
KARUK TRIBE
NATIVE EMPLOYMENT WORKS (N.E.W.)
PROGRAM PLAN

FY 2020-2022

For the Period July 1, 2019 to June 2022

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Resolution 19-R-047

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Karuk Community Health Clinic
64236 Second Avenue
Post Office Box 316
Happy Camp, CA 96039
Phone: (530) 493-5257
Fax: (530) 493-5270

Karuk Tribe



Karuk Dental Clinic
64236 Second Avenue
Post Office Box 1016
Happy Camp, CA 96039
Phone: (530) 493-2201
Fax: (530) 493-5364

Administrative Office

Phone: (530) 493-1600 • Fax: (530) 493-5322
64236 Second Avenue • Post Office Box 1016 • Happy Camp, CA 96039

Resolution No: 19-R-047

Date Approved: _____

RESOLUTION AUTHORIZING THE KARUK TRIBE TO SUBMIT A RENEWAL GRANT APPLICATION, IN THE ANNUAL AMOUNT OF \$39,154.00, FOR THE PERIOD OF JULY 1, 2019 THROUGH JUNE 30, 2022, TO THE ADMINISTRATION FOR CHILDREN AND FAMILIES, OFFICE OF FAMILY ASSISTANCE, NATIVE EMPLOYMENT WORKS PROGRAM (N.E.W.).

WHEREAS; the Karuk Tribe is a Sovereign Aboriginal People, that have lived on their own land since long before the European influx of white men came to this continent; and

WHEREAS; the members of the Karuk Tribe have approved Article VI of the Constitution delegating to the Tribal Council the authority and responsibility to exercise by resolution or enactment of Tribal laws all the inherent sovereign powers vested in the Tribe as a Sovereign Aboriginal People, including negotiating and contracting with federal, state, Tribal and local governments, private agencies and consultants; and

WHEREAS; the members of the Karuk Tribe have approved Article VIII of the Constitution assigning duties to the Chair, Vice Chair, and Secretary/Treasurer including signing and executing all contracts and official documents pertaining to the Karuk Tribe; and

WHEREAS; the Karuk Tribe is a federally recognized Tribe and its Tribal Council is eligible to and is designated as an organization authorized to Contract pursuant to P.L. 93-638, as amended, on behalf of the Karuk Tribe; and

WHEREAS; in an effort to better serve the Karuk membership and to administer programs directly to the membership locally within our own Tribal Service Area do take this action; and

WHEREAS: The Native Employment (N.E.W.) Works Grant program provides necessary assistance and services to the working poor and unemployed Karuk tribal members in increase their employability, In turn moving them to becoming self-sufficiency, now

THEREFORE BE IT RESOLVED; that the Karuk Tribe authorizes the submission of the NEW grant application renewal, in the annual amount of \$39,154.00, for the period of July 1, 2019 through June 30, 2022 to the Administration for Children and families, Office of Family Assistance, Native Employment Works Program (N.E.W.).

CERTIFICATION

I, the Chairman, hereby certify the foregoing resolution 19-R-047 which was approved at a Council meeting on June 23, 2019, was duly adopted by a vote _____ Haas, _____ Puuhara, _____ Pupitihara, and said resolution has not been rescinded or amended in any way. The Tribal Council is comprised of _____ members of which voted.

Russell Attebery, Chairman

Date

PROGRAM ADMINISTRATION

I. AUTHORITY

- a. Public Law 104-193, section 42(a)(2) the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA) authorizes Indian Tribes to administer and operate their own Native Employment Works program (N.E.W.)
- b. The Karuk Tribe will administer the N.E.W. Program through the tribal TANF department.

II. PERIOD COVERED BY THIS PLAN

This covers the operation of the KTTTP for a three (3) year period beginning July 1, 2019 and ending June 30, 2022.

III. SERVICE AREA - The N.E.W. Program service area is as follows:

The Bureau of Indian Affairs (BIA) designated service area of Siskiyou and Humboldt County.

