued working with Humboldt County who is administrating a NCIRWMP grant we received. I am still requesting the ability to refine the sub-agreement with the county to include language which protects tribal sovereignty. In addition we are arguing we are exempt from paying Davis-Bacon wages based on our own wage rate. In addition we are accomplishing this restoration activity with tribal employees and not sub-contracting hence Davis Bacon is not applicable.
- 2) Participated in an EPA 319h debriefing regard our proposal which was not selected for funding. These debriefings allow for feedback which will strengthen our next proposal.
- 3) Received and reviewed the Ranking Score Sheets and reviewers comments regarding the USFWS Tribal Wildlife Grant. I am working with the USFWS Tribal Liaison to also refine our FY 13 proposal which is due August 9th.

In conclusion, we would like to thank the Tribal Council for their continued support. I would encourage any Tribal Council Member who can please arrange a time when you can visit the crew as they appreciate it. If you have any questions, please do not hesitate to call me at (530) 469-3454 or email me at ecrosby@karuk.us

Earl Crosby
Watershed Restoration Coordinator



Legend

- Labeled Roads To Be Decommissioned
- Stream Crossing
- + Crossdrain

7/3/2013

0.5 0.25 0 0.5 Miles

FOOD SECURITY COORDINATOR/ Bob Rohde

Action Items

NONE

FUNDING

- Project start date was March 15, 2013, and will be extended until March 2014.

PROGRAM MANAGEMENT

Ron Reed continues to discuss ways to integrate traditional use resources into Karuk Tribal programs and communities with tribal department staff. With the assistance of the Berkeley Collaborative, a pilot workshop at Ti Bar is planned for August 1st thru 3rd. A first year plan for seasonal camp development has been prepared in preparation for a meeting with UC Berkeley in late July.

Bill Tripp has been actively involved in Elk and Lamprey issues that affect tribal food security. Dialogue has begun that should lead to long-term tangible results affecting Karuk Tribal use of these food sources. Bill has also been working on the food field crew plan. Efforts are underway to identify the steps in preparing for the crew so that hiring can begin in the spring of 2014.

I have been working with Ron and Bill in preparation of the first year plans. We met together to prepare the first year plan for workshops so that Ron and Bill could work with the Berkeley Collaborative to prepare for the first pilot workshop in August. Then after working together on the workshop plan, Ron and Bill were able to work separately on the seasonal camp and food crew plans.

The KRAB has assisted in identifying a way for tribal elders and practitioners to receive stipends for assisting Food Security staff. A list of names will be prepared and approved by the KRAB to allow practitioners to receive compensation.

Please provide names of tribal people who you feel would be helpful in assisting Food Security staff in transferring Traditional Knowledge to tribal members and department staff.

In your packet is a request to allow DNR to receive \$10,335 from Pacific Southwest Experimental Station (PSW) for locating scientific plots that will study the effects of fire on Hazel, Tanoak and Elk habitat. I am working closely with the PSW representative, and PSW has assisted Food Security staff in field identifying plants and locating potential gathering areas.

BUDGET

- Currently about 20% of the first year funding has been spent.

Questions or comments: Please contact either Bob Rohde brohde@karuk.us 530-627-3446 x 3016, Ron Reed reed@karuk.us (530) 627-3446 x 3048, or Bill Tripp btripp@karuk.us (530) 627-3446 x 3023.

ECO-CULTURAL RESTORATION SPECIALIST/ Bill Tripp

Action Items

NONE

Cohesive Strategy

The Cohesive Strategy conference calls have been reduced to 1 to 2 times a month. I have only had the time to participate on one call in the June/July timeframe. This call was a communications committee call which was not very productive but it was good to catch upon what has been happening. There is a new newsletter process that is being used to distribute information. Though the first one does not highlight any tribal articles relating to the Cohesive Strategy, I did suggest an article I wrote for Smoke Signals Magazine in the spring of 2012, so hopefully we will see that highlighted in the August update. I encourage anyone that is interested in getting information such as these newsletters to review the first publication at the following link: <http://us7.campaign-archive1.com/?u=b4052ed78560498da372b666f&id=aa7d316fea&e=20e240a24c>. If you would like to get these updates sent directly to you automatically follow this link to subscribe to receive Cohesive Strategy for Wildland Fire Management updates: <http://eepurl.com/CkdML>. I know there are a lot of tribal firefighters out there that like to keep up with what is going on in the world of Wildland Fire Management so please spread the word if you know someone that may be interested.

Fire Adapted Communities Pilot Project

The Karuk Tribe has been selected as one of 8 Hub Organizations Nationally for this 5 year pilot project. We were asked to use the funds to supply financial assistance to one community partner from the Orleans/Somes Bar Community to begin to build this “Hub and Spoke Network” concept. We have since combined these funds with to benefit multiple community partners in the Karuk Territory and initiated an all lands planning process to identify priority project areas that include at a minimum the communities of Happy Camp and Somes Bar.

The kick off meeting of the open standards process facilitated by The Nature Conservancy Fire Learning Network was a huge success, and we were only missing a few representative groups (primarily industry and environmental groups). We tackled some hard issues relating to the Scope and Vision, and make some significant progress. There remains to be some variance in what people believe the scope of the landscape should be, but we are having a work group meeting soon to find common ground and propose a solution at the next meeting. The vision is where we got a surprising level of consensus with healthy traditional foods, youth interaction, local workforce development, and using Karuk Traditional Knowledge as a guide to the future, were key components that seemed to emanate from each sub-group. We got some very good suggestions for a vision statement and have been having workgroup discussions on how to capture all components brought forward into one concise statement.

The Next meeting will be located in the Orleans Somes Bar area with a field trip on July 29th to the Katimiin Cultural Management Area, and an indoor meeting on the 30th. This meeting is intended to finalize the scope and vision and get into what it is we value on the landscape. Again I would encourage the Council to spread the word and try to convince tribal members and key program staffs to attend.

Food Securities Project

Much of my time in June and July that was dedicated to this project went to conference calls for pre-planning of a traditional foods workshop and working with Bob and Ron in getting annual plans developed. The first year of this grant was intended to primarily be for working internally and getting things in place to be efficient and effective in years 2 through 4. The 5-year plan still needs some work, but I think we have pretty solid annual plans in place for objectives 24 Seasonal Food Crews and 32 Native Food Workshops. I will know more as to how they may need to be modified when we have our first integration committee meeting.

In addition, I have integrated development of an article for Evergreen Magazine into the first year plan for the Seasonal Food Crews objective that will be about the Spiritual and Cultural Connections of People and Place. I was invited to author this article after some discussions I had at the Intertribal Timber Council Meeting in June.

The other component that has integrated into the food security grant for now is my participation in the state level consultations with California Department of Fish and Wildlife regarding the potential for the Tribe to manage a set aside tribal Elk hunt under a tribal conservation ordinance. We have had a few meetings, but their attorney believes it to be possible as long as things can be worked out with the Fish and Game Commission. We identified some potential short and long term possibilities, including a state authority for harvesting game for ceremonies (government code 186), but it appears that the geographic authority for that may not be possible for elk at this time since it only extends from Katimiin downriver. As far as long term solutions seeking modification to that state law or developing a different one will likely be needed before we could get to the point of ordinance development. However if we could get some prescribed fires in place and get the Elk to move down into Somes Bar the authority could be useable for the short term as well.

Inter-Tribal Timber Council Meeting

This year's meeting was on the Menominee Reservation in Wisconsin. It was a very good meeting with the theme of "overcoming obstacles in the pursuit of sustainability". Though I could not attend all the breakouts and workshops I was involved in a very informative workshop on "Forest Management and Silviculture for Climate Change Adaptation"; went on a field tour to look at some wetlands restoration, single tree selection timber harvesting methods, a prescribed burn, some even age hardwood timber management, and a very interesting river restoration project; and a workshop on "Asserting Tribal Influence on the Landscape".

There were many interesting presentations ranging from legislative updates to the effects of European Earthworm invasions and their effects on forest soils and vegetation. I received many complements and was named in presentations by a few speakers in respect to my participation with development of the cohesive strategy and there seem to be some people in Washington DC that would like to see me transition to participate on some of the national committees through implementation, unfortunately, current budgets at DNR

would not be able to sustain that level of participation at this time, and participation would have to be voluntary.

Berkeley Collaborative

Students from Berkeley coordinated a contact with the Women's Earth Alliance which is an indigenous people's advocacy group and they got us in contact with an attorney that is interested in our cause. After an initial meet and greet conference call, the attorney agreed to work with us Pro-Bono on legal reviews of agreements in development and other things that will further tribal management rights, practices and principles at the territorial scale. The final agreement has been completed and signed and we are looking forward to working with this attorney to get legal assistance for things like intergovernmental agreement development and advice on how to modify things like state law, and other things that are related to tribal sovereignty and resource management and utilization.

Other activities

I have also attended the July US Forest Service Project Coordination Meeting, went on a field visit to discuss the Fish Lake boat dock and handicap fishing platform project, and prepared draft documents (i.e. resolution, project tracker, expenditure plan, voucher, etc.) in expectation of an agreement coming in to complete approximately 25 acres of fuels work in the Goff Fire area. The Agreement between the BIA and Forest Service was received on July 15th the paperwork for accepting the project is drafted, I have a meeting scheduled with KCDC staff to teach them the processes associated with accepting and invoicing for reimbursement so they can handle more of the cost tracking and other fiscal duties relating to these projects in the future.

Action Item(s): Request Tribal Council's consensus for further development of a proposal to the Blue Shield Foundation's funding opportunity **Domestic Violence and Health Care Partnerships** (see attached summary).

Request Tribal Council's consensus for further development of a proposal to the U.S. Economic Development Administration's funding opportunity **Public Works and Economic Enhancement**.

Proposals Initiated/Under Consideration:

Blue Shield of California: Domestic Violence and Health Care Partnerships.

The Tribe's Department of Justice and Health and Human Services have submitted a Letter of Intent (LOI) to the Blue Shield Foundation that outlines a possible proposal that will use funds made available by the Affordable Care Act to bridge the divide between health care and domestic violence (DV). The implementation of the Patient Protection and Affordable Care Act (ACA) expands women's preventive services to include DV screening and counseling. This pre-application summary is attached for consideration by the Karuk Tribal Council for approval to move forward with a formal application should the LOI be granted further consideration by the funding institution.

U.S. Economic Development Administration, Public Works and Economic Enhancement.

Together with the KCDC Computer Center staff, Emma Lee Johnson and Bari Talley, a project concept is currently being developed that is scheduled to be presented to the KCDC Board on July 24th and to the Tribal Council on July 25th. The current project scope is to construct additional space onto the Orleans Computer Center for a multi-purpose classroom and training facility and to continue/expanding existing educational and workforce development opportunities provided by the Happy Camp and Orleans Computer Centers. This could include developing new partners (e.g. College of the Redwoods), as well as expand existing partnerships (e.g. College of the Siskiyous, National Forest Service, Jefferson Economic Development Institute, North Coast Small Business Development Center, Karuk Community Loan Fund) to leverage opportunities for workforce development training in small business, financial literacy, basic skills, computer skills, wildland fire, etc... This could be a significant contribution to sustaining the computer centers, as well as increase infrastructure (space, broadband, and/or computers).

Other Funding Opportunities: Several funding opportunities were researched in detail and related Program staff was contacted to assist in determining eligibility and/or interest. In two instances, it was determined the Tribe was not eligible because of an existing grant. In four instances, it was determined that there was insufficient time and support to put together

strong proposals and we will look to submit for that funding source next year, e.g. the National Endowment for the Humanities' Arts Education, the National Endowment for the Humanities' Media Makers Development Grants. Together with Bill Tripp from the Department of Natural Resources, it was concurred that long term resource development is essential for overall planning purposes that would better suit the Tribe's program goals. Finally, in some instances, the Program staff never responded to funding suggestions.

Funding opportunities continue to be reviewed for eligibility and compatibility with Tribal program goals and objectives.

Training:

Lisa Morehead will undertake Microsoft Excel and Outlook training should time allow between proposal preparations. Lisa would like explore the possibility to participate in the **Proposal and Development Training** offered by the Administration for Native Americans in Salt Lake City, Utah during the week of September 23-27, 2013. A formal request will be made at the Tribal Council Meeting in August should this training opportunity be approved by her supervisors.

Other Considerations:

The People's Center Advisory Council is interested in strategic planning assistance and discussions are underway on a potential project scope and expected outcomes. An initial planning meeting between the Grantwriters and Julie Burcell took place and the planning sessions have been scheduled for July 29-30. Julie was able to share several previous planning documents, as well as policies and procedures for the People's Center that are currently being reviewed.

Blue Shield of California: Domestic Violence and Health Care Partnerships.

GOAL: Greater Access and Education regarding Domestic Violence services in the Karuk Tribes Health Organization

The proposed expansion of the Karuk Pikyav DV-related practices, policies and advocacy through our Tribal Health Clinics and collaborative non-profit partnerships will address the high need, underserved Native American populations in our service area. With the high 95% of Karuk D.V. services being provided to Native Americans, combined with the fact that our county is home to a far greater national average of Native Americans (7.8%) and the tribes in our tribal region are the largest in the state (Yurok has 5,500 enrolled members, Karuk tribe has 3,548, our neighboring tribe the Hoopa Tribe has 2,785 it is evident that our outreach, programming and services can further be developed. It is a natural next step for Karuk Pikyav D.V Services Program to strengthen its organizational capacity to expand outreach to our tribal health clinics, health professionals, staff and clients, expanding programming and services to meet the needs of our Native American communities.

Purpose of Funds

To expand the Karuk Pikyav D.V Services Program by extending outreach to Native Americans in the clinical surrounding and remote tribal regions (Klamath, Yreka and Happy Camp, Orleans, Somes Bar), adding tribal domestic violence advocacy services and personnel and training workshops, and increasing involvement in Tribal Health policy and procedures.

Needs Statement

The Siskiyou and Humboldt County area is heavily populated by Native Americans, our Native American population is the highest in the far northern regions of California at 7.8% (Humboldt 5.7%, Siskiyou 4.0% compared to the statewide percentage of 1%) Karuk Pikyav D.V Services has been highly committed to expanding outreach, programming and services to Native Americans since an alarming 95% of the people who seek domestic violence services from our Program are Native American. This is at epidemic proportions compared to statewide percentages and we know that 70% of all domestic violence goes unreported.

These are high need, underserved populations with high unemployment rates, and high percentages of families living below federal poverty level, high school dropout rates, high substance abuse, and high mental health needs. These characteristics have created a great need for domestic violence services and a great need to strengthen the existing services Karuk Pikyav D.V. Services Program offers to our Native American populations.

Project Summary

Blue Shield Foundation funding would expand our existing Tribal D.V Services Pikyav Program and strengthen our DV-related service efforts and advocacy by creating greater access to health care and domestic violence services for the Karuk Communities most vulnerable.

1. Karuk Domestic Violence Program shall collaborate with the Rural Karuk Health Clinics to develop a culturally sensitive "response team approach" with "procedures" to use once a medical provider has identified a patient may be in or is a victim of a Domestic Violence Relationship. (Months 1-12)

The Karuk Domestic Violence Program already collaborates via MOU with the Local County Siskiyou Domestic Violence & Crisis Center, who will provide basic Domestic Violence 101 training to the health and domestic violence program staff.

2. Extending outreach and education to the three remote Karuk Clinics through in person meetings, brochures, newspaper, website and social media campaigns, and radio advertising (Months 3-12)

3. Increase staff time dedicated to tribal policy and procedures surrounding tribal domestic violence advocacy, domestic violence laws and regulations, regional Native American tribes, domestic violence response for Native Americans, and staff that work with Native Americans. (Months 1- 12)

We propose to expand our Tribal D.V Services and education and outreach via workshops, extend outreach to tribal health staff and increase staff involvement in local tribal policy and procedures. To meet these objectives we will first form a Core Group Advisory Committee composed of Key Local Stakeholders with local tribal health knowledge and domestic violence expertise.

Committee:

- a) A Tribal Health Board Representative
- b) Tribal and partnering non -profit agency DV Advocates
- c) Health staff / providers from all three Karuk Clinics
- d) Representatives from the Karuk Pikyav DV Advisory Committee
- e) Karuk Child and Family Services Staff

Project Description

The Project Director, Tribal Advocate and Advisory group will plan and design a training schedule/plan. The workshops/trainings will be held at the Karuk Health Clinics, once a month.

The Project Director, Tribal Advocate and Advisory would extend outreach by designing and dispersing brochures, advertising, flyers and by using social medias of our region.

To increase staff involvement in local dv policy and procedures the Tribal Advocate will stay current of local tribal events and develop a calendar of our regional tribal events to be shared with DV advocates. The DV tribal Advocate will participate in one in-person tribal meeting/event a month. Transportation and hotel stays will be used for travel to remote areas such as Yreka, Happy Camp and Orleans, Hoopa, Eureka, not limited to, Tribal DV taskforce, Tribal DV advisory committees and DV tribal Roundtables.

Project Outcomes

One desired project outcome is increased access to D.V. Services to victims of domestic violence who may not realize this is an option, or who have faced barriers to accessing tribal advocacy.

Another desired project outcome is increased understanding of Native American DV advocacy and an increased understanding of our local tribe's perceptions and understanding of DV.

A third outcome would be increased involvement in tribal policy and procedures effecting domestic violence. Since our community has a larger than average percentage of Native Americans who have historically been in our area since the beginning, Native Americans strongly influence our communities values and systems. Being able to apply more staff time to building a stronger relationship and stakeholder engagement would be conducive to a long term goal of changing social norms around domestic violence in our community.

Outcomes Measured

- 1) % of increase in people who accessed workshops/trainings and tribal DV advocacy education
- 2) # of health staff/professions and health board members trained

Outcomes Measured

Measured outcomes from expansion of the Karuk D.V. Services Pikyav Program

- 1) % of increase in follow through with referrals from Health to D.V. services program
- 2) # of health clinic patients assisted through the Pikyav Program
- 3) # of staff, professionals/health board members trained

Measured outcomes of increased involvement in tribal policy and procedures effecting DV.

- 1) # of tribal multi-disciplinary meetings attended monthly
- 2) # of tribal events participated in each month
- 3) # of in person meetings with tribal government officials and tribal health agency management

Measured outcomes of increased outreach to local and remote community members

- 1) # of brochures, newspaper, and radio PSA's created
- 2) Specified tribal clinics that outreach materials were dispersed
- 3) Specified media used

Project Risks/Challenges

The proposed project would result in more requests for tribal advocacy assistance at the Health Clinics. A challenge could be in maintaining staffing or trained advocates and or volunteers to cover the direct services that would be generated by additional outreach and community education.

Key Objective #1

By month 3, the Karuk Tribe will hire a new staff (tribal advocate/liaison) to provide additional advocacy, to build our outreach capacity to, expand collaboration abilities at local gatherings and collaborations, and expand our DV program by designing Workshop/trainings and Tribal DV Advocacy community education.

Key Objective #2

During the 12 month period the Karuk Project will dedicate half of the time of the proposed Tribal Advocate/Liaison to the sole purpose of learning and educating about our local tribal procedures and policies, developing additional collaborations, attending significant tribal events, advisory meetings, and scheduled weekly domestic violence communications with local tribal and non-profit domestic violence service coordinators. All these tasks and activities for the purpose of better supporting our regional victims of domestic violence access to our Tribal Health Clinics (when new policies and procedures are proposed) as well as to better design our policy and procedures to match the high need and underserved tribal populations.

Project Key Objectives/Grantmaking Priorities

The key objective of expanding our existing Karuk D.V Services program and Local Tribal outreach address the primary grant priorities. The proposed culturally competent DV advocacy workshops/trainings, target the high-need, underserved native american populations of our area. These Native American tribes are primarily the Yurok, Karuk, Hoopa and Quartz Valley Reservation. The Karuk Tribes organizational DV-related, cultural competency efforts, practices, and advocacy are strengthened by the forming of networks of community collaborations that support more access to Tribal services and culturally appropriate DV advocacy and education. Increased DV community education with a tribal focus, and increased advocacy and community awareness about Tribal policy and procedures would create a social change and make positive shifts in cultural and social norms that influence Domestic Violence in our local tribes and in our community.

Pikyav D.V Services and Karuk Health Collaboration Project					
Blue Shield Foundation					
Description					
SALARIES	\$	32,860.00			
FICA/ MCARE	\$	2,513.79			
SUTA	\$	434.00			
WKMNS COMP	\$	176.60			
RETIREMENT	\$	1,643.00			
TRAVEL/TRAINING	\$	25,000.00			
SUPPLIES	\$	9,500.00			
EQUIPMENT	\$	2,842.19			
CONTRACTUAL	\$	-			
UTILITIES	\$	-			
CELL PHONE	\$	3,851.62			
EMPLOYEE HEALTH INS	\$	6,178.80			
IDC	\$	15,000.00			
Grand Total	\$	100,000.00			

TERO Department Report – July 2013

TERO National Convention

See Commissioner Waddell and Commissioner Kent's' written report. This was a good meeting, although not well attended; the Pacific North West tribes were having canoe journey ceremony the same time so most of those tribes did not attend.

We were able to consult with John Navarro regarding our WPA. He said he could make himself available via conference call if we needed him.

I also spoke with him about a regional TERO meeting with the new Yurok TERO Director (not hired yet) and the new Smith River TERO Director. (I am not sure if they are onboard yet) and Hoopa TERO. I will work on setting that up when I learn that those positions have been filled.

2013 Tribal Reunion

TERO conducted a survey thanks to Commissioner Waddell volunteering. We collected approximately 57 surveys. The majority of those surveyed would like to work in our casino in some fashion. There are several interested in the security aspect. We will take the information we gathered and craft a bulk mailing that will get us even more information. I hope to send out to working age tribal members in the next few weeks.

Workforce Protection Act

The TERO Commission is meeting July 24th to go through the WPA and to address items brought up by the Council. We should have a response ready by meeting time. The TERO Commission would like to schedule another meeting with the Council to go over our implementation strategy.

Summer Youth Workers

TERO has hired three Summer Youth Workers to support the Summer Food Program in Happy Camp and Yreka. Two have been hired in Yreka and one in Happy Camp.

We have an opportunity to have NCIDC youth workers, I will be soliciting the management team to find out if they want to be a worksite for a youth worker.

TERO Skills Bank

I have a lot of new skills bank applications and surveys to load into the database. I have been getting requests for workers.

Fire Camp Crew

Troy and Dan's Camp Crew have been called out on a fire in southern CA. They took 13 individuals total. TERO was key in supporting their training and mobilizing efforts.

Pre-bid Meetings

I have attended the pre-bid meeting for the KCDC parking lot project. We should be able to refer some workers for that project.

Construction Projects

- The Head Start ADA remodel in Happy Camp is near completion, there was one tribal member hired for this project.
- KTHA Hoopa home construction – one tribal member is to be hired for this project.
- KTHA Hoopa home rehab – Pre bid meeting was the same day as my TERO meeting and I was not able to attend.

EEOC Training – Reno Nevada, August 14 – 16

TERO will be traveling to Reno to attend the Equal Employment Opportunity Commission training. A large part of the training will be investigation and fact finding in investigations. I would like to invite any Council member to attend this as well. It will be a great opportunity to learn about the termination investigation process and holding a hearing.

Once the WPA is fully implemented we will be invited to sign an MOU with EEOC that protects our sovereignty and would allow the tribe to investigate on our own if there were ever to be a complaint against the tribe.

CHILD CARE

Our child care plan for funding for the next two years has been submitted and accepted. It is going through the review process and I am standing by to submit any clarification if necessary. It should be approved by the end of August and will be implemented October 1, 2013 the beginning of the federal fiscal year.

Child Care Local

The reunion was a success for the child care program; we gave away over 500 t-shirts. I have received some inquiries about child care services due to the visibility.

I forwarded a newspaper article from the Two Rivers Tribune regarding our public hearing in Orleans last month.

Child Care State

I was not able to attend the Tribal Child Care Association of California meeting; Patty Brown attended to represent us. We are working on a tribal Quality Rating and Improvement System (QRIS) for tribes to adopt. I am very appreciative that Patty could attend. Our next meeting is scheduled for September.

There are some big state meetings coming up in September and tribes are being asked to participate.

Our next Tribal State Federal meeting will be in August.

Child Care National

There is a lot going on at the national level for child care. The Feds have proposed new regulations that will affect how tribes administer the child care program. Our national association (National Indian Child Care Association – NICCA) will be formulating comments and submitting them by the submission date of August 5th. There are some good things and some not so good things but all is higher accountability and transparency.

Congress has taken up reauthorization of the CCDF law. It has been 17 years since they last looked at it. NICCA is the ONLY tribal voice speaking up for tribes for reauthorization. There are a lot of areas that we need to bring attention to that are of concern to tribes. Mainly, Tribes need an increase in the tribal set aside from up to 2% to up to 5% of the total allocation. We need flexibility and we need the law to respect our customs and traditions. NICCA will most likely see the need to return to DC to continue to speak up for tribes and tribal children and families. Probably after Congress returns from summer recess.

NICCA has one more month before our 20th National conference in Denver. We have created a great agenda with the latest information to share.

Respectfully Submitted,

Dion Wood, TERO / Child Care

Regular TERO Meeting Minutes
June 6, 2013
3 pm – 5 pm - Happy Camp Fishbowl

Roll Call

Red—Present

Alvis—Present

Crispen—Present

Judy Waddell – Present

Lavon—Present by phone – called in @ 3:32 pm

Staff - Dion—Present, Tiffany, Contract Compliance – Present, Le Loni, HR – Present

Guest – Jody Waddell

Call to Order – 3:22 pm

Opening Blessing-Crispen

Reading of the Mission Statement-Crispen

Agenda - Bud made motion to approve the agenda; Red seconds motion, 3 haa, 0 puuhara, 0 pupitihara; Motion carries.

Swearing in of new TERO Commissioner – Judy Waddell takes oath of TERO Commission.

Minutes - Red made motion to approve minutes of 5/6/13 with corrections; Alvis seconds motion, 4 haa, 0 puuhara, 1 pupitihara; Motion carries.

COMPLIANCE REPORT – Red made motion to approve Compliance report; Bud seconds the motion, 5 haa, 0 puuhara, 0 pupitihara; Motion carries.

HR REPORT- Red made motion to approve HR report; Judy seconds motion, 5 haa, 0 puuhara, 0 pupitihara; Motion carries.

BUDGET –Red made motion to approve budget update; Bud seconds the motion, 5 haa, 0 puuhara, 0 pupitihara; Motion carries.

DIRECTOR'S REPORT –

- National CTERO Convention – Three will be attending, Lavon, Judy, Dion

- WPA under review by Council at Council Retreat. We will await their directives.

- TERO will hire three youth for the Summer Food Program.

Bud makes motion to hire three youth ages 16 – 21 from TERO budget; Red seconds the motion, 5 haa, 0 puuhara, 0 pupitihara

- New TERO Skills bank training going good, revising intake form and hoping to have bulk mailing go out by the end of the month.
- Been working with fire camp crew, TERO funded food handlers training costs.
- Requesting to postpone TERO Commission elections until we have a fully seated commission, will have elections next meeting.

REQUESTS –

A – Red makes motion to table request, refer to Booster Club, get more information i.e. #numbers of kids, age range, TANF eligible? Can males be involved if they want? Background checks? Lavon seconds the motion, 5 haa, 0 puuhara, 0 pupitihara, Motion carries.

Phone Votes – None

Closed Session – None

Next meeting set for July 10, 2013 @ 1 pm in HC in the Fishbowl

Bud makes motion to adjourn at 5:40 pm. Judy seconds the motion. 5 haa, 0 puuhara, 0 pupitihara. Motion carries.

Celebrating 20 years of Tribal child care



National Indian Child Care Association

Telling the Story of Tribal Children: Celebrating 20 Years of NICCA

20th Anniversary Bi-Annual Conference

Denver, Colorado • August 26th – 29th, 2013

Conference Agenda

Monday, August 26, 2013

7:00 p.m. – 9:00 p.m.	Stories around the Council Fire <i>Emcee: Dion Wood</i> <i>Storyteller facilitator: Phyllis Yargee</i>	Mt. Sopris (Lower Lobby Level)
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Tuesday, August 27, 2013

7:00 a.m. – 7:30 a.m.	Staying Fit, Having Fun: NICCA Health Walk	Fourth Floor
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7:30 a.m. – 8:30 a.m.	Continental Breakfast	Grand Ballroom
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8:30 a.m. – 10:30 a.m.	General Session	Grand Ballroom
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Welcome

Barb Fabre, Chair of the National Indian Child Care Association

Posting of the Colors

TBD

Blessing

TBD

Keynote Address

Linda Smith, Deputy Assistant Secretary at the U.S. Department of Health and Human Services, Administration for Children and Families (invited)

10:30 a.m. – 10:45 a.m.	Break	
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10:45 a.m. – 12:00 p.m.	Session A*	
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Mt. Harvard	Telling the Story through Leadership <i>Paul Figueroa (invited)</i>	LA
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Mt. Oxford	Using CLASS™ (Classroom Assessment Scoring System™) to Tell the Story <i>TBD</i>	PMQ
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*LA=Leadership & Advocacy | PMQ=Program Management & Quality | PRO=Programming
PPI=Partners, Programs, & Initiatives | HW=Health & Wellness | NPA=Nutrition & Physical Activity

Tuesday, August 27, 2013

10:45 a.m. – 12:00 p.m. Session A* (continued)		
Mt. Columbia	Meeting Math Standards <i>Barbara Sorrels</i>	PRO
Mt. Yale	Telling the Story through Friends, Families, and Neighbor Care <i>Lani Kaleikini, Eloise Locust, and Barb White</i>	PPI
Mt. Wilson	Creating an Environment for Smarter, Healthier Children <i>Carol Stroebe</i>	HW
Mt. Princeton	Telling the Nutrition Story: Farm to Preschool and Gardening <i>Kim Nall and Mary Zoller</i>	NPA
12:00 p.m. – 1:30 p.m. Lunch (on your own)		
1:30 p.m. – 2:45 p.m. Session B*		
Mt. Harvard	Telling the Story through Advocacy <i>Melanie Ross Levin</i>	LA
Mt. Oxford	Building Relationships Among Teachers, Children, and Families in Child Care <i>Amanda Moreno and Sheridan Green</i>	PMQ
Mt. Columbia	Telling the Story of Tribal Children: Storytelling for All <i>Phyllis Yargee</i>	PRO
Mt. Yale	How Software Can Help to Tell the Story <i>Michael Marris</i>	PPI
Mt. Wilson	De-stress <i>Paul Figueroa (invited)</i>	HW
Mt. Princeton	Move, Play, & Learn: IMIL Activities in Your Center or Home <i>Amy Thilges and Marsha White</i>	NPA
2:45 p.m. – 3:15 p.m. Break (refreshments provided)		
3:15 p.m. – 4:30 p.m. Session C*		
Mt. Harvard	Telling the Story through Advocacy <i>Melanie Ross Levin</i>	LA
Mt. Oxford	Self-Regulation <i>Barbara Sorrels</i>	PMQ

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Tuesday, August 27, 2013

3:15 p.m. – 4:30 p.m.	Session C* (continued)	
Mt. Columbia	Conscious Discipline: “I’ve Heard of It, but What Exactly Is it?” <i>Amy Thilges and Marsha White</i>	PRO
Mt. Yale	Two Tribal Early Childhood Initiatives: The Wakanyeja "Sacred Little Ones" Early Childhood Education Initiative and the Tribal Early Childhood Research Center <i>Michelle Sarche and Tarajeon Yazzie-Mintz</i>	PPI
Mt. Wilson	De Stress <i>Paul Figueroa</i>	HW
Mt. Princeton	IMIL: Teaching across Cultural Horizons <i>Phyllis Yargee</i>	NPA

Wednesday, August 28, 2013

7:00 a.m. – 7:30 a.m.	Staying Fit, Having Fun: Health Walk	Fourth Floor
7:30 a.m. – 8:30 a.m.	Continental Breakfast	Grand Ballroom
8:30 a.m. – 9:45 a.m.	Session D*	
Mt. Harvard	Telling the Story with Data <i>Yumiko Dougherty (invited)</i>	LA
Mt. Oxford	Child Care as a Business: How Child Care Programs Can Create Jobs and Training Opportunities <i>Doreen McNicholas and Nina Stanton</i>	PMQ
Mt. Columbia	Utilizing Language Preservation Opportunities to Tell your Story: Exploring ANA and Other Language Grant Information <i>Carrie Peake</i>	PRO
Mt. Yale	Early Head Start and Child Care Partnerships <i>Linda Kills Crow and Cheryl Wilson</i>	PPI
Mt. Wilson	Child Development and Behaviors <i>Sue Heisler</i>	HW
Mt. Princeton	My Native Plate <i>Stella Nash</i>	NPA

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Wednesday, August 28, 2013

9:45 a.m. – 10:00 a.m.	Break	
10:00 a.m. – 11:15 a.m.	Session E*	
Mt. Harvard	Telling the Story through Research and the Child and Adult Care Food Program <i>Yalanda James (moderator)</i> <i>Elaine Albertson and Sherry Rackliff</i>	LA
Mt. Oxford	Supporting Child Care Providers in a Culturally-based Quality Plan <i>Lani Kaleikini and Nalani Mattox-Primacio</i>	PMQ
Mt. Columbia	I Don't Speak Whine-ish: How to Address Challenging Behaviors in Early Child Care <i>Kriston Schellinger and Ayelet Talmi</i>	PRO
Mt. Yale	Child Support Enforcement and Indian Child Welfare (tentative) <i>Speaker TBD</i>	PPI
Mt. Wilson	Mental Health for Children and Providers: The Effects of Stress and Trauma on Young Children <i>Cheryl Wilson</i>	HW
Mt. Princeton	Let's Move! 101 <i>María Gomez, Kim Nall, and Carrie Peake</i>	NPA
11:30 a.m. – 1:15 p.m.	NICCA Annual Meeting (lunch provided)	
1:30 p.m. – 2:45 p.m.	Session F*	
Mt. Harvard	Your Child Care Development Fund Plan is a Living Story <i>Doreen McNicholas</i>	LA
Mt. Oxford	Keeping your Story Alive through Continuity of Care <i>Allyson Dean (invited)</i>	PMQ
Mt. Columbia	Little Picasso's Open Ended Art <i>Amy Thilges and Marsha White</i>	PRO
Mt. Yale	The Government-to-Government Story: Tribal-State Relationships <i>Lisa Blackmon (invited)</i>	PPI
Mt. Wilson	Early Childhood Mental Health in Early Care and Education Settings <i>Ayelet Talmi</i>	HW
Mt. Princeton	Let's Move: Taking it to the Next Level <i>Kim Nall and Carrie Peake</i>	NPA

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Wednesday, August 28, 2013

2:45 p.m. – 3:15 p.m.	Break (refreshments provided)	
3:15 p.m. – 4:30 p.m.	Session G*: Roundtable discussions	
Mt. Oxford	Intentional Quality Initiatives <i>Judy Collins, Laurie Hand, and Sherry Rackliff</i>	PMQ
Mt. Columbia	Stories from the Forefront of Child Care: Discussion with Federal and Regional Staff <i>Shannon Rudisill (invited), Karen Knoll-Moran, Yalanda James</i>	PRO
Mt. Yale	Tribal Workgroups with State Partners <i>Lisa Blackmon (invited)</i>	PPI
Mt. Princeton	Let's Move!: Open Discussion <i>Kim Nall, Stella Nash, Carrie Peake (ACF), AmyThilges, Marsha White, Phyllis Yargee</i>	NPA

Thursday, August 29, 2013

7:00 a.m. – 7:30 a.m.	Staying Fit, Having Fun: Health Walk	Fourth Floor
7:30 a.m. – 8:30 a.m.	Continental Breakfast	Grand Ballroom
8:30 a.m. – 9:45 a.m.	Session H*	
Mt. Harvard	Global Leaders: Telling your Story <i>Kim Nall and Marsha White</i>	LA
Mt. Oxford	Center for Social Emotional Foundations for Early Learning: Examples in Quality <i>Laura Leuttgen, Kelsey Thomas</i>	PMQ
Mt. Columbia	Health and Safety <i>Judy Collins</i>	PRO
Mt. Yale	Financial Literacy and Assets for Independence (tentative) <i>Speaker TBD</i>	PPI
Mt. Wilson	The Bully, The Bullied, and The Not-So-Innocent Bystander <i>Barbara Coloroso</i>	HW
Mt. Princeton	Telling the Story around the Table: Family Style Dining <i>Brett Parmenter</i>	NPA
9:45 a.m. – 10:00 a.m.	Break	

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Thursday, August 29, 2013

10:00 a.m. – 12:00 p.m. General Session Grand Ballroom

Opening and Door Prizes

Barb Fabre, Chair of the National Indian Child Care Association

Keynote Address: Parenting and Teaching with Wit and Wisdom

Barbara Coloroso

Closing

Barb Fabre, Chair of the National Indian Child Care Association

Special Events and Opportunities

Denver Area Child Care Center Tours

Three tours will be available. Sign-ups will be held on-site.

Tuesday, August 27	1:30 p.m. – 4:30 p.m.	Tour #1
Wednesday, August 28	8:30 a.m. – 11:30 a.m.	Tour #2
	1:30 p.m. – 4:30 p.m.	Tour #3

Support NICCA

The National Indian Child Care Association (NICCA) is an organization working to ensure quality child care for Native American, Alaska Native, and Native Hawaiian children. The conference will bring together early childhood professionals from Tribes across the United States to share ideas about early care and education in Tribal communities. We invite you to partner with us as we, together, provide quality child care services to children in Indian Country.

To become a sponsor, please fill out the [Event Sponsorship Agreement](#).

To become an exhibitor, please go to [Exhibitor Registration](#).

To advertise in the conference program, please fill out the [Program Advertisement Agreement](#).

For more information about the conference, please visit [NICCA on the web](#) or email [Jennifer Rackliff](#).

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Emergency Preparedness Program
July 25th, 2013

Please note information/activities are for the period of: 06/21/2013 through 07/18/2013.

Action Item(s):

- 13-M-006, This MOU will cover an agreement to provide use of the Karuk Community Computer Centers in Happy Camp and Orleans that are under the jurisdiction of KCDC to the Tribe's Emergency Services Department for the use of training and response to and recovery from emergencies and disasters.

Emergency Management TF:

- Update on ICS training, we are not at our target with completion but we can move forward with the next level of training; move onto I200 and I800 and set dates for I300 training for the fall. The I300 training requires students to attend daily and pass the test at the end of the classes. I will be able to administer I200 and 300 tests and issue certificates of completion upon passing the test.
- Obtained access to resource ordering and status system (ROSS).
- Working with BIA National Training officer in Boise Idaho for access to Incident Qualification and Certification System (IQCS), it looks like he will be able to help us out with getting the access we need without taking on more than we need are staffed to handle.

Projects TF:

- Drafted a site specific emergency operating procedures template for Tribal offices, Bring to management team for any edits or changes.
- Updating Karuk tribe Emergency Operations Plan to reflect current Organization. Ongoing.
- Worked on quarterly report due July 31st, so far all Objective work plan goals are on schedule or ahead of schedule.
- Working with Laura Olivas on budget reallocation from cost savings. Should be able to purchase additional critical Emergency Response Equipment upon ANA approval.

Projects JB:

- Digitized Scope maps for the Upslope Project to help the group determine what the project boundaries will be.
- Completed draft of the Happy Camp Neighborhood Watch map.
- Planning and acquiring materials for GIS training for tribal employees to be held on Fridays in August and September.

Meetings/Training Attended TF:

- Attended Neighborhood Watch meeting on 7/8, security cameras are still a need. Draft Neighborhood watch maps completed by Jill Beckmann, group likes them but has some additions to make.
- Attended Customer Service training hosted by KTHA, it was a good refresher on

- customer service principals.
- Attended L449 training (Train the Trainer ICS 100 thru 400 Classes) in South Carolina. It was good training learned different presentation and teaching methods to use to make the training applicable to different disciplines.

Meetings Attended JB:

- Attended LiDAR (Light Detection and Ranging) for the Lower Klamath Coordination meeting (6/26) at the USFS in Eureka to discuss opportunities for collaboration in acquiring, processing, developing and applying LiDAR technology in the lower Klamath River region. LiDAR is a method that uses lasers usually deployed from an airplane to survey various surface-level 3-D and 2-D features with great accuracy.
- Siskiyou County Information Forum (SCIF) meeting in Yreka (7/2). Discussed CodeRed, the county's new reverse 911 system and Alert FM. CodeRed will be the County's main emergency notification system, there are problems with Alert FM. We will be signing folks up for CodeRed at the tribal reunion. There was also a presentation by the Siskiyou Media Council regarding their live stream of channel MCTV 15 and YCTV 4 and the associated electronic bulletin board as a way to get news out to anyone with an internet connection or local cable.
- ESRI user conference in San Diego, CA (7/8-7/12). This was a great opportunity to network with other tribes and other entities that are using GIS technology for Emergency Preparedness and other purposes. I learned quite a lot about where GIS is going and how it is becoming easier to access and employ GIS for community mapping, emergency preparedness, and just about any other use needed. Acquired materials and ideas for the GIS training that I will be holding for Karuk Tribal employees in August and September.
- Siskiyou County Fire Chiefs Association Recruitment and Retention Grant meeting (7/16) in Lake Shastina. I attended this meeting to learn more about a grant organized by Fire What? (based in Dunsmuir) that will be equipping Siskiyou County Fire Departments with additional personnel and equipment and also to see how we can coordinate with mapping and applying geospatial technologies to Emergency response in Siskiyou County. I gave a short introduction of our department, current plans, and opportunities to collaborate.
- Reunion Planning meeting (7/18). Plan and prepare for reunion, especially for hot weather.
- Siskiyou County Hospital Preparedness Program (HPP) meeting (7/18). We reviewed MOU and bylaws, discussed upcoming training and tabletop exercise.