IV. SERVICE POPULATION/ELIGIBILITY

The population eligible for services under the N.E.W. Program is working poor and unemployed members and their lineal descendants of the Karuk Tribe.

1. The working poor are working people whose incomes fall below a given poverty line due to lack of work hours and/or low wages. Largely because they are earning such low wages, the working poor face numerous obstacles that make it difficult for many of them to find and keep a job, save up money, and maintain a sense of self-worth.
2. Unemployed is an adult without a paid job but available to work.
3. The priority for services is as follows:
 - a. Eligible working/unemployed single mothers.
 - b. Eligible unemployed single fathers.
 - c. Unemployed persons participating in the Karuk Tribe's General Assistance (GA) program.
 - d. At-risk youth ages 16 to 24 ("at-risk" is defined as, youth who's grade point is below 2.5).
 - e. All other eligible tribal persons.
4. If an individual is deemed "not eligible" for the N.E.W. program see section X.

V. PROGRAM COORDINATION

TANF will assist and coordinate N.E.W. Services by utilizing the existing TANF program and other tribal entities within the Karuk Tribe. In addition, N.E.W. will coordinate with other agencies, educational institutions, organizations, and entities will be coordinated as is determined by the needs of the N.E.W. Participant.

Through the initial orientation and intake process, N.E.W. Participants will undergo an assessment of their current skills and educational/ employment needs. The client will participate in the development of their ISP plan.

The N.E.W. Participants will participate in the appropriate N.E.W. Services and will be referred to other programs as necessary and begin their journey toward unsubsidized employment and self-sufficiency. N.E.W. Participants will be tracked their progress through their ISP plan and will meet with the N.E.W. Program administrator to ensure follow up and implementation of their case plan.

Listed below are the programs and entities that provide wrap-around activities and services and who participate in the Karuk Tribe's Ya Pa'Anav committee:

- a. (TANF) Temporary Assistance for Needy Families - Federal/State funded - Jointly exchange/referral client information; provide training, job readiness activities, job retention activities, job placement activities, job supportive activities; and exchange information.
- b. (TERO) Tribal Employment Rights Office - Tribal funded - Jointly exchange/refer client information; advertise information on available activities, services and job opportunities. Convene workforce development meetings to ensure coordination; provide trainings, job readiness activities, job supportive and job retention services and exchange information.
- c. (CCDF) Child Care Program - Federal funded - Jointly exchange/refer clients; provide childcare subsidy and exchange information.
- d. **(HS) Karuk Tribe Head Start - Federal funded - Jointly exchange/refer clients; provide job placements, training; and exchange information.**
- e. (KCDC) Karuk Community Development Corporation - Federal, State or privately funded. - Jointly exchange/refer clients; provide training, job creation and placement; and exchange information.
- f. (KCDC) Karuk Community Computer Center- Tribally funded - Jointly exchange/refer clients; provide training; provide distance education services, job search, job readiness, GED services, adult tutoring; and exchange information.
- g. (LIAP) Low Income Assistance Program - Federal/State funded - Jointly exchange/refer clients; application assistance; energy assistance; health & safety, and exchange information.

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- h. (KTHA) Karuk Tribal Housing Authority - Federal/State Funded - Jointly exchange/refer clients, exchange information, and housing assistance.
 - i. (CFS) Child & Families - Federally Funded - Jointly exchange/refer clients, exchange information, provide social, substance abuse and mental health services.
 - j. **(KSUDP) Karuk Substance Use Disorder Program - Federally Funded - Provides in-patient and out-patient services.**
 - k. (PIKYAV) Program (Domestic Violence) - Jointly exchange/refer clients, exchange information for domestic violence services
 - l. **(NCIDC) Northern California Indian Development Corporation - Private and Federal funded - Jointly exchange/refer clients; provide job readiness and job search; provide training; and exchange information**
 - m. **(DNR) Department of Natural Resources – Environmental Workforce Development & Internships Division**
 - n. (STEP) Siskiyou Training Employment Program - State Funded - Employment and Training opportunities; and exchange information.
 - o. (COS) College of the Siskiyou – a partner with the Karuk Community Computer Center 1 - Provide education and training assistance, as needed

VI. ACTIVITIES AND SERVICES PROVIDED.

Through coordination between the N.E.W. program and the above listed internal tribal programs, as well as, external educational/training/employment programs, participants will receive assistance with job and career counseling.

The participant's will develop an Individual employability plan (IEP) with the manager to assist them in moving towards client self-sufficiency.

Based on the participant's IEP and availability of programs funds, the following activities include, but are not limited:

- **ABE remedial education (Remedial education (also known as developmental education, basic skills education, compensatory education, preparatory education, and academic upgrading) is signed to assist students in order to achieve expected competencies in core academic skills such as literacy and numeracy).**
- **GED pre/post testing, enrollment and completion (A program of education, as in some liberal-arts colleges and secondary schools, intended to develop students as personalities rather than trained specialists and to transmit a common cultural heritage).**

-
- **Alternative Education** (an educational program or system that is separate from a mainstream educational program or system and that is designed especially for students with academic or behavioral difficulties).
 - **Job skills/Vocational Training** (Vocational education is education that prepares people to work in a trade, in a craft, as a technician, or in support roles in professions such as engineering, accountancy, nursing, medicine, architecture, or law. Craft vocations, are usually based on manual or practical activities and are traditionally non-academic but related to a specific trade or occupation).
 - **Post-Secondary Education** (A post-secondary degree is a degree obtained from a post-secondary institution, which is an institution that offers schooling after secondary school resulting in an associate, bachelor's or other more advanced degree).
 - **Job Readiness/ On-the-Job training** (Job/Employment readiness is defined as being able, with little or no outside help, to find, acquire, and keep an appropriate job as well as to be able to manage transitions to new jobs as needed. Job readiness focus on developing skills in job searching, interviewing and keeping a new job. Job search (The act of searching for employment. A job search is performed, when an individual is either unemployed or dissatisfied with their current position).
 - **On-the-Job training** (is a technique wherein the workers, i.e., operative staff, is given the direct instructions to perform their jobs on the actual work floor. The workers can learn the skills that are required to be performed in the actual work conditions and also gets accustomed to the working environment).
 - **Job development and placement** (Job/Individual development is a process by which an employee at a company improves upon his or her skills and learns new abilities that are typically applicable within the workplace. One of the simplest and most common forms of this type of development is basic training in which a person learns information that he or she needs to perform a job well. Job/Individual placement is a service that educational institutions, employment agencies and recruiters offer to help individuals find work. Examples of placement programs include a university helping students find internships and practice interviewing, an employment agency offering vocational counseling and job leads to job seekers, and the military helping prepare soldiers for suitable careers during and after their service. Depending on where you receive placement services, the program may be free, or you may be responsible to pay for some or all of the cost.
 - **Job shadowing** (Job shadowing is effective for any job in which the seeing is more graphic than the telling, or when the seeing is an important component of the learning. When job shadowing, the individual sees the actual performance of the job in action).
 - **Work experience** (Work experience is any experience that a person gains while working in a specific field or occupation, but the expression is widely used to mean a type of volunteer work that is commonly intended for young people — often students — to get a feel for professional working environments. The American equivalent term is internship. (In the public and private sector - Types of work experience include; short-term

work experience, on-the-job training, job shadowing and community service).

VII. SUPPORTIVE AND JOB RETENTION SERVICES

The supportive and job retention services provided include, but are not limited to.

- a. Transportation (e.g, such as bus passes, payments for gasoline purchase, car repair).
- b. Clothing, uniforms, shoes/boots, and tools/gear needed for Educational, training or employment.
- c. Medical/optical/dental services (etc., eye exams and purchase of eyeglasses, driver medical certification).
- d. Career/job/life counseling services (e.g., "World of work" workshops, financial budgeting training, family wellness classes)
- e. Assistance for education, training, work activities or for employment (e.g. books fees, driver's license fees, professional occupational license or permit fees, housing) necessary for the participant to obtain or retain work.