*Thomas N Fielden
Emergency Preparedness Coordinator
Karuk Tribe Administrative
Office 64236 Second Avenue
Post Office Box
1016 Happy
Camp, CA
96039
Phone: (530) 493-1600 Ext 2024*

Cell: (530) 643-6569

Fax: (530) 493-5322

tfielden@karuk.us



Jill J. Beckmann

GIS Resource Inventory

Specialist

Emergency

Preparedness

Department

Karuk Tribe

530-493-1600 Ext. 2029

530-643-3628 (cell)

Kamk Communi!)• Health Clinic
64236 Sewnd Avenue
Post Otlice Box 3 16
Happy Camp. CA 96039
Phone: (530) 493-5257
Fax: {530) 493-5270



Karuk Dental Clinic
64236 Second Avenue
Post Otlice Box 1016
Happy Camp, CA 96039
Phone: {530) 493-2201

Administrative Office
Phone: (530) 493-1600 • Fax: (530) 493-5322
64236 Second Avenue • Post Otlice Box 1016 • Happy Camp, CA 96039

Fax: (530) 493-5364

REQUEST FOR CONTRACT/ MOUI AGREEMENT

Check One: D Contract Karuk Tribe Number Assigned: 13-M-006 _____
 O MOU
 D Agreement Funder/Agency Assigned: _____
 D Amendment Prior Amendment: _____

REQUIRED + *Procurement Attached D *Budget Attached D
*System for Award Management (SAM) (CONTRACTS ONLY)

KCDC/KTHA Notification/ review required Yes No D

Requestor: Thomas Fielden Date: May 16, 2013 _____

Department/Program: Emergency Services _____

Name of Contractor or Parties: KCDC _____

Effective Dates (From/To): _ July 1J 2013 June 30, 2018 _____

Amount of Original: n/a
Amount of Modification: n/a
Total Amount: #VALUE!

Funding Source: n/a _____

Special Conditions/Terms:
It is understood that either party to this Memorandum of Understanding may terminate this MOU at any time by giving to the other th (30) days written notice as to such termination.

Brief Description of Purpose:
This MOU will cover an agreement to provide use of the Karuk Community Computer Centers in Happy Camp and Orleans that are under the jurisdiction of KCDC to the Tribes Emergency Services Department for the use of training and response to and recovery from emergencies/disasters

** REQUIRED SIGNATURES **

Tom Fielden _____ Date 6/3/13

**Chief Financial Officer
6/5/2013 - rec'd from inbox + reviewed minor changes must be made. _____ Date 6/5/2013

**Director, Administrative Programs & Compliance
Janey [Signature] w/ suggested changes _____ Date 6/5/13

**Director of Self Governance (MOU/MOA) or TERO (Contracts)

Other: _____ Request for Contract/ MOU/ Agreement Date
Updated October 25, 2012

Karuk Community Health Clinic

64236 Second Avenue
Post Office Box 316
Happy Camp, CA 96039
Phone: (530) 493-5257
Fax: (530) 493-5270

KarukTribe



Administrative Office

Phone: (530) 493-1600 • Fax: (530) 493-5322
64236 Second Avenue • Post Office Box 1016 • Happy Camp, CA 96039

Karuk Dental Clinic

64236 Second Avenue
Post Office Box 1016
Happy Camp, CA 96039
Phone: (530) 493-2201
Fax: (530) 493-5364

MEMORANDUM OF UNDERSTANDING
BETWEEN
KARUKTRIBE
AND
KARUK COMMUNITY DEVELOPMENT CORPORATION

This Memorandum of Understanding (MOU) is made and entered into by and between the Karuk Tribe, hereinafter called the "Tribe" and Karuk Community Development Corporation, hereinafter called "KCDC".

I. PURPOSE:

The Tribe and KCDC wish to form a partnership in an effort to deliver emergency preparedness training and services to the staff and Tribal members of the Karuk Ancestral Service Area. We recognize the need for inter-governmental relationship to ensure the safety and continued operation of our Tribal government in the event of a disaster and other emergency situation and events. Training and preparedness are an integral component to continued operations and continuity of government and provide for the safety and best possible services to our Tribal members, these training and services will be provided on an as needed basis at the Happy Camp Community Computer Center located at 25 Fourth Avenue, Happy Camp, CA 96039; and Orleans Computer Center located at 459 Asip Road, Orleans, CA 95556. This inter-governmental relationship flows both ways based on the principle we contact, consult and consider each governing bodies input on the use of the needed facilities to conduct such services.

II. REFERENCE:

The general membership of the Tribe approved Article V of the Tribe's Constitution, which states: "The members of the Karuk Tribe hereby delegate, to the Tribal Council, the authority to exercise by resolution or enactment of Tribal laws all the inherent sovereign powers vested in the Tribe as a sovereign aboriginal people;"

The Tribal Council is the formal constituted governing body of the Tribe, entrusted with the responsibility to protect, preserve and promote the ceremonial, subsistence and economic interests of the Karuk people. The Karuk Community Development Corporation (KCDC) is a sanctioned corporation of the Karuk Tribe. KCDC's Mission statement is:

The mission of the Karuk Community Development Corporation is to develop among Tribal members of the Karuk Tribe the managerial and technical capabilities to assume leadership roles in building diversified, sustainable economies by creating new business ownership and employment opportunities within the ancestral territory of the Karuk people.

III. BACKGROUND:

The Tribe has experienced many types of disasters and emergencies for many years responding with little to no support from federal, state and local disaster assistance agencies. The Tribe recognizes the need for training in emergency and disaster preparedness, response and recovery. The Tribe further recognizes the need for a continued commitment to emergency and disaster preparedness to assure continuity of government during emergencies so that all tribal entities remain capable to serve the Tribal members to the best of their capabilities.

IV. SCOPE:

This MOU will cover an agreement to provide use of the Karuk Community Computer Centers in Happy Camp and Orleans that are under the jurisdiction of KCDC to the Tribe's Emergency Services Department for the use of training and response to and recovery from emergencies and disasters.

V. MUTUALLY AGREED AND UNDERSTOOD BY BOTH PARTIES:

It is agreed upon and understood that Computer Center Staff and Emergency Services personnel will coordinate dates and times of the use of the computer centers for training and exercises with a minimum of seven (7) days advance notice of the need. It is further understood that in a time of emergency or disaster a time frame of two hours or less may be warranted to provide for prompt response to above mentioned events ensuring the best possible service to the Tribal government and entities its members and the community.

- 1) It is agreed upon that KCDC will provide:
 - a) Technical support.
 - b) Facilities coordination.
 - c) Use of computers and Internet.
 - d) Use of projector devices and screens.
 - e) Use of copiers and printers.
 - f) Use of telephones.

- 2) It is agreed upon the Tribe will provide:
 - a) Qualified instructor(s).
 - b) Training specific software.
 - c) Training materials.
 - d) Software technical support.
 - e) Emergency support staff when needed.
 - f) Security staff when needed.

VI. TIME PERIOD AND CANCELATION:

The time period of this MOU will be valid for a period offive (5) years from the date entered into this agreement at which time the agreement may be renewed and/or renegotiated or discontinued. It is also understood that either party to this Memorandum of Understanding may terminate this MOUat any time by giving to the other party thirty (30) days written notice as to such termination. Termination shall have no effect upon the rights and obligations of the parties arising out of any transaction occurring prior to the effective date of said termination.

VII. FISCAL PROVISIONS:

The Tribe may pay a daily rate of two hundred twenty two dollars and zero cents (\$220.00) per day per location to help offset the cost of personnel and equipment for the use of the computer center(s) for training, preparedness drills and exercises when funds are available for said use. The Tribe agrees to seek reimbursement funding for the use of the computer centers when used for response to and recovery from emergency or disasters that are declared by the Tribe and recognized by the Federal Emergency Management Agency (FEMA).

VIII. MONITORING AND COMPLIANCE

The Emergency Services Department and Community Computer Center(s) staff agrees to work together and cooperate on the implementation of this MOU and come to a consensus over any disagreements in a productive and respectful manner. If such consensus cannot be reached the Tribe and KCDC Board will be the deciding parties to any such disagreements.

This MOU does not in any way restrict the Tribe and KCDC from entering into and/or participating in any similar agreement with other, tribal, public, private or volunteer organizations.

IX. PRINCIPAL CONTACTS

Karuk Tribe
Russell Attebery, Chairman
64236 Second Avenue
PO Box 1016
Happy Camp CA 96039
530 493-1600 ext. 2019

Karuk Community Development
Corporation
Alvis Johnson, Chairman
529 Jacob Way
PO Box 1148
Happy Camp CA 96039

Karuk Tribe Emergency Services
Thomas Fielden
64236 Second Avenue
PO Box 1016
Happy Camp CA 96039
530 493-1600 ext. 2024


Karuk Community Development
Corporation
Bill Keenen, ED/OM
529 Jacob Way
PO Box 1148
Happy Camp CA 96039
530-493-1475 ext. 5103

Happy Camp Community Computer Center
Emma Lee Johnson, Director
25 Fourth Avenue
PO Box 1148
Happy Camp CA 90039
530 493-1485

X. SIGNED BY AND EFFECTIVE ON:

Russell Attebery, Tribal Chairman

Date

for 

**Alvis Johnson, Chairman Karuk Community
Development Corporation**

. 11. 13
Date

People's Center and Tribal Historic Preservation Office
Karuk Tribe Council Meeting
July 25, 2013

Action items

1. Approval for extension of Laverne Glaze's contract for basketweaving classes.
2. Approval to utilize basket purchase funding to buy 6 caps from private collector (see attached photos).
3. Confirmation that water/fire truck will be available for use at ceremonies this year.
4. The Karuk Resources Advisory Board would like to address contracting issues associated with working with elders and traditional practitioners. The group feels that some sort of Cultural Services Agreement should be added to the Fiscal policy due to the unique circumstances. The current system doesn't effectively or consistently address needs.

Tribal Historic Preservation Office

Working closely with the Karuk Resource Advisory Board (KRAB), review of a wide-variety of internal and external projects occurred throughout the month. Some highlights include:

1. THPO and DNR staff continue to work with the Klamath and Six Rivers National Forests on the pending river closures for ceremonies. The template for closure signs was finalized (see attached).
2. The KRAB continued to refine request to Six Rivers and Klamath National Forests for mineral withdrawal of Inaam and Katamin Cultural Management area to prevent further damage to cultural resources from mining.
3. The final draft of the National Register nomination for the Panamnik World Renewal Ceremonial District was submitted for review, including detailed maps. The Tribe has been working with the Six Rivers National Forest for over a year to better define the District, and the nomination packet represents a significant effort to identify traditional cultural properties in the area.
4. THPO is coordinating with Caltrans, who has contracted with Humboldt State, to update the Cultural Resources Inventory Report for Pedestrian Walkway Project and an associated bridge replacement. As reported last month, the initial report was inadequate to address potential effects to cultural resources: issues included an inadequate record search, exclusion of the Panamnik National Register District from analysis, and an overall failure to consult with the Tribe and practitioners who utilize the area. Caltrans has assumed responsibility for an update, and a field visit is scheduled for July 31.

5. A new programmatic agreement (PA) between the Federal Highway Administration and Caltrans was reviewed. The KRAB has recommended that the Tribe consider becoming a concurring party so that it could be utilized for transportation projects on Tribal lands. The PA would allow for expedited review of a variety of routine projects, and could be highly beneficial to the Tribe.

People's Center

The People's Center advisory board met on June 24th, with full attendance by new and old board members.

1. A two-day strategic planning session is scheduled for July 29-30. Extensive planning for this session occurred throughout the month, and has been the primary focus of the museum. In addition to the strategic plan, critical policies and procedures will be reviewed and finalized including:
 - a. The People's Center Manual which summarizes all policies, procedures and interrelated requirements that must be followed by staff, visitors, and tribal members;
 - b. Collections Management Policy; and
 - c. NAGPRA policy.
2. Museum Coordinator assisted with multiple cultural events for the Reunion with a focus on the girl's dress demonstration.
3. Museum continued coordination with the Autry Museum in Los Angeles for repatriation of a large collection of Karuk cultural objects. A group comprised of a member of the PCAC, a Karuk speaker, and videography students will be travelling to Los Angeles the first week in August to photograph and film the collection.
4. Unfortunately, the repatriation of the albino wolf currently housed at the Phoebe Hearst Museum at Berkeley has been delayed so we are currently working with the University to arrange a temporary loan for its use in this year's ceremonies. See attached letter.

Private Collector, Ed Hanson has a number of baskets for sale. We are proposing purchase of six caps for a total price of **\$3,550.00**. There is \$4,000.00 remaining in the basket purchase fund.



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July 3, 2013

University of California, Berkeley
Phoebe A Hearst Museum of Anthropology
Mari Lyn Salvador, Director
103 Kroeber Hall
Berkeley, CA 94720

Subject: Karuk Tribe Claim for Wolfskin Catalogue # 1-2277

Dear Ms. Salvador:

Over the course of the last few months, various telephone conversations, e-mails and letters have been exchanged regarding the Karuk Tribe's repatriation claim for an albino wolfskin (catalogue 1-2277). As defined in the Native American Graves Protection and Repatriation Act (NAGPRA), the wolfskin is an item of cultural patrimony, and is an integral part of cultural traditions which form the basis for the health and well-being of the Karuk people. Consultation with the Phoebe Hearst Museum of Anthropology began over a decade ago with an initial claim, and culminated in a second formal request for return of the wolf just over a year ago. To date, consultations have not resulted in any definitive action on the part of the University to honor the claim.

We greatly appreciated the letter dated May 30, 2013, from NAGPRA Coordinator, Anthony Garcia, indicating that the U.C. Berkeley Campus Repatriation Committee favored the Karuk Tribe's claim, and that committee Chairman Busbaum would be working on a "response for the Wolfskin to the University of California."

At this time, we would like to request the following:

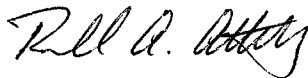
1. A status report and timeline for completion of the repatriation process. Has the request been forwarded to the University as indicated in Mr. Garcia's letter, and if so, when is a response from them expected? Once a response is received, what are the next steps in the process for your institution, including timelines?
2. In a letter dated March 26, 2013, our Tribal Historic Preservation Officer, Julie Burcell, requested information regarding past testing for pesticide levels that may affect the regalia. As previously mentioned, contamination will not dissuade the Tribe from seeking return of the wolf, we simply want to be well-informed so that we can ensure proper handling in terms of integration into our museum collection. Please provide this

information at your earliest possible convenience. If a significant delay is expected, a status report would be greatly appreciated.

3. While we had sincerely hoped that the wolf would be returned to the Karuk people prior to this year's ceremonial period, this does not seem to be a realistic expectation at this time. We, therefore, need to arrange for a loan of the wolf for *Pikyávis*, our World Renewal Ceremonies, beginning in early August. Ideally, the loan period could be established with consideration of the pending repatriation. We are quite determined to make the wolf's trip home this year its last. Anything you can do to help facilitate this would go a long way toward healing our working relationship, and establishing a solid foundation for future interactions. Please let us know who on your staff we should be working with to facilitate the loan.

We are looking forward to hearing back from you on these issues. If you have questions, please feel free to contact myself or our Tribal Historic Preservation Officer, Julie Burcell, at (530) 493-1600 / batterbery@karuk.us or jburcell@karuk.us .

Sincerely,



Russell Attebery
Tribal Chair

Karuk Tribe

Council Report from Laura Mayton

Meeting Date: July 26, 2013

ACTION ITEMS

I do not have any action items at this time.

CASINO DEVELOPMENT BUDGET

Fiscal year 2013 revised budget = \$1,500,000

Rounded amount spent or obligated to date:

Council Travel & Stipends = \$55,000

Legal Fees = \$ 58,000

Property Purchase = \$453,000

Property Purchase = \$266,000

ROI = \$200,000

ROI Travel = \$12,000

TEIR = \$140,000

Miscellaneous = \$3,000

Total Spent or Obligated = \$1,187,000

Remaining Budget = \$313,000

CROSS TRAINING

Normally I complete budgets for IHS, 3rd Party, BIA, Indirect, TANF, TERO, and Discretionary funds each year. I also prepare the indirect cost proposal each year. This year, Laura Olivas will be working on the fiscal year 2014 budgets and indirect cost proposal. She will be meeting with program staff to review the budgets and later with the Council. I will monitor her progress and help as needed.

Having Laura Olivas complete these tasks is important for her professional development, and it is beneficial for the Tribe to have employees cross trained.

NEW FISCAL STAFF

MaraLei Allec was hired to replace Linda Zink who took a job at KCDC. MaraLei's first day of work was July 15th. I want to thank Linda for her dedication towards a smooth transition, and I want to welcome MaraLei.

MONTHLY FINANCIAL STATEMENT REQUEST

As requested by Amos, I will work on monthly financial statements to be present to the Council at regular meetings. I do not have one ready to attach to this report, but I will email it when complete, so that the Council can look at it before the June 26th meeting.

FISCAL YEAR 2012 AND FUTURE AUDITS

The Karuk Tribe's fiscal year 2012 audit report is finally complete. We made the June 30th deadline but just barely.

An RFP has been flown for fiscal years 2013, 2014, 2015, 2016 and 2017 audits. In addition to flying the RFP, I had Tiffany send the RFP to qualified responders who responded to the Tribe's last audit RFP and to audit firms who have requested notification.

SEQUESTRATION

Sequestration monitoring continues

IHS CONTRACT DISPUTES ACT CLAIMS FOR CONTRACT SUPPORT COSTS

No updates to report at this time.



Council Report, KCDC

Bill Keenen, ED/OM

July, 2013

Grants/Contracts

California Library Grant, \$60,000 approved

Americorps Planning Grant in final stages

Goff Fire Contract 13-IA-I1050500-039 \$32,719 (to be presented by DNR)

EDA Grant-In-Progress: Potential to improve the Orleans Computer Center facility. More information to come

Other:

Design Works Equipment Inventory: 2 circular saws, a grinder, and a cutting tool. It appears as though all of the other equipment has been absorbed by other departments. It has been reported that Oak Knoll is not warehousing any of the equipment.

KROCK: Researching the possibility of crushing rock for next season. Demand is not sufficient at this point to start an operation now. The minimum demand needed is 15-20,000 tons. The Transportation Department has some needs next season, but more sales will be necessary unless we choose to stockpile rock for sales at a later date. I am currently researching Caltrans and other users who may need a supply of crushed rock next season.

Amkuuf Smoke Shop:

Continuing researching improving the property in Yreka. Proceeding carefully in light of recent litigation of smoke shop owners. Thus far, I am not aware of any litigation against tribally-operated smoke shops; it appears that the state is involving individual operators at this point. Various tribes have different approaches to this problem, from doing nothing (tribal sovereignty) to considering charging tribal taxes on the sales to be used at the tribes' discretion. This is an issue that involves governance, and exceeds KCDC's boundaries. I will be informing the KCDC Board when I become aware of any further developments, but would appreciate more input from the tribe as to whether or not there should be any sort of action plan to approach to this important issue, as it may affect other state taxes such as taxes on fuel sales.



Respectfully,

Bill Keenen, ED/OM--KCDC

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REQUEST FOR RESOLUTION

Check One: Resolution Karuk Tribe Number Assigned: **Get from Tribal Council Executive Assistant**
Prior Amendment:

Requestor: _____ Date: _____

Department/Program: **DNR / KCDC pass through**

Brief Description of Purpose:
Approval of Goff Fire Project June 15, 2013 to January 31, 2014 IFLAA Expenditure Plan and subsequent invoices for reimbursement.

**** REQUIRED SIGNATURES ****

****Self-Governance Coordinator** _____ Date _____

Other _____ Date _____

ANNOUNCEMENT OF FEDERAL FUNDING OPPORTUNITY
Public Works and Economic Adjustment Assistance Programs

EXECUTIVE SUMMARY

- **Federal Agency Name:** Economic Development Administration (EDA), U.S. Department of Commerce.
- **Funding Opportunity Title:** FY 2013 Economic Development Assistance Programs - Application submission and program requirements for EDA's Public Works and Economic Adjustment Assistance programs.
- **Announcement Type and Date:** Initial FY 2013 Federal Funding Opportunity (FFO) announcement publishing EDA's application submission requirements and review procedures for applications received under EDA's (i) Public Works and (ii) Economic Adjustment Assistance programs, authorized under the Public Works and Economic Development Act of 1965, as amended (42 U.S.C. § 3121 *et seq.*). Effective date: November 26, 2012.
- **Funding Opportunity Number:** EDAP2013
- **Catalog of Federal Domestic Assistance (CFDA) Numbers:** 11.300, Investments for Public Works and Economic Development Facilities and 11.307, Economic Adjustment Assistance.
- **Dates:** The deadlines for the remaining funding cycles of FY 2013 and the first funding cycle of FY 2014 are listed below. The first funding cycle of FY 2013 is covered under EDA's FY 2012 Economic Development Assistance programs (EDAP) FFO dated November 18, 2011. EDA strongly encourages electronic submissions of applications through www.grants.gov (Grants.gov). To be considered during a particular funding cycle, completed applications must be validated and time-stamped at Grants.gov by 11:59 p.m. Eastern Time on the applicable funding cycle deadline. Alternatively, paper applications may be delivered to the applicable regional office listed in section VIII. of this FFO. Paper applications must be received no later than 5:00 p.m. local time in the applicable regional office on the funding cycle deadline and the applicant must use a delivery confirmation service from their selected carrier. If your application is received after the deadline, it will be considered late and will not be reviewed by EDA for that funding cycle. EDA will not accept facsimile or email transmissions of applications. The next four funding cycle¹ deadlines are:
 - December 13, 2012 for funding cycle 2 of FY 2013;
 - March 13, 2013 for funding cycle 3 of FY 2013;
 - June 13, 2013 for funding cycle 4 of FY 2013²; and
 - September 13, 2013 for funding cycle 1 of FY 2014.

¹ Because EDA is currently operating under a continuing resolution, funding for projects under any given funding cycle will be dependent upon the availability of appropriations. Please see section II.A of this FFO for more information.

² Applications submitted for consideration in the "funding cycle 1 for FY 2014" will be funded using FY 2014 appropriations.

Please see section IV.C. of this FFO for more information on application deadlines under this announcement.

- **Funding Opportunity Description:** EDA provides strategic investments that foster job creation and attract private investment to support development in economically distressed areas of the United States. Under this FFO, EDA solicits applications from both rural and urban areas to provide investments that support construction, non-construction, technical assistance, and revolving loan fund projects under EDA's Public Works and Economic Adjustment Assistance programs. Grants made under these programs are designed to leverage existing regional assets to support the implementation of economic development strategies that advance new ideas and creative approaches to advance economic prosperity in distressed communities.
- **Eligible applicants:** EDA is not authorized to provide grants to individuals or to for-profit entities. Requests from such entities will not be considered for funding. Eligible applicants for EDA financial assistance under the Public Works and Economic Adjustment Assistance programs include a(n): (i) District Organization of a designated Economic Development District; (ii) Indian Tribe or a consortium of Indian Tribes; (iii) State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (iv) institution of higher education or a consortium of institutions of higher education; or (v) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. § 3122) and 13 C.F.R. § 300.3.

FULL ANNOUNCEMENT TEXT
The Economic Development Administration’s (EDA) Public Works
and Economic Adjustment Assistance Programs

EXECUTIVE SUMMARY 1

FULL ANNOUNCEMENT TEXT.....3

I. Funding Opportunity Description4

A. Overview4

B. EDA Investment Priorities5

C. EDA Program Information.....6

II. Award information.....7

A. What funding is available under this announcement?.....7

B. What type of funding instrument will be used to make awards and how long will project periods be?9

III. Eligibility Information9

A. Eligible Applicants9

B. EDA Economic Distress Criteria9

C. Cost Sharing or Matching12

IV. Application and Submission Information14

A. How to Obtain an Application Package14

B. Content and Form of Application Submission.....14

C. Deadlines for submission20

D. How to submit an application.....21

E. Optional Pre-application Consultation or Review with EDA22

V. Application Review and Selection Process.....22

A. Application review and project analysis23

B. Investment Review Committee (IRC) and Selection Factors23

C. Grants Officer’s decision24

VI. Award Administration Information24

A. Award notification.....24

B. Administrative and national policy requirements25

C. Reporting requirements25

D. Regulations, administrative requirements, and cost principles26

E. EDA’s Non-relocation Policy26

F. OMB Circular A-133 audit requirements.....27

VII. Other Information27

A.	Freedom of Information Act Disclosure	27
B.	Past Performance and Non-Compliance with Award Provisions.....	27
C.	Dun and Bradstreet Data Universal Numbering System, and Central Contractor Registration	27
D.	Restrictions Governing Making Grants to Corporations Convicted of Felony Criminal Violations and/or Unpaid Federal Tax Liabilities	28
E.	Environmental and historic preservation requirements.....	28
F.	Intergovernmental review	28
G.	Implementing the Americans with Disabilities Act (ADA).....	28
VIII.	EDA Contact Information.....	30
	Atlanta Regional Office	30
	Austin Regional Office	30
	Chicago Regional Office.....	31
	Denver Regional Office	31
	Philadelphia Regional Office.....	32
	Seattle Regional Office	33
IX.	Instructions for Application Submission via Grants.gov	34

I. Funding Opportunity Description

A. Overview

This Federal Funding Opportunity (FFO) announcement sets out EDA’s application submission and review procedures for two of its Economic Development Assistance programs (EDAP) authorized under the Public Works and Economic Development Act of 1965, as amended (42 U.S.C. § 3121 *et seq.*) (PWEDA): (i) Public Works and Economic Development (Public Works); and (ii) Economic Adjustment Assistance (EAA). EDA will publish separate FFO announcements for its other programs: Planning, Partnership Planning, Local Technical Assistance, University Center, and Research and National Technical Assistance.

EDA’s programs provide economically distressed communities and regions with comprehensive and flexible resources to address a wide variety of economic needs and are designed to lead to the creation or retention of jobs and enhanced private investment. EDA’s programs support local and regional economic development efforts to establish a foundation for vibrant economies throughout the United States. Through these programs, EDA supports bottom-up strategies that build on regional assets to spur economic growth and resiliency. EDA encourages its partners throughout the country to develop initiatives that present new ideas and creative approaches to advance economic prosperity in distressed communities.

B. EDA Investment Priorities

Through the competitive grant process outlined in this funding opportunity, all proposed projects are evaluated to determine the extent to which they align with EDA's investment priorities, create or retain jobs, leverage public and private resources, demonstrate the ability to start the proposed project promptly and use funds quickly and effectively, and provide a clear scope of work and specific, measureable outcomes.

EDA's investment priorities are designed to provide an overarching framework to guide the agency's investment portfolio to ensure its investments have the greatest impact. All applications for EDA assistance should align with at least one of the following investment priorities:

1. Collaborative Regional Innovation

Projects that support the expansion and growth of innovation clusters based on a region's existing competitive strengths. Initiatives must engage relevant stakeholders; facilitate collaboration among urban, suburban, and rural (including tribal) areas; provide capacity for economic development through long-term intergovernmental and public/private collaboration; and support the growth of existing and emerging industries.

2. Public/Private Partnerships

Projects that use both public- and private-sector resources and leverage complementary investments by other government/public entities and/or nonprofits.

3. National Strategic Priorities

Projects that encourage job growth and business expansion related to advanced and innovative manufacturing; information technology (for example, broadband or smart grid) infrastructure; communities severely impacted by automotive industry restructuring; restoring or improving urban waters; natural disaster mitigation and resiliency; access to capital for small, medium-sized, and ethnically diverse enterprises; innovations in science and health care; or projects that advance science and research parks or other technology transfer or commercialization centers.

4. Global Competitiveness

Projects that support high-growth businesses and innovation-based entrepreneurs to expand and compete in global markets, especially investments that expand U.S. exports, encourage foreign direct investment, and promote the repatriation of jobs back to the U.S.

5. Environmentally-Sustainable Development

Projects that promote job creation and economic prosperity through enhancing environmental quality and developing and implementing green products, processes, places, and buildings as part of the green economy. This includes support for energy-efficient green technologies. Additional information is

available on EDA's website at
<http://www.eda.gov/pdf/GreenGrowthOverview.pdf>.

6. **Economically Distressed and Underserved Communities**

Projects that strengthen communities that have suffered disproportionate economic distress and job losses and/or are rebuilding to become more competitive in the global economy.

C. **EDA Program Information**

This section provides detailed information on the two programs to which this FFO applies. Additional information about EDA's programs, including information about the eligibility of specific kinds of projects, is available through EDA's Economic Development Representatives (EDR). EDRs provide technical assistance to prospective applicants to assist in proposal development and application submission. Applicants are *strongly* encouraged to contact the EDA representative listed for their applicable state in section VIII. of this FFO to discuss their proposed project, its alignment with EDA's mission and investment priorities, and all relevant grant issues before submitting an application to EDA.

1. **Public Works**³

Through the Public Works program, EDA provides catalytic investments to help distressed communities build, design, or engineer critical infrastructure and facilities that will help implement regional development strategies and advance bottom-up economic development goals to promote regional prosperity. The Public Works program provides resources to meet the traditional infrastructure needs of communities, and offers resources to help distressed communities become more economically competitive through the construction or design of 21st century infrastructure. Prior examples of investments EDA supported through the Public Works program include projects supporting water and sewer system improvements, industrial parks, high-tech shipping and logistics facilities, workforce training facilities, business incubators and accelerators, brownfield development, technology-based facilities, wet labs, multi-tenant manufacturing facilities, science and research parks, and telecommunications infrastructure and development facilities.

Investments made through the Public Works program must be aligned with a current regional economic development strategy and clearly lead to the creation or retention of long-term jobs. For example, under this program, EDA may provide funding to a consortium of eligible recipients to support the construction of a technology center that provides laboratory, office, and manufacturing space that leads to the creation of advanced manufacturing jobs. As another example, EDA may provide funding to a county government to support regional job creation in targeted cluster industries and expand those industries' ability to export goods.

2. **Economic Adjustment Assistance**⁴

Through the EAA program, EDA provides investments that support a wide range of construction and non-construction activities (including infrastructure, design and engineering, technical assistance, economic recovery strategies, and capitalization or re-capitalization of

³ CFDA No. 11.300. The regulations implementing the Public Works program may be found at 13 C.F.R. part 305.

⁴ CFDA No. 11.307. The regulations implementing the EAA program may be found at 13 C.F.R. part 307.

Revolving Loan Fund (RLF) projects) in regions experiencing severe economic dislocations that may occur suddenly or over time. EDA utilizes EAA investments to provide resources that help communities experiencing or anticipating economic dislocations to plan and implement specific solutions to leverage their existing regional economic advantages to support economic development and job creation. Like Public Works investments, EAA investments are designed to help communities catalyze public-private partnerships to foster collaboration, attract investment, create jobs, and foster economic resiliency and prosperity. For example, EDA might provide funding to a university or community college to launch a regional innovation cluster strategy that provides technical assistance to smaller manufacturers to promote and enhance the growth of emerging industries and stem job losses in manufacturing businesses as a result of foreign competition. As another example, EDA might provide funding to a city to support the construction of a publically-owned multi-tenant business and industrial facility to house early-stage businesses.

EDA will continue to consider applications from communities experiencing adverse economic changes due to base realignment and closures (BRAC) and Federally declared disasters when awarding assistance from FY 2013 EAA program funds. In addition to the assistance described above, EDA assistance to such communities may include planning, coordinating the use of Federal resources available to support economic development recovery, and developing regionally focused economic recovery and growth strategies.

Additionally, as part of this FFO, EDA may provide investments through the EAA program to help communities conduct feasibility studies on whether and how science and research parks could be implemented in their region.

3. Statutory authorities for EDA's programs

The statutory authorities for the Public Works and EAA programs are sections 201 (42 U.S.C. § 3141) and 209 (42 U.S.C. § 3149) of PWEDA, respectively.

Applicant eligibility and program requirements are set forth in EDA's regulations (codified at 13 C.F.R. chapter III) and all applicants must address these requirements. Specific program requirements for the Public Works program are set out at 13 C.F.R. part 305 and for the EAA program at 13 C.F.R. part 307. For EDA's Public Works and EAA programs, this announcement supersedes the EDAP FFO announcement dated November 18, 2011, and EDA's current regulations on application procedures, evaluation criteria, and selection criteria, specifically 13 C.F.R. §§ 301.7(a), 301.8, and 301.9(a)). EDA expects to update its regulations to reflect these changes in the near future. EDA's regulations are accessible on EDA's website at www.eda.gov.

II. Award information

A. What funding is available under this announcement?

As of November 26, 2012, the full amount of FY 2013 appropriations is not available and EDA is operating under the authority of the FY 2013 Continuing Resolution, Pub. L. No. 112-175 (September 28, 2012). This Continuing Resolution allocates to EDA a level of funding based on FY 2012 funding levels, but on a pro-rated basis, until the enactment of the

FY 2013 appropriations or March 27, 2013, whichever occurs first. EDA expects funding levels for FY 2013 to be similar to that in FY 2012, but the final amounts will not be known until Congress passes a FY 2013 appropriations act. Additionally, the amounts available under the FY 2013 Continuing Resolution may be affected by the implementation of the Budget Reform Act of 2011, Pub. L. No. 112-25, 125 Stat. 240 (August 2, 2011), which provides for the automatic sequestration of funds as of January 2, 2013, absent further Congressional action.

When the full FY 2013 appropriations become available, EDA will publish a notice to announce the final FY 2013 funding levels for each program. The funding periods and funding amounts referenced in this notice are subject to the availability of funds at the time of award, as well as to DOC and EDA priorities at the time of award. Neither DOC nor EDA will be held responsible for application preparation costs. Publication of this announcement does not obligate DOC or EDA to award any specific grant or cooperative agreement or to obligate all or any part of available funds. Applications for renewal or supplementation of additional projects may compete with applications for new awards. The following sections provide more information on EDA's Public Works and EAA programs. Specific FY 2013 funding amounts for each program will be announced separately upon availability. The FY 2012 award amounts set out below are provided only for your information; assuming EDA receives FY 2013 appropriations of approximately the same level as in FY 2012,⁵ the following amounts may prove useful for planning purposes.

EDA allocated \$111,640,000 for the Public Works program in FY 2012. The average size of a Public Works investment in FY 2012 was approximately \$1.4 million, though investments ranged in size from \$200,000 to \$3,000,000.

EDA allocated \$50,060,000 to the EAA program in FY 2012. The average size of an EAA investment in FY 2012 was approximately \$820,000, though investments ranged from \$100,000 to \$1,250,000.

Under the Public Works and EAA programs, EDA expects to use approximately 30 percent of its FY 2013 appropriations to fund applications that were received on or before the September 20, 2012 deadline for the first funding cycle of FY 2013. EDA expects to use the remaining 70 percent to fund three funding cycles in FY 2013. During the second and third funding cycles, EDA expects to fund projects totaling approximately 60 percent (30 percent per funding cycle) of EDA's FY 2013 appropriation for the Public Works and EAA programs. In the fourth cycle, if funds remain, EDA expects to fund projects with the remaining 10 percent of the agency's FY 2013 appropriations and with any funds that may become available during the first two funding cycles of FY 2013. One way in which funds may become available is if a project was competitively selected in one of the first two funding cycles, but EDA ultimately was unable to make the award, for example, in the case of a construction project in which the applicant is

⁵ In FY 2012, the Consolidated and Further Continuing Appropriations Act, 2012 (Pub. L. No. 112-55, 125 Stat. 552 at 592 (November 18, 2011)) made \$220,000,000 available for the Economic Development Assistance Programs authorized under PWEDA, the Trade Adjustment Assistance for Firms Program authorized under the Trade Act of 1974 (19 U.S.C. 2341 et seq.), and for the cost of loan guarantees and grants authorized under sections 26 and 27 of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. §§ 3721 and 3722, respectively) (Stevenson-Wydler). Additionally, in EDA's FY 2012 appropriations under Pub. L. No. 112-55, EDA was allocated \$200,000,000 in disaster assistance; however, the FY 2013 Continuing Resolution specifically excludes this disaster assistance from EDA's funding.

unable to resolve environmental issues. Similarly, from time to time, funds may become available from recoveries of prior year awards.

B. What type of funding instrument will be used to make awards and how long will project periods be?

Subject to the availability of funds, EDA may award grants or cooperative agreements to eligible applicants to help support economic development activities. Project periods are dependent on the type of project, scope of work, and the EDA program under which the grant or cooperative agreement for the project is awarded. For example, the project period for a construction investment under EDA's Public Works program may last for three years, while a strategy investment under EDA's EAA program may allow for one to three years for completion of the scope of work, depending on its complexity or urgency. EDA expects that all projects will proceed efficiently and expeditiously, and EDA encourages applicants to clearly document how quickly the applicant will be able to start and complete the proposed work.

III. Eligibility Information

A. Eligible Applicants

Pursuant to PWEDA, eligible applicants for and eligible recipients of EDA investment assistance under this FFO include a(n):

1. District Organization;
2. Indian Tribe or a consortium of Indian Tribes;
3. State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions;
4. Institution of higher education or a consortium of institutions of higher education;
or
5. Public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State.⁶

EDA is **not** authorized to provide grants to individuals or for-profit entities, and such requests will not be considered for funding.

B. EDA Economic Distress Criteria

In order to be eligible for funding under this FFO, an applicant must propose a project located in a Region that meets EDA's distress criteria as of the date EDA receives the application. Applicants must provide third-party data that clearly indicates that the relevant Region is subject to one (or more) of the following economic distress criteria: (i) an unemployment rate that is, for the most recent 24-month period for which data are available, at least one percentage point greater than the national average unemployment rate; (ii) per capita income that is, for the most recent period for which data are available, 80 percent or less of the national average per capita income; or (iii) a "Special Need," as determined by EDA.⁷

⁶ See section 3 of PWEDA (42 U.S.C. § 3122) and 13 C.F.R. § 300.3.

⁷ See section 301 of PWEDA (42 U.S.C. § 3161) and 13 C.F.R. § 301.3.

EDA will review and evaluate documentation submitted by the applicant to determine eligibility. EDA will reject any documentation of eligibility that the agency determines is inaccurate or incomplete, which may cause the application to be rejected. In cases where EDA receives an application six months or more before the time of award, EDA will re-evaluate the project to determine continued eligibility for investment assistance before making an award.

To determine eligibility, an applicant must define their Region in one of the following three ways:

- a. The Region where the proposed project will be located;
- b. When the Project is located in an Economic Development District that is located in a Region that doesn't meet EDA's economic distress criteria, the geographic area where substantial direct project-related benefits will occur; or
- c. The geographic area of poverty or high unemployment where the project will be located.

See also 13 C.F.R. § 301.3(a).

To determine eligibility, applicants must self-define the appropriate geographical area that constitutes their Region. For construction projects (including design and engineering) the project must be located within an eligible Region. For non-construction projects, the investment's scope of work must primarily benefit an eligible Region and stakeholders from that eligible Region must be directly engaged. EDA suggests that applicants work closely with their Regional Office as they define an eligible project in their Region.

1. Unemployment Rate & Per Capita Income

For economic distress levels based on the unemployment rate or per capita income requirements, EDA will base its determination upon the most recent American Community Survey (ACS) data published by the U.S. Census Bureau. If recent ACS data are not available to determine project eligibility, EDA will base its decision on the most recent Federal data from other sources (e.g., data available from the U.S. Census Bureau and the Bureaus of Economic Analysis, Labor Statistics, and Indian Affairs). If no Federal data are available, an applicant must submit to EDA the most recent data available from the government of the State in which the region is located (i.e., conducted by or at the direction of the State government).⁸

2. "Special Need" Criteria

For the purposes of determining eligibility based on a "Special Need", an applicant must provide current and appropriate economic and demographic statistics for the applicable Region to support the identified "Special Need." EDA will base its determination of eligibility for "Special Need" on whether the proposed project meets the specific requirements outlined below, or in cases where specific thresholds are not identified, on whether the data and information

⁸ See section 301 of PWEDA (42 U.S.C. § 3161) and 13 C.F.R. § 301.3.

provided by the applicant presents a compelling case that the Region meets the “Special Need” criteria, as defined by EDA.