VIII. PROGRAM GOALS

The overall goals of the N.E.W. Program are:

- a. Assist unemployed persons to gain and retain employment to become self-sufficient.
- b. Provide training, education and work experience activities that prepare clients for work.
- c. Provide workshop activities to engage clients to become job ready.
- d. Provide supportive and job retention services and activities that enable clients to prepare for and obtain employment.

IX. PROGRAM OUTCOMES

Outcomes used to determine the extent to which the N.E.W. Outcomes achieved each year:

- a. 100% N.E.W. Clients will be assessed for employability based on their skill and needs. N.E.W. Participants will receive job counseling, career counseling and will assist in the development of their case plan.
- b. 20% N.E.W. Clients will successfully complete job readiness workshops, vocational training, on-the-job training and/or work experience.
- c. 10% N.E.W. Clients will obtain unsubsidized employment.

X. APPEAL RIGHTS AND PROCESS

The N.E.W. Program will follow the appeal process as described below for any participant who received a notice of adverse action 10 business days prior to the on-set of the action.

The N.E.W. Program will apply the following dispute resolution process for all individuals who file an appeal a N.E.W. Program decision to deny, reduce, suspend or terminate N.E.W. assistance.

Application process – The appeal must be writing for any participant who received a notice of adverse action.

Adverse actions may be appealed. All appeals must be submitted in writing to the N.E.W. program manager within 10 business days of receiving a notice of adverse action. The N.E.W. program manager will notify the individual in writing within 10 business days of his/her decision.

The individual may further appeal the decision to the KTTTP Executive Director within 10 business days of receiving the N.E.W. program manager's decision. The KTTTP Executive Director will make a decision and notify the individual within 10 business days of receiving the individuals appeal.

The individual can further appeal the KTTTP Executive Director's decision to the Tribal Council within 10 business days of receiving the KTTTP Executive Director's decision. The council will set up a date and time to hear the individuals appeal. The Tribal Council's decision shall be final.

CERTIFICATIONS/ASSURANCES

See Attachments

I. AUTHORIZED SIGNATURE

Submitted as Authorized:

Chairman _____

Date: _____

CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645(a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.
2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate I applies.
4. For grantees who are individuals, Alternate II applies.
5. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).
7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:
Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Certification Regarding Drug-Free Workplace Requirements

Alternate I. (Grantees Other Than Individuals)

The grantee certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an ongoing drug-free awareness program to inform employees about --

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency in writing, within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction.

Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted --

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

(B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

110 Nugget Street, Happy Camp, CA 96039

3051 Highway 96, Orleans, CA 96666

1517A S. Oregon Street, Yreka, CA 96097

Check if there are workplaces on file that are not identified here.

Alternate II. (Grantees Who Are Individuals)

(a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;

(b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]

DATE: _____

SIGNATURE: _____

TITLE: CHAIRMAN

ORGANIZATION: KARUK TRIBE

CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

Certification Regarding Debarment, Suspension, and Other Responsibility Matters-- Primary Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause.

The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

**Certification Regarding Debarment, Suspension, and Other Responsibility Matters--
Primary Covered Transactions**

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary
Exclusion--Lower Tier Covered Transactions**

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, [[Page

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6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility an Voluntary Exclusion--Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

DATE: _____

SIGNATURE: _____

TITLE: CHAIRMAN

ORGANIZATION: KARUK TRIBE

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Date: _____

Signature

CHAIRMAN

Title

KARUK TRIBE

Organization

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity. By signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children's services and that all subgrantees shall certify accordingly.

DATE: _____

SIGNATURE: _____

TITLE: CHAIRMAN

ORGANIZATION: KARUK TRIBE

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE	
APPLICANT ORGANIZATION		DATE SUBMITTED