A project may be eligible pursuant to a “Special Need” if the project is located in a Region that meets one or more of the criteria described below⁹:

- a. Closure or restructuring of industries or the loss of a major employer essential to the Regional economy as defined by:
 - i. an actual closure or restructuring of a firm within the 12 months prior to application submission, resulting in sudden job losses and meeting the dislocation criteria in a.iii. below; or
 - ii. a threat of closure that results from a public announcement of an impending closure or restructuring of a firm expected to occur within two years of application submission; AND
 - iii. such actual or threatened closure results in sudden job losses meeting the following dislocation criteria:
 - i. For Regions with a population of at least 100,000, the actual or threatened dislocation is 500 jobs, or one percent of the civilian labor force (CLF), whichever is less.
 - ii. For Regions with a population up to 100,000, the actual or threatened dislocation is 200 jobs, or one percent of the CLF, whichever is less.
- b. Substantial out-migration or population loss.
- c. Underemployment, meaning employment of workers at less than full-time or at less skilled tasks than their training or abilities permit.
- d. Military base closures or realignments, defense contractor reductions-in-force, or Department of Energy defense-related funding reductions.
 - i. A military base closure refers to a military base that was closed or is scheduled for closure, realignment, or growth pursuant to the base closure and realignment process or other Department of Defense (DOD) process. Unless further extended by the Assistant Secretary, the Region is eligible from the date of DOD’s recommendation for closure, realignment, or growth until five years after the actual date of closing of the installation or five years after the announced realignment or growth actually occurs.
 - ii. A defense contractor reduction-in-force refers to a defense contractor(s) experiencing defense contract cancellations or reductions resulting from official DOD announcements that have aggregate value of at least \$10 million per year. Actual dislocations must have occurred within one year of the date an application is submitted to EDA and threatened dislocations must be anticipated to occur within two years of application to EDA. Defense contracts that expire in the normal course of business will not be considered to meet this criterion.
 - iii. A Department of Energy defense-related funding reduction refers to a Department of Energy facility that has experienced or will experience a reduction of employment resulting from its defense mission change. The

⁹ The following criteria are published in accordance with 13 C.F.R. § 301.3(a)(1)(iii) and define what may constitute a "Special Need" (as defined in 13 C.F.R. § 300.3) sufficient to make a project eligible for Public Works or Economic Adjustment investment assistance, as described in section III.B. of this announcement.

Region is eligible from the date of the Department of Energy announcement of reductions until five years after the actual date of reduced operations at the installation.

- e. Natural or other major disasters or emergencies. Unless further extended by the Assistant Secretary, a Region that has received one of the following disaster declarations is eligible and the applicant may apply for EDA assistance for a period of 18 months after the date of declaration:
 - i. A Presidentially Declared Disaster (declared under the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended (42 U.S.C. § 5121 *et seq.*)); or
 - ii. A Federally declared disaster (pursuant to the Magnuson-Stevens Fishery Conservation and Management Act, as amended (16 U.S.C. § 1861a(a)); the Consolidated Farm and Rural Development Act, as amended (7 U.S.C. § 1961); or the Small Business Act, as amended (Pub. L. No. 85-536, 15 U.S.C. § 631 *et seq.*, 72 Stat. 384 (1958)).
- f. Extraordinary depletion of natural resources or other impact attributable to a new or revised Federal regulation or policy that will have a significant impact on a community's ability to prevent an extraordinary depletion of natural resources. For example, in the case of a Federal fishing regulation designed to promote and sustain a community and its fishery in the long-term, EDA could quickly help a coastal community respond to any short-term economic dislocations.
- g. Communities undergoing transition of their economic base as a result of changing trade patterns, for example, an area certified as eligible by the North American Development Bank (NADBank) Program or the Community Adjustment and Investment Program (CAIP).
- h. Other Special Need. The area is experiencing other special or extraordinary economic adjustment needs, as determined by the Assistant Secretary.

C. Cost Sharing or Matching

Generally, the amount of an EDA award may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that may not exceed up to 30 percent of the total project cost, based on the relative needs of the Region in which the project will be located, as determined by EDA.

In general, EDA's maximum investment rate (percent of the total project cost) is determined by the average per capita income or unemployment rate of the region in which the project is located, as outlined in Table 1 below:¹⁰

¹⁰ See section 204(a) of PWEDA (42 U.S.C. § 3144) and 13 C.F.R. § 301.4(b)(1).

TABLE 1

Projects located in regions in which:	Maximum allowable investment rates (percentage of total project cost)
(A) The 24-month unemployment rate is at least 225% of the national average; or	80
(B) The per capita income is not more than 50% of the national average.	80
(C) The 24-month unemployment rate is at least 200% of the national average; or	70
(D) The per capita income is not more than 60% of the national average.	70
(E) The 24-month unemployment rate is at least 175% of the national average; or	60
(F) The per capita income is not more than 65% of the national average.	60
(G) The 24-month unemployment rate is at least 1 percentage point greater than the national average; or	50
(H) The per capita income is not more than 80% of the national average.	50

For projects subject to a Special Need, as outlined in section III.B.2 above, EDA will determine the maximum allowable investment rate, not to exceed 80 percent of the total project cost, based on the actual or threatened overall economic situation of the region in which the project is located.

In addition, the Secretary of Commerce has delegated to the Assistant Secretary the discretion to establish a maximum EDA investment rate of up to 100 percent of the total project cost to a: (i) State (or political subdivision of a State) that the Assistant Secretary determines has exhausted its effective taxing and borrowing capacity, or (ii) a non-profit organization that the Assistant Secretary determines has exhausted its effective borrowing capacity.¹¹ Additionally, EDA’s regulations provide discretion to establish a maximum EDA investment rate of up to 100 percent for projects of Indian Tribes.¹²

Potential applicants should contact the appropriate EDA regional office identified in section VIII. of this FFO to obtain additional information regarding these determinations.

¹¹ See sections 204(c)(1) and (2) of PWEDA (42 U.S.C. § 3144) and 13 C.F.R. § 301.4(b)(5).

¹² See section 13 C.F.R. § 301.4(b)(1).

In the application review process, EDA will consider the nature of the contribution (cash or in-kind) and the amount of the matching share funds. In-kind contributions may provide the required non-Federal share of the total project cost and can consist of contributions directly related to the proposed project, such as space, equipment, services, or forgiveness or assumptions of debt.¹³ Funds from other Federal financial assistance awards are considered matching share funds only if authorized by statute, which may be determined by EDA's reasonable interpretation of the statute.¹⁴

EDA will fairly evaluate all in-kind contributions, which must be eligible project costs and meet applicable Federal cost principles and uniform administrative requirements. The applicant must show that the matching share will: (i) be committed to the project for the project period, (ii) be available as needed, and (iii) not be conditioned or encumbered in any way that may preclude its use consistent with the requirements of EDA investment assistance.¹⁵

Applicants are *strongly* encouraged to work with the appropriate EDA representative listed in section VIII. of this FFO to determine how in-kind contributions can be utilized to satisfy the matching share requirement for their respective project concept and application.

IV. Application and Submission Information

A. How to Obtain an Application Package

An applicant may obtain the appropriate application package electronically at www.grants.gov (Grants.gov). Applicants may search for this funding opportunity on Grants.gov using Funding Opportunity Number "EDAP2013". All components of the appropriate application package may be accessed and downloaded (in a screen-fillable format) at http://www.grants.gov/applicants/apply_for_grants.jsp. The preferred electronic file format for attachments is Adobe portable document format (PDF); however, EDA will accept electronic files in Microsoft Word, WordPerfect, or Microsoft Excel. *Applicants are advised that they must complete the registration process prior to submitting an application through Grants.gov; however, please note that registration is not required for an applicant to access, view, or download the application package.* Even though an applicant may be able to view and download an application, if the applicant has not correctly completed the Grants.gov registration process, the applicant will not be able to submit the application for EDA's review. Alternatively, an applicant eligible for assistance under this announcement may request a paper application package by contacting the applicable EDA regional office listed under section VIII. of this FFO.

B. Content and Form of Application Submission

1. What is required for a complete application?

In order to be considered for funding, applicants must submit a complete application package that includes all required documents outlined in section a. below, as well as all required documents in either section b. or section c. below, depending on the type of project the applicant is proposing (construction or non-construction), by the applicable funding cycle deadline.

¹³ See section 204(b) of PWEDA (42 U.S.C. § 3144).

¹⁴ See the definition of "Local Share or Matching Share" at 13 C.F.R. § 300.3.

¹⁵ See 13 C.F.R. § 301.5.

Applicants proposing both construction and non-construction elements should follow instructions for submitting a construction application. All documentation and data submitted as part of the application package should be current and applicable.

For applications submitted through Grants.gov, all application forms must be signed electronically by an Authorized Organizational Representative (AOR); please see section IX. of this FFO for information on AOR requirements. Paper applications must include original signatures of an authorized official of the applicant. Please refer to important information on submitting your application provided in section IV.D. of this FFO.

- a. **Applications for all types of EDA assistance** must include the following documents:
 - ii. One **Form ED-900** (Application for Investment Assistance) and accompanying supporting documentation. One complete form per project is required. Please read the information in section IV.B.2 below carefully for important instructions on required topics that must be included in Form ED-900 and the related Project Narrative.
 - iii. One **Form SF-424** (Application for Federal Assistance) from each co-applicant, as applicable.
 - iv. One **Form CD-511** (Certification Regarding Lobbying) from each co-applicant, as applicable.
 - v. One **Form SF-LLL** (Disclosure of Lobbying Activities) from each co-applicant, as applicable.
 - vi. **Documentation confirming non-EDA (matching or cost share) funding**, for example letters of commitment and other documentation, as necessary. For example, if bonds are contemplated as match, counsel opinion of the applicant's bonding authority and eligibility of the bonds for use as match, along with full disclosure of the type of bonds and the schedule of the applicant's intended bond issue, are required. Please contact the applicable EDA representative listed in section VIII. of this FFO with questions regarding this requirement. See also section A.9. of Form ED-900.
 - vii. **If the applicant or co-applicant is a non-profit**, a copy of the (1) Certificate of good standing from the State in which the non-profit organization is incorporated; (2) non-profit organization's current Articles of Incorporation or other formation documents, as applicable; (3) By-Laws; and (4) resolution (or letter) from a general purpose subdivision of State government acknowledging that the non-profit organization is acting in cooperation with officials of that political subdivision (*see* 13 C.F.R. § 301.2).
 - viii. **Comments from the State Clearinghouse to comply with Executive Order 12372, "Intergovernmental Review of Federal Programs," if applicable.** If the comment period has not expired or comments were not

received, a copy of the applicant's request for comments is sufficient; however, in that instance, the final determination will be required prior to award. Detailed information on the State Clearinghouse process can be accessed at http://www.whitehouse.gov/omb/grants_spoc.

- b. **Applications for EDA construction assistance (including design and engineering assistance)** must include the following documentation in addition to the documents outlined in section a. above:
- i. One **Form SF-424C** (Budget Information-Construction Programs) per project.
 - ii. One **Form SF-424D** (Assurances-Construction Programs) from each co-applicant, as applicable.
 - iii. **Maps of the project site** (U.S. Geological Survey (USGS) map(s) and Federal Emergency Management Agency (FEMA) floodplain map(s)) with project components and beneficiaries noted (see section A.2. of Form ED-900), as applicable.
 - iv. **Letters of commitment and assurances of compliance** (Exhibit A to Form ED-900) from beneficiaries of the proposed project identified in section B.5. of Form ED-900.
 - v. **Comments from the metropolitan area review/clearinghouse agency**, if applicable. If the comment period has not expired or comments were not received, a copy of the applicant's request for comments is sufficient (see section M.1. of Form ED-900); however, in that instance, the final determination will be required prior to award.
 - vi. **A preliminary engineering report**, the requirements for which are listed in section M.3. of Form ED-900; special formatting of the report is not required. For additional guidance on preparing a preliminary engineering report, please contact the applicable EDA representative listed in section VIII of this FFO.
 - vii. **An environmental narrative** that will enable EDA to comply with its National Environmental Policy Act (NEPA) responsibilities. An environmental narrative outline that details required components may be accessed on EDA's website at http://www.eda.gov/files/012_Environmental_Narrative_Template.zip. Please note that the environmental narrative required for a complete application does not need to include all applicable approvals at the time of submission. Applicants must include Appendix A (Applicant's Certification Clause) to the environmental narrative signed by each co-applicant, as applicable.
 - viii. **Copies of any existing correspondence with or sign-offs/approvals from other agencies** with respect to the project, such as the U.S. Army

Corps of Engineers, the U.S. Fish and Wildlife Service, or the State or Tribal Historic Preservation Officer, if applicable. Please note that an applicant will not be required to submit all required sign-offs/approvals by a funding cycle deadline for an application to be considered complete. If the application does not include sign-offs/approvals from appropriate agencies and EDA subsequently determines that these are required, the applicant will be required to obtain them before EDA will approve an award. For additional information about this requirement, please contact the applicable Regional Environmental Protection Specialist listed in section VIII. of this FFO.

- ix. **Copies of any other environmental studies** that have already been completed for the project site, if available.
- c. **Applications for EDA non-construction assistance** must also include the following documentation in addition to the documents outlined in section a. above:
 - i. One **Form SF-424A** (Budget Information-Non-Construction Programs). One form per project is required.
 - ii. One **Form SF-424 B** (Assurances-Non-Construction Programs) from each co-applicant, as applicable.
 - iii. If the project is to support the capitalization of a Revolving Loan Fund (RLF) or the re-capitalization of an existing RLF, the application must include an RLF Plan for the RLF's financial management. See EDA's regulation at 13 C.F.R. § 307.9 and section L of Form ED-900.

2. **Content of Form ED-900 and Instructions for Submitting a Complete Application**

This section provides detailed instructions on how to complete the Form ED-900, which is divided into lettered sections that correspond to the regulatory and application requirements for various EDA programs. Applicants must select the appropriate program on the first page of section A of the form; applicants are strongly cautioned to carefully select the appropriate box as the form will make different sections and exhibits available depending on whether the applicant indicates that they are applying for the Public Works or EAA program. Once the selection is made, the correct sections and exhibits required for a complete application under that program will automatically populate in the form.

Because of EDA's funding cycle application process, some instructions contained in the Form ED-900 are superseded by instructions set forth in this FFO. Applicants must submit all information required by Form ED-900 to EDA by the funding cycle deadline in order to be considered for funding in that cycle, including documentation that Form ED-900 advises may be submitted at a later date if EDA selects the project for further consideration. An application that does not have all of the required Form ED-900 sections complete and supplemental documentation included may be considered incomplete. However, EDA, in its sole discretion,

may accept an application that is missing non-substantive information that can easily be rectified or cured.

The following table details the sections and exhibits in Form ED-900 that the applicant must complete, as well as the required supporting documentation:

EDA Program	Required Form ED-900 Sections
Public Works	Complete Sections A, B, and M and Exhibits A, D, and E.
Economic Adjustment Assistance	Complete Sections A, B, and K and Exhibit C. Also complete Sections M and Exhibits A, D, and E if the application has construction components and Section N if the application has only design/engineering requirements. Complete Section E if the application has no construction components.
For Design and Engineering Assistance under the Public Works or Economic Adjustment Assistance programs	Complete Sections A, B, M, and N and Exhibit C.
Revolving Loan Fund Assistance under the Economic Adjustment Assistance program	Complete Sections A, B, E, K, and L and Exhibit C.

Applicants submitting proposals for construction investments should note that the expected outcomes--notably the anticipated job creation and private investment leveraging potential of an investment--provided in Section B of the Form ED-900 must be reasonable, justifiable, and based on the most current and accurate information available. Estimates must reflect the expected impact from the EDA portion of the investment, using a nine-year time horizon. If EDA determines during the review process that job and private investment estimates are inflated or unsubstantiated, those estimates will not be considered, and may impact EDA's investment decision.¹⁶

In general, EDA does not reimburse pre-award project costs. Applicants that are in need of such reimbursement should work closely with EDA regional office staff to determine if their pre-award costs may be considered for reimbursement. In order for contracted pre-award costs to be eligible for reimbursement, the applicant must competitively procure services pursuant to the Federal government's procurement procedures.¹⁷ All pre-award costs are incurred at an applicant's own risk and will be considered for reimbursement, in EDA's sole discretion, only if

¹⁶ EDA funding may not be used by the recipient to assist its efforts to induce the relocation of existing jobs within the U.S. that are located outside of its jurisdiction to within its jurisdiction in competition with other U.S. jurisdictions for those same jobs. EDA's non-relocation policy is discussed under section VI.E. of this FFO.

¹⁷ Procurement requirements for institutions of higher education and non-profits are set out at 15 C.F.R. §§ 14.40-14.48 and requirements for State and local governments are set out at 15 C.F.R. § 24.36.

an applicant receives an award. Neither EDA nor DOC will be held responsible for application preparation expenditures, which are distinguishable from pre-award project costs.

3. Requirements for the Project Narrative

As outlined in section IV.B.1 of this FFO, all applicants must complete Form ED-900 as part of the application package, in order to be considered for funding. Section A of Form ED-900 provides structured questions designed to assess the need and impact of a proposed project. While Form ED-900 itself provides space for responses, the applicant may substitute an expanded narrative in a separate attachment that references the questions in Form ED-900, if needed to ensure that its application includes a clear, compelling justification for the project.

Applicants are strongly encouraged to provide a high-quality narrative that compellingly articulates a clearly defined regional economic gap, how the proposed project will meet this need, and the expected outcome(s) that will result from the proposed project. This should be addressed in a concise manner; a voluminous application will not receive greater consideration.

In all cases, the application must include a project narrative whether in the Form ED-900 or the supplemental attachments that includes the following:

- a. **Description of Project Region and Project Location:** Applicants must clearly describe the Region where the project will be located, including the specific geographic location of the project within the Region, as well as background on the assets of the area, which may include clusters, and workforce, physical, educational and financial infrastructure.
- b. **Description of Regional Eligibility:** Applicants must identify which distress criterion or criteria the project qualifies under, provide third-party data that clearly documents this claim, and clearly specify the geography and time period for which the data corresponds. For construction projects (including design and engineering) the project must be located within an eligible region. For non-construction projects, the investment's scope of work must primarily benefit the eligible Region and stakeholders representing that Region must be directly engaged.
- c. **Clear description of proposed project:** Applicants must clearly document the concrete activities that will be undertaken, and the specific deliverables that will be produced as a result of this investment. The description of the proposed project must include a clear statement of the overall purpose of the project, and key milestones and an associated schedule for when the project could start, when key milestones could be achieved, and when the project is anticipated to be completed.
- d. **Documentation detailing how project will meet regional needs:** Applicants must clearly detail how the proposed project will support the economic development objectives outlined in the Comprehensive Economic Development Strategy (CEDS) or alternate EDA-approved strategic planning document capable of meeting EDA's CEDS or strategy requirements. If EDA does not already have the applicable plan, the applicant may be required to provide it. Additional information and a summary of EDA's CEDS and

strategy requirements may be obtained through the applicable EDA representative listed in section VIII of this FFO.

- e. **Documentation on Alignment with EDA Investment Priorities:** Applicants must document how the proposed project aligns with one or more of EDA's investment priorities. Applicants that propose projects that do not align with EDA's investment priorities will not be as competitive as those that do. Applicants are strongly encouraged to review EDA's investment priorities, as outlined in section I.B of this FFO.
- f. **Documentation supporting the budget request:** Applicants must provide a clear budget justification that clearly identifies how funds in each line item of the budget will be utilized to support the proposed project. The non-Federal share, whether in cash or in-kind, is expected to be paid out at the same general rate as the Federal share, however if the applicant's budget proposes otherwise, applicants must also include information that clearly indicates what project elements the matching share funds will support. Finally, the applicant must submit supporting documentation to indicate these funds are free and available and committed to support the proposed project, as required.
- g. **Documentation on anticipated project impacts:** Applicants must provide a clear and compelling justification of the long-term potential economic impact of the proposed project, through anticipated job creation or retention, private investment leveraging, number of businesses or collaborations supported, or other appropriate measures. All job and private investment leverage estimates should reflect the anticipated impact nine-years after the potential EDA investments. For all other measures, applicants should clearly identify the applicable time-frame. In all cases, applicants must document the benefit and provide third-party data or information available to support these claims.

C. **Deadlines for submission**

EDA will review applications in accordance with its quarterly funding cycles. Complete applications must be received by the deadlines set out below in order to be considered in a particular funding cycle.¹⁸

- December 13, 2012 for funding cycle 2 of FY 2013;
- March 13, 2013 for funding cycle 3 of FY 2013;
- June 13, 2013 for funding cycle 4 of FY 2013; and
- September 13, 2013 for funding cycle 1 of FY 2014.

EDA strongly encourages applicants to start early and not to wait until an approaching funding cycle deadline to review the instructions for submitting an application. To be considered during a particular funding cycle, complete applications must be validated and time-stamped by Grants.gov by 11:59 p.m. Eastern Time on the applicable funding cycle deadline. Alternatively, paper applications may be delivered to the applicable regional office listed in section VIII. of this FFO. Paper applications must be received no later than 5:00 p.m. local time in the applicable regional office on the funding cycle deadline and the applicant must use a delivery confirmation

¹⁸ Applicants may submit applications for EDA's preliminary review at any time. See section IV.E. of this FFO for information on pre-application consultations.

service from their selected carrier. If your application is received after the deadline, it will be considered late and will not be reviewed by EDA for that funding cycle. Please see section IV.D.2. of this FFO for more information on how to submit a paper application.

In instances of urgent economic distress, EDA reserves the flexibility to make awards outside of the quarterly funding cycles described in section II.A. of this FFO. Any such awards will be processed in accord with the evaluation criteria set forth in section V. of this FFO. EDA publishes separate FFO announcements covering EDA's Planning, Partnership Planning, Local Technical Assistance, University Center, Research and National Technical Assistance programs, and any supplemental appropriations (including disaster-related appropriations) that EDA may receive that will detail the specific requirements for each program. These separate FFO announcements will contain application deadlines that differ from the funding cycle deadlines listed above. Please contact the applicable EDA representative listed in section VIII. of this FFO for additional information on all of EDA's funding opportunities.

D. How to submit an application

1. Electronic Submissions

EDA strongly encourages electronic submissions of applications through Grants.gov. EDA will not accept facsimile or email transmissions of applications. Applications must be successfully validated and time-stamped by Grants.gov no later than 11:59 p.m. Eastern Time on the applicable funding cycle deadline listed in section IV.C. of this FFO. An application that is not validated and time-stamped by Grants.gov by a funding cycle deadline will not be processed during that funding cycle; however, EDA staff will provide feedback to the applicant and may advise the applicant to resubmit or provide additional documentation for consideration during the following funding cycle.

Once an application is submitted, it undergoes a validation process through Grants.gov during which the application may be accepted or rejected by the system. Please be advised that the validation process may take 24 to 48 hours to complete. Applications that contain errors will be rejected by Grants.gov, and will not be forwarded to EDA for review. The applicant must correct the error before Grants.gov will accept and validate the application. EDA will not accept late applications that were rejected by Grants.gov due to applicant errors. Accordingly, **EDA strongly suggests that applicants submit their applications at least five days before the funding cycle deadline** to allow the application to be accepted and validated in the system and to allow time for any errors to be corrected. EDA will consider the time-stamp on the validation from Grants.gov as the official submission time.

Please see section IX of this FFO for more detailed instructions and information on the requirements for submitting electronically via Grants.gov.

2. Paper Submissions

An applicant has the option of submitting a completed paper application via postal mail or courier service, using the selected courier's delivery confirmation service, to the applicable regional office listed in section VIII. of this FFO. The applicant may download the appropriate application package, whether construction or non-construction as provided in section IV.B. of

this FFO, in a screen-fillable format from http://www.grants.gov/applicants/apply_for_grants.jsp, save it electronically, and print it for paper submission.

One original and two copies of the complete application must be mailed with delivery confirmation that indicates the application has been received at or before 5:00 p.m. local time in the applicable regional office on the funding cycle deadline. If your application is received after the deadline, it will be considered late and will not be reviewed by EDA for that funding cycle. DOC mail security measures may delay receipt of United States Postal Service mail for up to two weeks. Therefore, applicants that submit paper submissions are advised to use guaranteed overnight delivery services.

E. Optional Pre-application Consultation or Review with EDA

1. Pre-application Consultation

EDA offers eligible applicants the option of consulting with their Economic Development Representative (EDR) or cognizant EDA regional office staff member to discuss whether their project is in alignment with EDA's investment priorities, eligibility requirements, cost-sharing requirements, or other requirements outlined in this FFO.

2. Pre-application Review

Applicants may submit a copy of their application and receive feedback and allowable technical assistance on their application before a funding cycle submission deadline.¹⁹ Applicants who submit complete applications for review will be told if their application is complete, eligible, and whether or not EDA would forward the application in its current state to the Investment Review Committee (IRC). Based on this feedback, the applicant may revise or supplement the application or submit a substantially revised application by the funding cycle deadline or in time for consideration in a subsequent funding cycle. EDA will apply the same evaluation criteria for pre-application consultations as used for reviewing complete applications received after the funding cycle deadline.

Pre-application review and technical assistance is designed to provide feedback on an application, and is not a process to provide assistance in the development of an application.

Applicants are strongly encouraged to seek feedback on their project and application package from EDA at least 30 days before the funding cycle deadline to allow adequate time to incorporate feedback received into their application before final submission. The consultation, review, and feedback described in this subsection are optional. Applicants need not seek preliminary feedback on their application in order to submit an application for consideration. Please see section VIII. of this FFO for contact information for EDA representatives.

V. Application Review and Selection Process

Throughout the review and selection process, EDA reserves the right to seek clarification in writing from applicants whose applications are being reviewed and considered. EDA may ask

¹⁹ Ineligible applicants will be informed that they are ineligible for EDA funding. Please see section III.A. of this FFO for eligibility requirements.

applicants to clarify application materials, objectives, and work plans, or modify budgets or other specifics necessary to comply with Federal requirements.

A. Application review and project analysis

EDA's regional office staff will review all applications received to ensure that they are complete and eligible to receive funding. Applicants that are ineligible for EDA funding will be informed that they are ineligible.

1. Application Review

EDA staff will conduct an eligibility and technical completeness review of all applications. Applications that do not contain all forms and required documentation listed in section IV.B. of this FFO may be deemed non-responsive and excluded from further consideration. EDA expects all applicants to complete and include all required forms and documentation. However, EDA, in its sole discretion, may determine that an omission is a non-substantive technical deficiency if it can easily and quickly be rectified or cured, and therefore, may continue its consideration of the application in that funding cycle despite the deficiency.

2. Initial Project Analysis and Evaluation Criteria

For the Initial Project Analysis, EDA will evaluate applications determined to be eligible and complete from the Application Review based on their ability to satisfy the following core evaluation criteria. The evaluation criteria are reviewed on a scale of "Excellent," "Very Good," "Satisfactory," "Poor," and "Unsatisfactory."

- a. The project's demonstrated alignment with at least one of EDA's current investment priorities as outlined in section I.B. of this FFO.
- b. The project's demonstrated ability to foster job creation and promote private investment in the regional economy;
- c. The project's feasibility;
- d. The project's sustainability/durability;
- e. The applicant's organizational capacity; and
- f. The project's alignment with the regional CEDS or other relevant strategic plan.

Based on this analysis, EDA staff will forward applications to the IRC for further consideration. Applications that are categorized as non-competitive will not receive further review. Please note that an application forwarded to the IRC is not guaranteed to receive funding--EDA receives far more applications than it can fund.

B. Investment Review Committee (IRC) and Selection Factors

Each regional office will convene an IRC that consists of at least four Federal employees. Each IRC member reviews all applications before the group discussion and evaluation of each application that was forwarded from the Initial Project Analysis. The IRC makes a group determination for the evaluation of each application based on whether the application meets the program-specific award and application requirements provided in 13 C.F.R. § 305.2 for Public Works investments and 13 C.F.R. §§ 307.2 and 307.4 for EAA investments. The IRC also will apply the following Selection Factors, listed in descending order of importance:

1. Comparative strengths of the application as determined by information assessed from the Application Review and Initial Project Analysis (as described in section A. above);
2. The extent to which the application meets the overall objectives of section 2 of PWEDA (42 U.S.C. § 3121);
3. The comparative ability of the proposed project to realistically achieve the desired results and catalyze additional resources;
4. The ability of a project to start quickly and create jobs faster;
5. The extent to which the project will enable the community/region to become more diversified and more economically prosperous;
6. The relative economic distress of the region;
7. The comparative financial or management capability of the applicant;
8. The applicant's performance under previous Federal financial assistance awards, including whether the grantee submitted required performance reports and data;
9. The comparative feasibility of the applicant to achieve the outcomes identified in the application;
10. The availability of program funding; and
11. The extent to which the project supports EDA's goals of geographic balance in distribution of program funds, project types, organizational type (to include smaller and rural organizations) and the overall portfolio.

The IRC will recommend to the Regional Director those applications that merit consideration for funding in priority order.

C. Grants Officer's decision

Each region's IRC makes its recommendations to the respective Regional Director, who is the Grants Officer under this announcement. The Regional Director makes the final decision on whether to fund an application and may select a project based on any of the Selection Factors described above. The Regional Director's final decision must be consistent with EDA's and DOC's published policies. Anytime the Regional Director makes a selection that differs from the IRC's recommendation, the Regional Director will document the rationale for the decision in writing.

VI. Award Administration Information

A. Award notification

Under this FFO, EDA expects to notify applicants of its decision in writing within 25 business days of a funding cycle deadline. Applicants are advised that though this notification may express the applicant's success in the competitive portion of the evaluation process, it will not obligate EDA to make an award to the applicant. Should an applicant be successful in the funding cycle competition, the applicant will be required to complete certain due diligence requirements and pass a set of technical reviews by EDA staff to ensure compliance with all applicable rules and regulations, including:

1. Title verification;
2. Proof of project ownership; and
3. Documentation required for environmental or legal compliance.

If an application is selected for funding and the applicant successfully and timely completes all due diligence requirements, the expectation is that the EDA Grants Officer will issue the grant award (Form CD-450), which is the authorizing financial assistance award document. By signing Form CD-450, the recipient agrees to comply with all award provisions. EDA will provide Form CD-450 by mail or two-day delivery to the appropriate business office of the recipient's organization. The recipient must sign and return the Form CD-450 without modification within 30 days of the date of EDA's signature on the form.

If an applicant is awarded funding, neither DOC nor EDA is under any obligation to provide any additional future funding in connection with that award or to make any future award(s). Amendment or renewal of an award to increase funding or to extend the period of performance is at the discretion of DOC and EDA.

EDA will notify unsuccessful applicants in writing. The regional office will retain unsuccessful applications in accordance with EDA's record retention schedule. EDA, in its sole discretion, may advise a limited number of applicants that they have the option to carry over their applications for consideration in the next funding cycle.

B. Administrative and national policy requirements

Administrative and national policy requirements for all DOC awards apply to this competition. These requirements may be found in the Department of Commerce Pre-Award Notification Requirements for Grants and Cooperative Agreements, published in the *Federal Register* on February 11, 2008 (73 FR 7696). This notice may be accessed at the Government Printing Office (GPO) website at <http://www.gpo.gov/fdsys/browse/collection.action?collectionCode=FR>. You may locate the notice by clicking on the radial buttons until you reach the *Federal Register* published on February 11, 2008 and then clicking on "Commerce Department."

C. Reporting requirements

1. All recipients are required to submit financial, performance, and impact reports in accordance with the terms and conditions of the grant award, generally no less than semi-annually. All project progress and financial reports must be submitted to the applicable EDA program officer in electronic format.
2. The Federal Funding Accountability and Transparency Act of 2006 includes a requirement for awardees of applicable Federal grants to report information about first-tier subawards and executive compensation under Federal assistance awards issued in FY 2011 or later. All awardees of applicable grants and cooperative agreements are required to report to the Federal Subaward Reporting System (FSRS) available at www.FSRS.gov on all sub-awards over \$25,000. Please see the OMB guidance published at 2 C.F.R. part 170 (2010), which can be accessed at http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&tpl=/ecfrbrowse/Title02/2cfr170_main_02.tpl.
3. EDA may require additional data on actual impact of the funded investment, pursuant to the Government Performance and Results Act (GPRA), to be submitted to EDA up to nine years after the grant award.

D. Regulations, administrative requirements, and cost principles

Specific regulations, administrative requirements, and cost principles govern the use of EDA funds. The general and administrative requirements for EDA awards are set forth in 13 C.F.R. parts 300–302. Specific application and award requirements for the Public Works program are set out at 13 C.F.R. part 305 and for the EAA program in subpart A of 13 C.F.R. part 307. EDA funds may not be used directly or indirectly to reimburse any attorneys’ or consultants’ fees incurred in connection with obtaining investment assistance pursuant to this competitive solicitation. *See* 13 C.F.R. § 302.10.

The uniform administrative requirements for DOC grants and cooperative agreements are codified at 15 C.F.R. parts 14 and 24, as applicable. Allowable costs under an EDA investment are determined in accordance with the following regulations (incorporated by reference at 15 C.F.R. parts 14 and 24): (i) 2 C.F.R. part 220, *Cost Principles for Educational Institutions (OMB Circular A-21)*; (ii) 2 C.F.R. part 225, *Cost Principles for State, Local and Indian Tribal Governments (OMB Circular A-87)*; (iii) 2 C.F.R. part 230, *Cost Principles for Nonprofit Organizations (OMB Circular A-122)*; and (iv) Federal Acquisition Regulation Subpart 31.2, *Contracts with Commercial Organizations*, codified at 48 C.F.R. § 31.2. Applicable administrative requirements and Federal cost principles are incorporated by reference into the terms and conditions of each EDA award. Generally, costs that are allowable include salaries, supplies, and other expenses that are reasonable and necessary for the completion of the scope of work.

E. EDA’s Non-relocation Policy

Applicants are advised that should an application be selected for award, the recipient will be required to adhere to a special award condition relating to EDA’s nonrelocation policy as follows:

In signing this award of financial assistance, Recipient(s) attests that EDA funding is not intended by the Recipient to assist its efforts to induce the relocation of existing jobs within the U.S. that are located outside of its jurisdiction to within its jurisdiction in competition with other U.S. jurisdictions for those same jobs. In the event that EDA determines that its assistance was used for those purposes, EDA retains the right to pursue appropriate enforcement action in accord with the Standard Terms and Conditions of the Award, including suspension of disbursements and termination of the award for convenience or cause, which may include the establishment of a debt requiring the Recipient to reimburse EDA.

For purposes of ensuring that EDA assistance will not be used to merely transfer jobs from one location in the United States to another, each applicant must inform EDA of all employers that constitute primary beneficiaries of the project assisted by EDA. EDA will consider an employer to be a “primary beneficiary” if the applicant estimates that such employer will create or save 100 or more permanent jobs as a result of the investment assistance, provided that such employer also is specifically named in the application as benefiting from the project, or is or will be located in an EDA-assisted building, port, facility, or industrial, commercial, or business park constructed or improved in whole or in part with investment assistance prior to EDA’s final disbursement of funds. In smaller communities, EDA may extend this policy to the relocation of 50 or more jobs.

F. OMB Circular A-133 audit requirements

Single or program-specific audits shall be performed in accordance with the requirements contained in OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, and the related *Compliance Supplement*. OMB Circular A-133 requires any non-Federal entity (i.e., non-profit organizations, including non-profit institutions of higher education and hospitals, states, local governments, and Indian Tribes) that expends federal awards of \$500,000 or more in the recipient's fiscal year to conduct a single or program-specific audit in accordance with the requirements set out in the Circular. Applicants are reminded that EDA or the Department of Commerce's Office of Inspector General also may conduct an audit of an award at any time.

VII. Other Information

A. Freedom of Information Act Disclosure

The Freedom of Information Act (5 U.S.C. 552) (FOIA) and DOC's implementing regulations at 15 C.F.R. part 4 set forth the rules and procedures to make requested material, information, and records publicly available. Unless prohibited by law and to the extent permitted under FOIA, contents of applications submitted by applicants may be released in response to FOIA requests. In the event that an application contains information or data that the applicant deems to be confidential commercial information, that information should be identified, bracketed, and marked as "Privileged, Confidential, Commercial or Financial Information." Based on these markings, the confidentiality of the contents of those pages will be protected to the extent permitted by law.

B. Past Performance and Non-Compliance with Award Provisions

Unsatisfactory performance under prior Federal awards may result in an application not being considered for funding. Failure to comply with any or all of the provisions of an award may have a negative impact on future funding by the DOC (or any of its operating units) may be considered grounds for any or all of the following actions: (1) establishing an account receivable; (2) withholding payments to the recipient under any DOC award(s); (3) changing the method of payment from advance to reimbursement only; (4) imposing other special award conditions; (5) suspending any active DOC award(s); and (6) terminating any active DOC award(s).

C. Dun and Bradstreet Data Universal Numbering System, and Central Contractor Registration

To enable the use of a universal identifier and to enhance the quality of information available to the public as required by the Federal Funding Accountability and Transparency Act of 2006, to the extent applicable, any proposal awarded in response to this announcement will be required to use the Central Contractor Registration (CCR), now a part of the System for Award Management (SAM) and is located at www.sam.gov, and Dun and Bradstreet Universal Numbering System (DUNS), and will be subject to reporting requirements, as identified in OMB guidance published at 2 C.F.R. parts 25 and 170 (2010). The guidance set out at 2 C.F.R. part 25 may be located at http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&tpl=/ecfrbrowse/Title02/2cfr25_main_02.tpl, and the guidance set out at 2 C.F.R.

part 170 may be located at http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&tpl=/ecfrbrowse/Title02/2cfr170_main_02.tpl.

D. Restrictions Governing Making Grants to Corporations Convicted of Felony Criminal Violations and/or Unpaid Federal Tax Liabilities

Pursuant to sections 543 and 544 of Public Law 112-55, Commerce, Justice, Science and Related Agencies Appropriations Act, 2012, execution by an applicant of the Representation by Corporations Regarding a Unpaid Delinquent Tax Liability or a Felony Conviction Under Any Federal Law (see Appendix A) will be required in a format requested by EDA before any award will be made under this FFO.

E. Environmental and historic preservation requirements

All applicants for EDA construction assistance (including design and engineering assistance) are required to provide adequate environmental information. Each application will be reviewed by EDA for compliance with the National Environmental Policy Act of 1969, as amended (NEPA). During the NEPA review process, applicants may be instructed to contact the designated State and/or Tribal Historic Preservation Officer (SHPO/THPO), provide approvals from other governmental agencies, or provide more detailed environmental information. EDA, after compliance with requirements for consultation with Federally recognized Indian Tribes, may require applicants to participate in Tribal consultation, as necessary. The implementing regulations of NEPA require EDA to provide public notice of the availability of project-specific environmental documents, such as environmental impact statements, environmental assessments, findings of no significant impact, and records of decision, to the affected public.²⁰ For further guidance and information, please contact the applicable Regional Environmental Protection Specialist listed in section VIII. of this FFO.

F. Intergovernmental review

Applications submitted under this FFO are subject to the requirements of Executive Order (EO) 12372, “Intergovernmental Review of Federal Programs,” if a State has adopted a process under EO 12372 to review and coordinate proposed Federal financial assistance and direct Federal development (commonly referred to as the “single point of contact review process”). All applicants must give State and local governments a reasonable opportunity to review and comment on the proposed Project, including review and comment from area-wide planning organizations in metropolitan areas.²¹ To find out more about a State’s process under EO 12372, applicants may contact their State’s Single Point of Contact (SPOC). Names and addresses of some States’ SPOCs are listed on the Office of Management and Budget’s home page at http://www.whitehouse.gov/omb/grants_spoc. Section A.11. of Form ED-900 provides more information and allows applicants to demonstrate compliance with EO 12372.

G. Implementing the Americans with Disabilities Act (ADA)

The U.S. Department of Justice has issued revised regulations implementing Title II of the ADA (28 C.F.R. part 35; 75 FR 56164, as amended by 76 FR 13285) and Title III of the ADA (28 C.F.R. part 36; 75 FR 56164, as amended by 76 FR 13286). The revised regulations adopted new enforceable accessibility standards called the “2010 ADA Standards for Accessible Design” (2010 Standards). The 2010 Standards are an acceptable alternative to the Uniform

²⁰ As specified in 40 C.F.R. § 1506.6(b).

²¹ As provided for in 15 C.F.R. part 13.

Federal Accessibility Standards (UFAS). DOC deems compliance with the 2010 Standards to be an acceptable means of complying with the Section 504 accessibility requirements for new construction and alteration projects under 15 C.F.R. § 8b.18(c), as follows:

1. Public Recipients subject to Title II of the ADA may use either the 2010 Standards or UFAS where the physical construction or alternations commence on or after September 15, 2010 and before March 15, 2012 (see 28 C.F.R. § 35.151(c)(2)); and
2. Private Recipients subject to Title III of the ADA may use either the 2010 Standards or UFAS if the date when the last application for a building permit or permit extension is certified to be complete by a State, county, or local government (or, in those jurisdictions where the government does not certify completion of applications, if the date when the last application for a building permit or permit extension is received by the State, county, or local government) is on or after September 15, 2010 and before March 15, 2012, or if no permit is required, if the start of physical construction or alterations occurs on or after September 15, 2010 and before March 15, 2012 (see 28 C.F.R. § 36.406(a)(2)).

As of March 15, 2012, all new construction and alteration projects must comply with the 2010 Standards. In all cases, once a recipient selects an applicable ADA accessibility standard (i.e., the 2010 Standards or UFAS), that standard must be applied to the entire facility.

VIII. EDA Contact Information

For questions concerning this solicitation, or more information about EDA programs, you may contact the appropriate EDA representative listed below. Updated contact information can be found on EDA's website at <http://www.eda.gov/contacts.htm>.

Atlanta Regional Office

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(404) 730-3002 Main Office
(404) 730-3025 Fax

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Atlanta Regional Environmental Protection Specialist

Keith Dyche
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Austin Regional Office

Pedro R. Garza, Regional Director
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Minnesota, Wisconsin

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Philadelphia Regional Office

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206-220-7699

California (Central and Southern)

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Seattle Regional Environmental Protection Specialist

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**Oregon, Clark County and
Eastern Washington**

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503-326-3078

IX. Instructions for Application Submission via Grants.gov

Register early and submit early. In order to submit an application through www.grants.gov (Grants.gov), an applicant must register for a Grants.gov user ID and password. Note that this process can take between three to five business days or as long as four weeks if all steps are not completed correctly. To avoid delays, EDA strongly recommends that applicants start early and not wait until an approaching funding cycle deadline date before logging in, registering, reviewing the application instructions, and applying. Information about the Grants.gov registration process for organizations can be found at http://grants.gov/applicants/organization_registration.jsp. Please note that organizations already registered with Grants.gov do not need to re-register; however, all registered organizations must keep their Central Contractor Registration (CCR) database registration up-to-date or their applications will not be accepted by Grants.gov.

AOR requirement. Applicants must register as organizations, not as individuals. As part of the registration process, you will register at least one Authorized Organizational Representative (AOR) for your organization. AORs registered at Grants.gov are the only officials with the authority to submit applications at Grants.gov so please ensure that your organization's application is submitted by an AOR. If the application is submitted by anyone other than your organization's AOR, it will be rejected by the Grants.gov system and cannot be considered by EDA. Note that a given organization may designate multiple individuals as AORs for Grants.gov purposes.

EDA will not accept late submissions caused by Grants.gov registration issues, including CCR and AOR issues.

Once an applicant is registered, the following list provides step-by-step instructions for accessing, completing and submitting an application via Grants.gov. Please also read the instructions posted at Grants.gov.

- a. Ensure that you have installed a compatible version of Adobe Acrobat Reader on your computer, as incompatible versions of Adobe Acrobat Reader may cause errors. See <http://www.grants.gov/applicants/AdobeVersioningTestOnly.jsp>.
- b. Navigate to www.grants.gov and select "Apply for Grants" from the left-hand menu.
- c. Select the link for "Download a Grant Application" package.
- d. Enter Funding Opportunity Number "EDAP2013" and click on "Download Package."
- e. Click on the "download" link under "Instructions and Application" for the instructions and application specific to the type of project and EDA program (Public Works or Economic Adjustment Assistance) under which you are applying.
- f. A new window should pop up. In the new window, click on "Download Application Instructions" to review the instructions posted on Grants.gov and "Download Application Package" when you are ready to begin the application.
- g. Save the applicable application package to your computer or network drive. Note that the package file can be shared among multiple users; however, each user must

have a compatible version of Adobe Acrobat Reader installed in order to save changes to the application package.

- h. Click on each of the documents in the “Mandatory Documents” box and, after selecting each one, click on the arrow to move these into the “Mandatory Documents for Submission” box.
- i. In the “Optional Documents” box, click on Form SF-LLL if non-Federal funds have been or are planned to be used for lobbying in connection with this competitive solicitation and then move this to the “Optional Documents for Submission” box. If you will be submitting your application via Grants.gov, also click on “Attachments” and move this to the “Optional Documents for Submission” box. The Attachments form allows applicants to attach any documents required as attachments under this competitive solicitation, such as a CEDS or letters of support.
- j. The application package should pre-populate with all selected forms embedded. Complete all mandatory fields (highlighted in yellow) on the forms. Note that mandatory fields will vary based on the type of applicant and the type of assistance sought. On Form CD-511, type “not awarded yet” in the “project number” field. Save the application package at regular intervals to avoid losing work.
- k. Attach any required attachments. The preferred file format for attachments is portable document format (PDF); however, EDA will accept electronic files in Microsoft Word, WordPerfect, or Excel formats.
- l. When all mandatory fields have been completed, scroll to the top and click on [Check Package for Errors].
- m. Click [Save].
- n. Click [Save and Submit]. At this point the applicant’s AOR must be connected to the Internet and will be asked to enter their Grants.gov user id and password in order to submit via Grants.gov. As noted above, an AOR must submit the application for it to be validated by Grants.gov and received by EDA.

Field limitations and special characters. Please be advised that Grants.gov provides the following notice with respect to form field limitations and special characters:

Are there restrictions on file names for any attachment I include with my application package?

Please limit file names to 50 characters and do not use special characters (example: &,-,*,%/,#) in attachment names and application form fields (including periods (.), blank spaces and accent marks) or attaching documents with the same name. An underscore (example:my_Attached_File.pdf) may be used to separate a file name. Please note that if these guidelines are not followed, your application may be rejected.

What kind of information can be entered into form fields within my application?

Grants.gov application packages offer fields to enter a set amount of data. When the limit is reached for a certain field, you will no longer be able to enter data into that field. For every form, there are different limitations to the data that you are allowed to enter (this varies between agency and form). Refer to the agency instructions available for download

with the application package for more detail.

Do not use special characters (example: &,-,*,%,/,#) within the application form fields including periods (.), blank spaces and accent marks; an underscore may be used. Please note that if these guidelines are not followed, your application may be rejected.

In EDA's experience, use of apostrophes (') in file names and fillable fields of required forms has resulted in application submission issues. Accordingly, please periodically check the status of your application to make sure it has been validated, and use file naming conventions that do not negatively affect your application submission.

If a response exceeds the field limit requirements of any form, including Form ED-900, the applicant is advised to include the response as an attachment to the application. The applicant should move 'Attachments' to the 'Optional Documents for Submission' box in the application package, and clearly indicate in the form field that the information is included as an electronic file.

Verify submission was successful. Applicants should save and print written proof of an electronic submission made at Grants.gov. Applicants can expect to receive multiple emails regarding the status of their submission. Since email communication can be unreliable, applicants must proactively check on the status of their application if they do not receive email notifications within a day of submission.

An applicant should expect to receive two initial emails from Grants.gov: the first will confirm receipt of the application, and the second will indicate that the application has either been successfully validated by the system before transmission to EDA or has been rejected due to errors. Because it can take up to two business days after Grants.gov receives an application for applicants to receive email notification of an error, applicants should time their submissions to allow for application correction and resubmission by the applicable funding cycle deadline. Applicants will receive a third email once EDA has retrieved their applications.

EDA requests that applicants kindly refrain from submitting multiple copies of the same application package. Applicants should save and print both the confirmation screen provided on the Grants.gov website after the applicant has submitted an application, and the confirmation email sent by Grants.gov when the application has been successfully received and validated in the system. If an applicant receives an email from Grants.gov indicating that the application was received and subsequently validated, but does not receive an email from Grants.gov indicating that EDA has retrieved the application package within 72 hours of that email, the applicant may contact the applicable regional office representative listed in section VIII. of this announcement to inquire if EDA is in receipt of the applicant's submission.

It is the applicant's responsibility to verify that its submission was timely received and validated successfully at Grants.gov. To see the date and time your application was received, log on to Grants.gov and click on the "Track My Application" link from the left-hand menu. For a successful submission, the application must be received and validated by Grants.gov, and an agency tracking number assigned. If the date and time your application is validated and time-stamped by Grants.gov is later than 11:59 p.m. Eastern Time on the applicable funding cycle deadline, your application is late. If your application has a status of "Received" it is

awaiting validation by Grants.gov. Once validation is complete, the status will change to “Validated” or “Rejected with Errors.” If the status is “Rejected with Errors,” your application has not been received successfully. Some of the reasons Grants.gov may reject an application can be found at http://www.grants.gov/applicants/submit_application_faqs.jsp. For more detailed information on why an application may be rejected, please see “Troubleshooting Tips” at http://www.grants.gov/help/trouble_tips.jsp.

Grants.gov systems issues. If you experience a Grants.gov “systems issue” (technical problems or glitches with the Grants.gov website) that you believe threatens your ability to complete a submission before an applicable funding cycle deadline, please (i) print any error message received; and (ii) call the Grants.gov Contact Center at 1-800-518-4726 for immediate assistance. Ensure that you obtain a case number regarding your communications with Grants.gov. Please note: problems with an applicant organization’s computer system or equipment are not considered “systems issues.” Similarly, an applicant’s failure to: (i) complete the required registration, (ii) ensure that a registered AOR submits the application, or (iii) notice receipt of an email message from Grants.gov are not considered systems issues. A Grants.gov “systems issue” is an issue occurring in connection with the operations of Grants.gov itself, such as the temporary loss of service by Grants.gov due to unexpected volume of traffic or failure of information technology systems, both of which are highly unlikely.

Applicants should access the following link for assistance in navigating Grants.gov and for a list of useful resources: <http://www.grants.gov/help/help.jsp>. The following link lists frequently asked questions (FAQs): http://www.grants.gov/applicants/app_help_reso.jsp#faqs. If you do not find an answer to your question under the “Applicant FAQs,” try consulting the “Applicant User Guide” or contacting Grants.gov by email at support@grants.gov or telephone at 1-800-518-4726. The Grants.gov Contact Center is open 24 hours a day, seven days a week.

CALIFORNIA STATE LIBRARY
FY 2013/14
LSTA PITCH AN IDEA GRANT APPLICATION

ELEMENT 1: BASIC INFORMATION

Applicant Information

- | | | | | | | | | | |
|--|--------------------------|-------|-------|-----|-------------|------------|----|-------|--|
| <p>1. Library/Organization
Karuk Community Development Corporation (KCDC)</p> <p>3. Internet Web Site Address
http://www.karuk.us/karuk2/departments/community-development</p> <p>4. Project Coordinator Name & Title
Emma Lee Johnson: Karuk Tribe Workforce Development Coordinator</p> <p>6. Business Phone Number
(530) 493-1485</p> <p>8. Mailing Address
 <table border="0" style="width: 100%;"> <tr> <td style="width: 40%;">PO Box or Street Address</td> <td style="width: 20%;">City</td> <td style="width: 20%;">State</td> <td style="width: 20%;">Zip</td> </tr> <tr> <td>PO Box 1016</td> <td>Happy Camp</td> <td>CA</td> <td>96039</td> </tr> </table> </p> | PO Box or Street Address | City | State | Zip | PO Box 1016 | Happy Camp | CA | 96039 | <p>2. Library's DUNS Number</p> <p>5. Email Address
emmaleejohnson@karuk.us</p> <p>7. Fax Number
(530) 493-1486</p> |
| PO Box or Street Address | City | State | Zip | | | | | | |
| PO Box 1016 | Happy Camp | CA | 96039 | | | | | | |

Project Information

9. **Project Title** Aak Utkirihti (He/She is looking into the fire)
10. **LSTA Funds Requested** \$60,000
11. **Cash Match** \$0
12. **In-Kind** \$0
13. **Total Project Cost** \$60,000
14. **Federal Library Services & Technology Act (LSTA) Purpose** *(Check one purpose which best describes the project)*
- Developing library technology, connectivity and services**
- Providing targeted services to diverse populations or persons who have difficulty accessing services**
- Providing services to promote life-long learning**
- Developing public and private partnerships**
15. **California's LSTA Goals** *(Check all that describe the project)*
- | | |
|---|--|
| <input checked="" type="checkbox"/> Literate California | <input checked="" type="checkbox"/> Bridging the Digital Divide |
| <input checked="" type="checkbox"/> 21st Century Skills | <input checked="" type="checkbox"/> Information Connections |
| <input checked="" type="checkbox"/> 22nd Century Tools | <input checked="" type="checkbox"/> Community Connections |
| <input checked="" type="checkbox"/> Content Creation/Preservation | <input checked="" type="checkbox"/> Ensuring Library Access for All |
16. **Number of persons served** *(The number of persons who use or will benefit directly from this project)* 300
17. **Congressional District (s) number** 1&2
18. **Primary Audience for project** *(Check at least one, maximum of three)*
- | | |
|--|--|
| <input type="checkbox"/> Adults | <input type="checkbox"/> Public library trustees |
| <input checked="" type="checkbox"/> Children | <input checked="" type="checkbox"/> Rural Populations |
| <input type="checkbox"/> Institutionalized persons | <input type="checkbox"/> Senior Citizens |
| <input type="checkbox"/> Library Staff & Volunteers | <input type="checkbox"/> Statewide public |
| <input type="checkbox"/> Non/limited English speaking persons | <input type="checkbox"/> Urban populations |
| <input type="checkbox"/> People with special needs | <input type="checkbox"/> Young adults and teens |
| <input checked="" type="checkbox"/> Pre-school children | |
19. **This signature certifies that I have read and support this LSTA Grant Application.**

Director Name: Bill Keenen

Mailing Address *(if different from above)* PO Box 1148 **City** Happy Camp, **CA** **Zip** 96039

Director Signature: _____ **Date:** _____

ELEMENT 2: PROJECT BACKGROUND AND SUMMARY

Describe how this project was identified as a need, how it relates to your strategic plan, and what will be accomplished if this project is implemented. Should relate to activities in the timeline (Element 4) and include statistical info to support project. Limit to one page and use 12 point font.

The Karuk Tribe is located in the remote and far northwestern region of California. With 3,664 enrolled members, it has the second largest tribal member population in California. The windy two-lane highway that connects the Tribe's centers is commonly blocked during the year by snow, rock slides, and other events. Weaknesses in services and infrastructure, such as access to transportation and technology, add levels of difficulty for many residents to obtain education and employment.

The Karuk Community Development Corporation (KCDC) has Community Computer and Education Centers (Centers) in the three main population centers in the Karuk Tribe's service area, including Orleans (Humboldt County), Happy Camp and Yreka (Siskiyou County). These communities are separated by over 100 miles. The Centers' mission is to increase local community capacity through education, while providing a safe and secure learning environment and access to technology. The Centers partner with Karuk Tribal libraries to provide local residents access to public library services such as assistance in reference, student services, books, and internet. Uncommonly low Standardized Testing and Reporting (STAR) scores at all our local public schools, as well as high rates of truancy and dropout rates are striking evidence of the need to develop interventions in our educational system for both our Native (ca. 50%) and non-Native (ca. 50%) students to achieve academic success. The Karuk Tribe has established collaborations with local public schools to supplement and support the existing curriculum; to strengthen the focus by using culturally appropriate curriculum; and to provide non-typical learning methods using community resources available while meeting school district needs.

The Indian Nations at Risk Task Force (U.S. Department of Education, 1991) found that, "Minority groups that maintain a strong sense of pride in their own language and culture or who have not internalized mixed feelings about their own culture and the dominant group tend not to experience school failure." Considerable research data suggests that for Native American students, the extent to which student's language and culture are incorporated into the school program constitutes a significant predictor of academic success.

The Áak Utkírihti Project will support local schools and the greater community by providing professional instruction, mobile technology reading tools, and developing new resources to incorporate Karuk and Northern California Indian stories, history and language into existing California history reading curriculum. This relevancy to the lives of our community members, and excitement about the mobile technology, will help foster reading literacy and increase life-long learning success. Additionally, students will be introduced to available library services by bringing hand-held "libraries" to the students. This project will establish a greater collaboration between the local schools, the Tribe's and the counties' libraries, as well as provide users with greater access to texts, information and educational aids found at other state and federal libraries.

KCDC will purchase mobile technology devices for the Tribal Libraries/Centers and hire one 0.4 FTE instructor per Center. Staff will be trained to use the devices to provide help to community users and differentiated levels of lessons to students at local elementary schools and tribal and public pre-schools, with a focus on literacy. Local schools have committed to providing support to introduce the project, calendar the classroom instruction dates, and discuss implementation plans. Instruction in the basic care of the device, computer literacy, data storage and transfer, educational apps and eBooks will be given to the community and local schools. Lesson effectiveness and resources developed will be evaluated throughout the project by participants and tribal staff. Creating youth-driven content will require involvement of parents, elders, tribal cultural mentors and community members, which will foster ownership and enthusiasm for the Áak Utkírihti Project. Content created by students will be shared to further inspire and entertain, as well as increase access to Karuk language, culture and history to help preserve and honor California Indian history and local stories. Mobile technology training and access to the electronic devices will also be made available to community members through the Centers/Tribal Libraries which will increase access to technology and community capacity through access to information.

ELEMENT 3: PLANNING AND EVALUATION

Please answer each area concisely and completely. Limit to two pages and use 12 point font.

A. Project Purpose – Short statement which answers the questions: we do what, for whom, for what expected benefit.

The Áak Utkírihti Project will provide mobile technology devices, professional development for staff, digital literacy lessons for students and community members. Assistance accessing the state and federal online libraries, as well as using the devices, will be provided throughout the program timeline. Tribal and local community stories will be developed as content on the devices, which will require parent and community involvement and will foster ownership and enthusiasm for the project. While much emphasis is placed on bringing hand-held "libraries" to our rural community schools and underprivileged youth, the Áak Utkírihti Project will benefit the community members of all three service areas' Centers in allowing for access to the stores of knowledge previously unavailable to them. The Karuk Tribe provides library services through its learning centers to all community members; of great significance is the fact that the Tribe is most often the only service provider available in our rural communities. Benefits of this project will be a greater collaboration between the local schools, Tribe's and the Counties' libraries; increased literacy in both the schools and the greater community; increased identification with curriculum content and thus, increased odds of program success. Beneficiaries will be the program participants with greater access to texts, information and educational aids found at other state and federal libraries; and schools with material and human resource support for their curriculum surrounding literacy and California history.

Mobile technology training and access to the electronic devices will also be made available to community members through the Centers/Tribal Libraries which will increase access to technology and community capacity through access to information.

B. Project Activities/Methods – How will the project be carried out? Include major activities from the timeline.

In consultation with collaborating partners mentioned above, the Aak Utkirhti Project staff will:

- 1) Purchase equipment and attend training sessions;
- 2) Teach beginners the basics of computer literacy and help others enhance their existing skills in using the electronic devices;
- 3) Enhance and expand access to culturally-relevant and age appropriate resources, featuring reading materials, educational videos, and games/manipulatives for use by community members;
- 4) Help users improve reading fluency, practice handwriting, identify and recognize letter and match one to one;
- 5) Increase access to local, county, state and national libraries; and
- 6) Evaluate progress of program through a combination of participant questionnaires, calculation of time spent using the devices, number of stories produced, and interviews of participating teachers.

C. Anticipated Project Outputs – Measures of service or products provided.

Increase access to local, county, state and national libraries through increased number of library cards issued, as well as increased use of County bookmobile services. Staff provides mobile technology lessons on an ongoing basis in the three learning centers, and in local public schools, tribal and public preschools. Evaluative feedback through informal meetings, questionnaires, and time spent using devices. Project outcomes include stories written in language and history classes, in addition to completed educational programs. The overall success of the Áak Utkírihti Project will be evaluated in a project report issued at the close of the funding period.

D. Anticipated Project Outcome(s) – What change is expected in the target audience’s skills, knowledge, behavior, attitude, and/or status/life condition? How will you measure these outcomes?

Increased access to age- and culturally- appropriate learning materials will result in increase time devoted to reading. Reading will improve knowledge and language skills. Feedback forms will be development to inform ongoing selections of literacy improving and learning materials. Increased community presence in the local libraries, including evaluative feedback, will strengthen the sense of community-wide, shared responsibility for improving literacy, developing the workforce, and better preparing school-aged children for academic success.

E. Complete the following sentence. This project will be successful if:

The Áak Utkírihti Project will be successful if staff, students, parents, educators and community members work together to improve literacy through accessing the resources found in county, state, and federal libraries and furthermore, learning and developing local and tribal content with mobile technology devices.

ELEMENT 4: GRANT TIMELINE/ACTIVITIES

Show each major project activity and when it will be started and/or completed throughout the project. The timeline should correspond to the activities described in Planning and Evaluation. Please put an X in each pertaining month.

Activity	2013/14										
	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June
Purchase equipment for training, post RFP, interview, and select contract staff	x	x									
Purchase remaining equipment when the grant comes through		x	x								
Conduct meetings with staff, school teachers, students, parents, & community			x	x		x	x				
Teach orientation to mobile devices and computer literacy to community			x	x	x						
Introduce culturally-relevant and age-appropriate resources			x	x	x	x	x	x	x	x	x
Support local schools with literacy enhancement and other educational programs			x	x	x	x	x	x	x	x	x
Ongoing training using mobile technology in the classroom			x	x	x	x	x	x	x	x	x
Develop curriculum content to include local, Karuk and NorCal tribal components						x	x	x	x	x	
Increase access to local, county, state, and national libraries			x	x	x	x	x	x	x	x	x
Evaluate lessons and content creation			x	x	x	x	x	x	x	x	x
Áak Utkírihti Project content completion and sharing with community										x	x

ELEMENT 5: BUDGET

The budget should clearly identify the amounts requested and from what sources.

Column A	Column B	Column C	Column D	Column E
Budget Category	LSTA	Cash Match	In-Kind	Total (B+C+D = E)
Salaries & Benefits				
3 0.4 FTE (800 hours @ \$17.19)	\$41,256	\$0	\$0	\$41,256
	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0
Subtotal	\$41,256	\$0	\$0	\$41,256
Explanation:				
Library Materials				
15 Samsung Tablets	\$5,293	\$0	\$0	\$5,293
10 Ipad with Retina Display Wi-Fi 32 GB	\$6,424	\$0	\$0	\$6,424
	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0
Subtotal	\$11,717	\$0	\$0	\$11,717
Explanation:				
15 Samsung Tablets (10.1 inch, Wi-Fi) : \$329 each plus tax (7.25%) $\$23.85 \times 15 = \5293				
10 Ipad with(Retina Display Wi-Fi 32 GB): \$599 each plus tax (7.25%) $\$43.43 \times 10 = \$6,424$				
Equipment (Items over \$5,000 per unit)				
	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0
Subtotal	\$0	\$0	\$0	\$0
Explanation:				

Operating Expenses

Column A	Column B	Column C	Column D	Column E
Budget Category	LSTA	Cash Match	In-Kind	Total (B+C+D = E)
Contracted Services				
	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0
Subtotal	\$0	\$0	\$0	\$0
Explanation:				
Supplies				
Itunes and Google Play Credit	\$246	\$0	\$0	\$246
	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0
Subtotal	\$246	\$0	\$0	\$246
Explanation:				
Other Charges				
Mileage (GSA Federal Rate \$0.565)	\$1,326	\$0	\$0	\$1,326
	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0
Subtotal	\$1,326	\$0	\$0	\$1,326
Explanation:				
Travel to and from our computers centers is between 89 miles and 146 miles round trip. Yreka, CA to Happy Camp, CA is 146 miles round trip. Happy Camp, CA to Orleans, CA is 89 miles round trip. We are requesting \$1,325.50 for mileage reimbursement will allow for approximately 16 trips.				
Operating Expenses Subtotal	\$1,572	\$0	\$0	\$1,572
Project Total (Salaries & Benefits, Materials, Equipment, and Operating Expenses)	\$54,545	\$0	\$0	\$54,545
Indirect Cost (up to 10%)	\$5,455	\$0	\$0	\$5,455
Grant Totals	\$60,000	\$0	\$0	\$60,000

FUTURE FUNDING

Briefly describe how this project will be financially supported in the future.

The Áak Utkírihti Project is designed for sustainability: the twelve month funding period will be used to train staff - both grant funded and non-grant funded - to use purchased equipment, design relevant curriculum, and expand collaboration between the Tribe's learning centers and the greater community and local schools. Once the program is in place, the three 0.4 FTE funded through the California State Library grant will not be needed to continue the project. No more substantial financial support will be necessary.

ELEMENT 6: ATTACHMENTS

If you have additional resources that support your grant, please attach after this page

ELEMENT 7: INTERNET CERTIFICATION FOR APPLICANT PUBLIC LIBRARIES FY 2013/14

Check the Appropriate Library Type

Public Library Academic K-12 Multi-type Special/Other

As duly authorized representative of the applicant library, I hereby certify that: (*check only one of the following boxes*)

- A. The applicant library has complied with the requirements of Section 9134(f)(1) of the Library Services and Technology Act.
- B. The requirements of Section 9134 (f)(1) of the Library Services and Technology Act do not apply to the applicant library because no funds made available under the LSTA program will be used to purchase computers used to access the Internet or to pay for direct cost associated with accessing the Internet for a public library or public elementary school or secondary school library that does not receive discounted E-Rate services under the Communications Act of 1934, as amended.

Signature of Authorizing Official

Date

ELEMENT 8: ASSURANCES

The applicant assures and certifies that it will comply with the regulations, policies, guidelines and requirements, as they relate to the application, acceptance and use of Federal funds for this federally assisted project. Also the Applicant assumes and certifies:

1. It possesses legal authority to apply for the grant; that a resolution, motion or similar action has been duly adopted or passed as an official act of the applicant's governing body, authorizing the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of the applicant to act in connection with the application and to provide such additional information as may be required.
2. It will comply with Title VI of the Civil Rights Act of 1964 (P.L. 88-352) and in accordance with Title VI of that Act, no person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant receives Federal financial assistance and will immediately take any measures necessary to effectuate this agreement.
3. It will comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) prohibiting employment discrimination where (1) the primary purpose of a grant is to provide employment or (2) discriminatory employment practices will result in unequal treatment of persons who are or should be benefiting from the grant-sided activity.
4. It will comply with Section 504 of the Rehabilitation Act of 1973, as amended, 20 U.S.C. 794, which prohibits discrimination on the basis of handicap in programs and activities receiving Federal financial assistance.
5. It will comply with Title IX of the Education Amendments of 1972, as amended, 20 U.S.C. 1681 et seq., which prohibits discrimination on the basis of sex in education programs and activities receiving Federal financial assistance.
6. It will comply with the Age Discrimination Act of 1975, as amended, 42 U.S.C 6101 et seq., which prohibits discrimination on the basis of age in programs or activities receiving Federal financial assistance.
7. It will comply with requirements of the provisions of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (P.L. 91-646) which provides for fair and equitable treatment of persons displaced as a result of Federal and federally-assisted programs.
8. It will comply with the provisions of the Hatch Act which limit the political activity of employees.
9. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act, as they apply to hospital and educational institution employees of State and local governments.
10. It will establish safeguards to prohibit employees from using their positions for a purpose that is or gives the appearance of being motivated by desire for private gain for themselves or others, particularly those with whom they have family, business, or other ties.
11. It will give the sponsoring agency or the Comptroller General through any authorized representative the access to and the right to examine all records, books, papers, or documents related to the grant.
12. It will comply with all requirements by the Federal-sponsoring agency concerning special requirements of law, program requirements, and other administrative requirements.
13. It will insure that the facilities under its ownership, lease or supervision which shall be utilized in the accomplishment of the project are not listed on the Environmental Protection Agency's (EPA) list of violating facilities and that it will notify the Federal grantor agency of the receipt of any communication from the Director of the EPA Office of Federal Activities indicating that a facility to be used in the project is under consideration for listing by the EPA.
14. It will comply with the flood insurance purchase requirements of Section 102(a) requires, on or after March 2, 1975, the purchase of flood insurance in communities where such insurance is available as a condition for the receipt of any Federal financial assistance for construction or acquisition purposes for use in any area that has been identified by the Secretary of the Department of Housing and Urban Development as an area having special flood hazards. The phrase "Federal financial assistance" includes any form of loan, grant, guaranty, insurance payment, rebate, subsidy, disaster assistance loan or grant, or any other form of direct or indirect Federal assistance.
15. It will assist the Federal grantor agency in its compliance with Section 106 of the National Historic Preservation Act of 1966 as amended (16 U.S.C. 470), Executive Order 11593, and the Archeological and Historic Preservation Act of 1966 (16 U.S.C. 469a-1 et seq.) by (a) consulting with the State Historic Preservation Officer on the conduct of investigations, as necessary to identify properties listed in or eligible for inclusion in the National Register of Historic Places that are subject to adverse effects (see 36 CFR Part 800.8) by the activity, and notifying the Federal grantor agency of the existence of any such properties, and by (b) complying with all requirements established by the Federal grantor agency to avoid or mitigate adverse effects upon such properties.

ELEMENT 9: CERTIFICATIONS REGARDING LOBBYING, DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTER; AND DRUG-FREE WORKPLACE REQUIREMENTS

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 34 CFR Part 82 “New Restrictions on Lobbying,” and 34 CFR Part 85, “Government-wide Debarment and Suspension (Non-procurement) and Government-wide Requirements for Drug-Free Workplace (Grants).” The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Institute of Museum and Library Services determines to award the covered transaction, grant, or cooperative agreement.

1. LOBBYING

As required by Section 1352, Title 31 of the U.S. Code and implemented at 34 CFR Part 82 for persons entering into a grant or cooperative agreement over \$100,000, as defined at 34 CFR Part 82, Sections 82.105 and 82.110, the applicant certifies that:

- (a) No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, and officer of employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into or any cooperative agreement and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;
- (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL “Disclosure Form to Report Lobbying,” in accordance with its instructions;
- (c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

As required by Executive Order 12549, Debarment and Suspension, and implemented at 34 CFR Part 85, for prospective participants in primary covered transactions, as defined at 34 CFR Part 85, Sections 85.105 and 85.110–

A. The applicant certifies that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, of local) with commission of any of the offenses enumerated in paragraph

(2)(b) of this certification; and

- (d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, of local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)

As required by the Drug-Free Workplace of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610–

A. The applicant certifies that it will or will continue to provide a drug-free workplace by;

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an on-going drug-free awareness program to inform employees about-
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will-
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: Director, OLS/State Programs Division, Institute of Museum and Library Services, 1100 Pennsylvania Avenue, N.W., Room 802, Washington, DC 20506. Notice shall include the identification number(s) of each affected grant

- (f) Taking one of the following action, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted-
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement or other appropriate agency.
 - (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation or paragraphs (a),(b),(c),(d),(e), and (f).
- B. The grantee may insert in the space provided below the site(s) for the performance or work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check if there are workplaces on file that are not identified here.

DRUG-FREE WORKPLACE (GRANTEES WHO ARE INDIVIDUALS)

As required by the Drug-Free Workplace of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610-

- A. As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant; and
- B. If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction to: Director, OLS/State Programs Division, Institute of Museum and Library Services, 1100 Pennsylvania Avenue, N.W., Room 802, Washington, DC 20506. Notice shall include the identification number(s) of each affected grant.

4. FEDERAL DEBT STATUS

The undersigned, on behalf of the applicant, certifies to the best of his or her knowledge and belief that the applicant is not delinquent in the repayment of any Federal debt.

ELEMENT 9: CERTIFICATION

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certification.

_____ Library/Organization	_____ Project Name	
_____ Printed Name of Authorized Representative	_____ Title	
_____ Mailing Address (<i>if different than page 1</i>)	_____ City	_____ Zip
_____ Signature of Authorized Representative	_____ Date	

Karuk Community Development Corporation
Board Report: July 11, 2013

By: Darlene Snapp-Silfies, CFO

CIB:

At June 30, 2013	Main	\$ 317,937.03	
	Payroll	\$ 30,408.59	Total \$ 348,345.62

Outstanding checks are current as of June 2013. One reconciling item for \$233.50 a credit card deposit that was not successfully transmitted, Bill Keenen is working on getting it posted.

Current balance

at 7/12/2013	Main	\$ 399,537.16	
	Payroll	\$ 10,966.92	Total \$ 410,504.08

Month of Invoice – Date Received - Amount Invoiced

Head Start Drawdowns FY 2013

Jan	2/18/2013	\$39,743.12
Feb	5/3/2013	\$46,029.29
Mar	5/3/2013	\$62,192.22
Apr	5/3/2013	\$44,223.30
May	7/12/2013	\$41,901.84
June	7/12/2013	\$23,270.27

Naa Vura Yeeshiip Drawdowns FY 2013

Oct 2012– Feb 2013

Recv'd 4/15/2013	\$41,462
Mar	\$13,684
April	\$10,294
May	\$ 9,875

Payments are being held due to a budget modification that is being worked on by Rivkah and Bill Keenen.

Fire Season 2013:

Fire Crew invoice for spring training is in progress:

110122	HC/OK Prescribed fire 2012 (not billed yet) contract for \$9,800	(7,409.60)	(7,409.60)
480006	KTHA Fuels Project contract \$36,964 contract needs to have change order to address additional work done.	26,721.35	(41,243.96) (14,522.61)
480000	BIA - HC fire protection 04/01/09-5/31/2010 (Laura Mayton and I are researching the		

rest of these; it looks like billing needs to be done)

750000	BIA - Oak Knoll	7/15/2009-5/31/2010		
770000	Task Order -Oak Knoll	8/1/07-5/31/09		
480004	Orleans Cultural Fuels	8/14/09-12/31/2010	-	(68,884.42) (68,884.42)

Fire Discretionary as of June 2013

230000 - Karuk Fire Discretionary

		<u>Current Year Actual</u>
EXPENSES		
Travel/Training		
Meeting expense	8500	189.08
Travel local	8875	<u>506.32</u>
Total Travel/Training		695.40
Small equipment		
Small tools	8725	<u>727.58</u>
Total Small equipment		727.58
Repair and maintenance		
Repair and maintenance equipment	8650	<u>7,919.28</u>
Total Repair and maintenance		7,919.28
Telephone/utilities		
Telecommunications	8825	<u>1,248.91</u>
Total Telephone/utilities		1,248.91
Dues and subscriptions		
Dues and subscriptions	8325	<u>80.00</u>
Total Dues and subscriptions		80.00
Miscellaneous		
Licenses	8475	<u>1,487.00</u>
Total Miscellaneous		<u>1,487.00</u>
Total EXPENSES		<u>12,158.17</u>
INCOME (LOSS) before operating transfers		<u>(12,158.17)</u>
NET INCOME (LOSS)		<u>12,158.17</u>

KCDC has a VISA bill from Harold’s credit card for over \$1,000, for “fire stuff”, repairs to the 2007 Tacoma, and gas, Bill Keenen is working on.

HCCC HUD RIF Drawdowns

Oct - March	5/17/2012	\$57,095
April-June	10/4/2012	\$45,696
July- Sept.	May 16, 2013	\$10,188
Oct – Mar 2013	May 16, 2013	\$61,632

Emma Lee is working to get a login for Linda Zink so we can draw down April to June monies. Budget Award \$392,266 (3yrs) \$130,755 per year

Klamath Campaign Drawdowns

Oct	11/7/2012	\$ 4,295
Nov	12/19/2012	\$ 5,726
Dec 2012 thru		
Mar 2013	5/13/2013	\$34,824
April – June 2013	7/12/2013	\$22,209

IMLS Drawdowns

Oct & Nov	12/19/2012	\$1,226.20
Dec thru Mar 2013	05/03/2013	\$5,883.52
April – June 2013	7/12/2013	\$3,541.12

K-Rock Sales: none this year

Amkuuf FY 2013:

Oct-12	101,267	59,992	41,275
Nov-12	96,645	90,728	5,917
Dec-12	84,001	54,153	29,848
Jan-13	92,118	70,566	21,552
Feb-13	88,944	80,405	8,539
Mar-13	102,049	62,826	39,223
Apr-13	98,713	103,660	-4,947
May-13	108,972	80,579	28,393
Jun-13	98,141	79,773	18,368
Total FY 2013	870,850	682,682	188,168

780000 - AMKUUF SMOKE SHOP
October 1, 2012 through June 30, 2013

Current Year Actual

REVENUES

Sales		
Sales - non-taxable	4410	<u>870,836.99</u>
Total Sales		870,836.99
Miscellaneous		
Miscellaneous revenue	4200	<u>12.25</u>
Total Miscellaneous		<u>12.25</u>
Total REVENUES		<u>870,849.24</u>

EXPENSES

Cost of Sales

Cost of sales	5100	87.30
Cost of Goods Sold - Tobacco	5110	570,276.61
Cost of Goods Sold - Convenience	5120	<u>11,086.83</u>
Total Cost of Sales		581,450.74
Salary and Wages		
Salaries & wages	5500	<u>46,080.38</u>
Total Salary and Wages		46,080.38
Payroll Tax		
Leave expense	5510	(238.32)
Payroll tax expense	5520	4,864.39
Workers compensation expense	5530	2,348.83
Medical dental life insurance	5540	16,915.21
Retirement benefits expense	5550	<u>2,045.07</u>
Total Payroll Tax		25,935.18
Contract Services		
Professional technical fees	8575	<u>1,193.00</u>
Total Contract Services		1,193.00
Stipends		
Stipends	6850	<u>800.00</u>
Total Stipends		800.00
Bank fees/Finance charges		
Bankcard merchant fees	8190	<u>5,906.39</u>
Total Bank fees/Finance charges		5,906.39
Supplies-office		
Supplies office	8775	<u>1,551.93</u>
Total Supplies-office		1,551.93
Supplies-program		
Supplies program	6950	149.90
Computer Supplies	8394	<u>273.79</u>
Total Supplies-program		423.69
Travel/Training		
Meeting expense	8500	93.49
Staff board development and	8750	4,812.52
Travel local	8875	<u>1,298.92</u>
Total Travel/Training		6,204.93
Small equipment		
Equipment	8390	354.00
Software Upgrades	8395	<u>2,453.00</u>
Total Small equipment		2,807.00
Repair and maintenance		
Repair and maintenance equipment	8650	284.05
Repair and maintenance facilities	8675	<u>1,151.39</u>
Total Repair and maintenance		1,435.44
Telephone/utilities		
Telecommunications	8825	4,870.79
Telephone	8850	982.53
Utilities	8925	<u>3,025.89</u>
Total Telephone/utilities		<u>8,879.21</u>
Total EXPENSES		<u>682,667.89</u>

Storage Units:

KCDC STORAGE UNITS REPORT FOR JUNE 2013

Unit #	Rate	Size	Bill Cycle	Leasee	Status	Current Balance
1	40.00	10X5	Monthly	Ashworth, T.	late fee charged	139.00
2	40.00	10X5	Monthly	Counts, E.	Paid through July 2013	(40.00)
3	50.00	10X10	Monthly	Attebery, P		-
4	50.00	10X10	JV'd Quarterly	KCDC	JV QRTLY	-
5	50.00	10X10	Monthly	Aubrey, A.	late fee charged	60.00
6	45.00	10X10	Monthly	P Rios		(20.00)
7	50.00	10X10	JV'd Quarterly	KCDC	JV QRTLY	-
8	40.00	10X5	JV'd Quarterly	KCDC	JV QRTLY	-
9	40.00	10X5	Monthly	Langston	Paid through January 2014	(240.00)
10	40.00	11X5	Monthly	VACANT		-
11	40.00	11X5	Monthly	VACANT		-
12	55.00	11X10	Monthly	McCarthy, P.		-
13	55.00	11X10	Monthly	Velma Bledsoe		55.00
114	55.00	11X10	JV'd Quarterly	Head Start	JV QRTLY	-
115	55.00	11X10	Monthly	Mann, C		-
116	40.00	10X5	Monthly	R.Bain		-
117	40.00	11X5	Monthly	VACANT		-
118	40.00	11X5	Monthly	Gross, P.	Free month July	-

(46.00)

	<u>FY 2013</u>
Rent Revenue	<u>\$ 6,673.59</u>
Expense	<u>(951.42)</u>
Income	<u>\$ 5,722.17</u>

Other Information:

I attended a federal grants management class this week. There was some really good information.

In February 2012 OMB published advanced notice of proposed guidance with the opportunity to comment; the rules will be finalized around the end of 2013. Implementation will be required by mid 2014. The proposed guidance will consolidate the costs principles and administrative requirements into uniform regulations, with policy changes and updates. Some of these changes:

www.whitehouse.gov/omb/grants (scroll down the page to useful grant links)

Catalog of Federal Domestic Assistance (CFDA) going to Catalog of Federal Financial Assistance (CFFA)

2 CFR 225 replaces OMB circular A-87,

The grant application and award processes; applications will be open for a minimum of 30 days following the announcement, awarding agencies must conduct financial risk assessment of all applicants, awards must be on merit basis, emphasis is on “performance measures” as criterion for award selection (repeated several times), also performance measures included in the award letter terms and conditions, posting of all grant decisions on public website, with electronic notice of awards to recipients. Applications that can measure success will be more likely to be funded. Enforcing a requirement to lookup entities for “termination for cause”, using www.USASpending.gov and SAMS.GOV.

Grants management; Indian Self-Determination and Education Assistance Act (ISDEAA) supersede requirements in the new guidance, encouraging electronic submissions for all reporting forms, for pass-through entities outlining the roles and responsibilities, also monitoring and oversight of sub recipients, allowing the use of their IDC rate. One way to work with “Property Disclosure Reporting” for equipment purchases use other money to buy and charge to budget line item in the application using a usage rate, this is considered allowable costs without the reporting requirements.

Cost principles; revising and adding selected items of costs, allowing recipients to charge administrative and clerical support as a direct cost (means using a timesheet allocating actual time spent on the grant) must be integral to a project or activity, can be specifically identified with the project, costs are included in the budget, costs are also not recovered in the indirect cost pool, clarifying the FMLA and dependent care as allowable costs, allowing recipients to recover improper payments as either direct or indirect.

Indirect costs; allowing for a minimum flat indirect cost rate of 10% of total modified direct costs, extend negotiated flat rates for up to four years (warning if your rate fluctuates, no undoing once done you will be stuck with that rate for four years), **unless there is a statute that disallows or limits the recovery of indirect costs the awarding agency must abide by the negotiated rate.**

Audit requirements; raised the single audit requirements from \$500,000 to \$750,000 in expenditures, eliminating seven requirements from the compliance supplement, increased the threshold for reporting questioned costs to \$25,000, increased procedures for federal agencies responsible for follow-up on audit findings.